

**THE CITY OF THORNTON  
9500 CIVIC CENTER DRIVE  
THORNTON, CO 80229-4326**

**Project Manual  
For  
Construction of**

**FLASHING YELLOW ARROW SIGNAL MODIFICATIONS**

**THORNTON PROJECT NO. 23-208  
CDOT PROJECT NO. SHO M286-056 / 25527**

**MAY 2026**

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## **TABLE OF CONTENTS**

Table of Contents

Invitation for Bid Proposals

Information for Bidders

Procedure for Contract Signing

Bid Proposal

Contractor's Post-Qualifications

Reference Authorization and Release Form

Post-Qualification Questionnaire

Bidder's Post-Qualification Affidavit

Contract

EXHIBIT A - SPECIAL CONDITIONS

EXHIBIT B - GENERAL CONDITIONS

EXHIBIT C - DRAWINGS (UNDER SEPARATE COVER)

EXHIBIT D - SCHEDULE OF CONTRACT PAY ITEMS AND PRICES

EXHIBIT E - CONTRACTOR'S SUBCONTRACTOR LISTING

EXHIBIT F - PERFORMANCE BOND & LABOR AND MATERIAL PAYMENT BOND

EXHIBIT G - INSURANCE REQUIREMENTS & CERTIFICATE

EXHIBIT H - STANDARD CONCRETE SPECIFICATIONS

EXHIBIT I - SAMPLE NOTICE OF AWARD & NOTICE TO PROCEED

FHWA Form 1273 - Required Contract Provisions, Federal-Aid Construction Contracts

CDOT Standard Special Provisions

Project Special Provisions

Davis Bacon Wage Determination

Appendix

- CDOT Form #606, Anti-Collusion Affidavit
- CDOT Form #1413, Bidders List
- CDOT Form #605, Contractor's Performance Capability Statement
- CDOT Form #621, Assignment of Anti-trust Claims

Addenda

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## **INVITATION FOR BID PROPOSALS**

**City of Thornton  
9500 Civic Center Drive  
Thornton, CO 80229-4326**

Sealed Bid Proposals for Construction of Flashing Yellow Arrow Signal Modifications, Thornton Project No. 23-208, CDOT Project No. SHO M286-056 / 25527 will be received in the Contract Administration Office at the Thornton City Hall (2nd floor), 9500 Civic Center Drive, until 3:00 p.m., May 20, 2026. At that time, Bid Proposals will be publicly opened and read aloud.

Note: Late Proposals will not be accepted under any circumstances. Vendors electing to submit their bid via mail or courier service accept all responsibility for delivery to the Contract Administration Office by the bid closing time indicated. Thornton is not responsible for lost or late delivery of bid proposals.

Project Description: The Project consists of modifications to convert existing traffic signal heads from Protected-Permissive Left Turn (PPLT) to Flashing Yellow Arrow (FYA). This Project is grant funded through CDOT. The Engineer's Estimate for construction is approximately One Million Five Hundred Thousand Dollars (\$1,500,000). The contract goal for On-the-Job Trainees working in an approved training plan in this Contract has been established as follows: Minimum number of total hours required for On the Job Training is 320 hours.

An EEO-1 Report must be submitted to the Joint Reporting Committee if the contractor and subcontractors meet the eligibility requirements (29CFR 1602.7). For additional information regarding these federal requirements, please refer to: <https://www.eeoc.gov/employers/eo-1-data-collection>. Davis Bacon Wages are required to be paid on this Project.

The City of Thornton, in accordance with the provisions of Title VI of the Civil Rights Act of 1964 (78 Stat. 252, 42 U.S.C. §§ 2000d to 2000d-4) and the Regulations, hereby notifies all bidders that it will affirmatively ensure that any contract entered into pursuant to this advertisement, disadvantaged business enterprises will be afforded full and fair opportunity to submit bids in response to this invitation and will not be discriminated against on the grounds of race, color, or national origin in consideration for any award.

Contractors interested in submitting a Bid Proposal for this Project must at a minimum be pre-qualified with the Colorado Department of Transportation (CDOT). Copies of CDOT's pre-qualified Contractor list can be obtained at <http://www.dot.state.co.us/Bidding/listings.html>.

Thornton utilizes the BidNet Direct System (BidNet) in the advertisement and facilitation of solicitations. Therefore, respondents must ONLY rely on documents provided on the BidNet Direct System (BidNet) website: [www.BidNetDirect.com](http://www.BidNetDirect.com).

Thornton utilizes the BidNet Direct System at [www.BidNetDirect.com](http://www.BidNetDirect.com) to distribute official copies of the Drawings and Project Manual ("Bid Documents") for use in preparing Bid Proposals. Bidders will be required to register with the website to download the Bid Documents and Addenda. There is no charge by BidNet Direct for this service. If you experience problems with the BidNet Direct

website, please call 1-800-835-4603 for assistance. Bidders are required to acknowledge all Addenda with their Bid Proposal and are encouraged to either register with the website or to view the Addenda posted on the Contract Administration Solicitation website: <https://solicitations.thorntonco.gov/solicitations> prior to submission of a Bid Proposal. Bidders that do not acknowledge all Addenda may be considered non-responsive. The Bid Documents, including Addenda, are also available for viewing at the Contract Administration office located on the 2<sup>nd</sup> floor of City Hall at 9500 Civic Center Drive, Thornton, Colorado. Bidders that do not have download and/or printing capability in-house, may contact a commercial blueprint company or other reprographics company for assistance with downloading and printing the Bid Documents.

An optional Pre-Bid Conference to discuss the Project will be conducted via web broadcast with Zoom video at **10:00 a.m., May 6, 2026**. All prospective Bidders are encouraged to attend. Prospective Bidders wishing to take part in the Pre-Proposal Conference should e-mail Contract Administrator at [Keith.griess@ThorntonCo.gov](mailto:Keith.griess@ThorntonCo.gov) to receive a url link to access the Zoom video Pre-Proposal Conference. If possible, please hold all questions concerning the RFP until that time. All Prospective Bidders are encouraged to attend.

Each Bid Proposal shall be submitted on form(s) furnished by Thornton and must be accompanied by a certified check, cashier's check, or bid bond in an amount of not less than five percent (5%) of the amount of the Bid Proposal and made payable to the City of Thornton.

The successful Bidder will be required to furnish a Performance Bond and a Labor and Material Payment Bond, each in the amount of one hundred percent (100%) of the total Contract Price, in conformity with the requirements of the Contract Documents.

The successful Bidder will be determined on the basis of the lowest responsive and responsible Bid Proposal

Thornton reserves the right to reject any or all Bid Proposals, to waive any informalities or irregularities in the Bid Proposals received, and to accept the Bid Proposal which in its judgment best serves the interests of Thornton. The apparent successful Bidder will be required to complete a Reference Authorization and Release Form.

All questions shall be directed in writing to Keith Griess, Senior Contract Administrator, 9500 Civic Center Drive, Thornton, Colorado 80229-4326, fax 303- 538-7556 or e-mail – [Keith.griess@cityofthornton.net](mailto:Keith.griess@cityofthornton.net), 8:00 a.m. to 5:00 p.m., local time, Monday through Friday, excluding holidays.

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BY:    
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Contracts Supervisor

## **INFORMATION FOR BIDDERS**

Thornton may consider non-conforming any Bid Proposal not prepared and submitted in accordance with the provisions hereof and may waive any informalities or reject any and all Bid Proposals.

Bidder may, without prejudice, withdraw a Bid Proposal after it has been deposited with Thornton, provided the request for such withdrawal is received by Thornton, in writing, before the deadline set for submission of Bid Proposals. Telephonic communications withdrawing a Bid Proposal will not be accepted.

Any Bidder may modify its Bid Proposal in writing any time prior to the scheduled deadline for submission of Bid Proposals, provided such modification is received by Thornton prior to the Bid Proposal submission deadline. The modification shall not reveal the Bid Proposal price, but shall provide only the addition or subtraction or other modification so that the final prices or terms will not be known by Thornton until the original sealed Bid Proposal is opened.

Any Bid Proposal received after the time and date deadline specified will not be considered.

After opening of Bid Proposals, a Bid Proposal may not be withdrawn by the Bidder for a period of ninety (90) Calendar Days, or as otherwise required by law. Each Bid Proposal must be accompanied by either a certified check or a cashier's check made payable to The City of Thornton, or a Bid Bond duly executed by the Bidder as principal and having as Surety thereon a Surety company approved by Thornton, in the amount of five percent (5%) of the total Bid Proposal amount. Such checks or Bid Bonds of the three (3) lowest Bidders may be retained by Thornton for a period of ninety (90) Calendar Days after the Bid Proposal opening. Other Bidders' Bid Proposal security will be returned within seven (7) Calendar Days from Thornton's receipt of request.

Each Bid Proposal **must be submitted on the Bid Proposal form(s) provided by Thornton** and must be signed by the Bidder or its duly authorized agent. All blank spaces for Bid Proposal prices must be filled in, in ink or typewritten, **IN BOTH WORDS AND NUMERALS**, where called for in the Bid Proposal.

If there is a discrepancy between the total price in words and the total price in numbers, the total price in words will govern. If there is a discrepancy between the Unit or Lump Sum Prices multiplied by the respective quantities and the extended Bid Proposal Prices for individual Pay Items, the Unit Price or Lump sum Prices multiplied by the respective quantity shall be the governing number, and the extended price or prices and the resulting Total Price will be adjusted accordingly.

Conditional Bid Proposals will not be accepted.

Each Bid Proposal must also include the following CDOT forms, which are included herewith as Appendix A:

- CDOT Form #606, Anti-Collusion Affidavit
- CDOT Form #1413, Bidders List
- CDOT Form #605, Contractor's Performance Capability Statement
- CDOT Form #621, Assignment of Anti-trust Claims

Where source funding is from the State or other non-federal funds and a local match the following additional forms will need to be included in the Bid Proposal:

CDOT Form 604, Statement of Residency for Bid Preference

Each Bid Proposal must be submitted in a sealed envelope bearing on the outside the name of the Bidder, Bidder's address, and the name of the Project and the Project Number for which the Bid Proposal is submitted. If submitted by mail, package delivery, or courier service, a sealed envelope containing the Bid Proposal must be enclosed in a mailing envelope addressed to the Contracts and Special Projects Manager, 9500 Civic Center Drive, 2<sup>nd</sup> Floor City Hall, Thornton, Colorado 80229-4326. Please be sure to note on the outside of the mailing envelope "Bid Proposal Enclosed, "Flashing Yellow Arrow Signal Modifications, Thornton Project No. 23-208, CDOT Project No. SHO M286-056 / 25527".

Simultaneously with the delivery of the executed Contract, the Contractor shall furnish its IRS W-9 taxpayer ID form, Anti-Collusion Affidavit if required, the required insurance certificate(s), required endorsements thereto, ACORD Form 101 if required, and Performance and Labor and Material Payment Bonds as security for faithful performance of the Contract and for the payment of all persons performing labor in connection with the Work covered under the Contract and furnishing materials in connection with the Contract, as specified in the Contract Documents. The Surety on such Bonds shall be a duly authorized Surety company satisfactory to Thornton. Attorneys-in-fact who sign Bid Bonds or Performance and Labor and Material Payment Bonds must file with each bond a certified and effectively dated copy of their Power of Attorney. The Surety or Sureties must be listed in Federal Circular 570 and must be approved by Thornton. In no case will Sureties with less than a Best's A rating be approved. Insurers, at a minimum, are required to be admitted in the State of Colorado and maintain an A.M. Best Financial Strength Rating of A or higher.

The successful Bidder, upon Bidder's failure or refusal to execute and deliver the required Contract, Bonds if required, insurance certificate(s), additional insured endorsements, ACORD Form 101 if required, Anti-Collusion Affidavit if required, and or the W-9 Form within ten (10) Calendar Days from and including the date of Notice of Award, shall forfeit to Thornton the security deposited with its Bid Proposal. Notice of Thornton's intent to retain the bid security shall either be hand delivered by Thornton to the Bidder's address, as given on the Bid Proposal form, or mailed to such address, first class, United States mail, return receipt requested. Delivery or mailing of the notice to the address provided shall constitute the required notice without the requirement of an acknowledgment of receipt from the Bidder.

Each Bidder must inform itself fully of the conditions relating to the construction of the Work and the employment of labor thereon. Failure to do so will not relieve a successful Bidder of its

obligation to furnish all Materials and Equipment and labor necessary to carry out the requirements of the Contract Documents. Insofar as possible, the Bidder, in carrying out its Work, must employ such methods or means as will not cause any interruption of or interference with the work of any other contractor.

The Bidder's attention is directed to the fact that all applicable state laws, municipal ordinances, and the rules and regulations of all authorities having jurisdiction over construction of the Work shall apply to the Contract throughout and will be deemed to be included in the Contract the same as though herein written out in full.

No interpretation of the meaning of the Drawings, Specifications, Addenda, other Bid Documents, Contract Documents, or Pre-Bid Meeting Minutes will be made to any Bidder orally. If a Bidder who contemplates submitting a Bid Proposal is in doubt about the precise meaning of any part of the proposed Contract Documents, it may submit to Thornton a written request for an interpretation. Every request for such interpretation shall be in writing addressed to Keith Griess, Senior Contract Administrator, at 9500 Civic Center Drive, Thornton, CO 80229-4326, fax 303-538-7556, or e-mail – [Keith.Griess@ThorntonCo.gov](mailto:Keith.Griess@ThorntonCo.gov), and to be given consideration it must be received by 5:00 p.m. on the tenth (10th) Calendar Day prior to the date fixed for the submission of Bid Proposals. The Bidder submitting the request will be responsible for its prompt and actual delivery. Thornton will not be responsible for any other explanations or interpretations of the Contract Documents which anyone may make on behalf of Thornton. Any and all such interpretations and any supplemental instructions will be in the form of written Addenda to the Bid Documents or to the Contract Documents which, if issued, will be posted on BidNet Direct System's website at [www.BidNetDirect.com](http://www.BidNetDirect.com) and on the Contract Administration bulletin board no later than three (3) Calendar Days prior to the date fixed for submission of Bid Proposals. Failure of any Bidder to receive any such Addenda or interpretation shall not relieve such Bidder from any obligation under its Bid Proposal, as submitted. All Addenda so issued shall become part of the Contract Documents. It shall be the responsibility of each Bidder to verify that each Addendum has been received. A bulletin board will be maintained at the Contract Administration Office which will list the current Projects with Bid Proposal due dates and all Addenda, including the date of issue for each. It is recommended that all Bidders check this board for the status of the particular Project they are interested in bidding prior to submitting a Bid Proposal.

At the time of submission of a Bid Proposal, each Bidder shall have completed a thorough site inspection, including, but not limited to, the location, accessibility and general character of the site of the Work and all existing buildings and structures within and adjacent to the Project site, sufficient to satisfy itself as to the nature of the Work, the condition of existing buildings and structures, the conformation of the ground, the character, quality, and the nature of any Construction Equipment and any other facilities needed preliminary to and during construction of the Work, the general and local conditions, the construction hazards, and all other matters, including the labor situation, which might affect the Work. The Bidder also will have carefully examined and be thoroughly familiar with the Contract Documents, including all Addenda, and satisfied itself as to the feasibility and correctness of the Contract Documents for the construction of the Work and that it accepts all terms, conditions, and stipulations contained in the Contract Documents, and is prepared to work in peace and harmony with other contractors performing work at the Project site. Bidders also shall have reviewed the insurance requirements contained in the Contract Documents. The failure or omission of any Bidder to examine any form, instrument, or

document shall in no way relieve any Bidder from any obligation in respect to its Bid Proposal. The Bidder is to confirm the completeness of the Contract Documents on which its Bid Proposal is based. If the Bidder determines its Contract Documents are incomplete or irregular, it is the Bidder's responsibility to bring this fact to the attention of the Contract Administrator prior to submitting a Bid Proposal.

Thornton invites Bid Proposals on the form(s) included as part of this document to be submitted at such time and place as stated in the Invitation for Bid Proposals. All blanks in the Bid Proposal forms must be appropriately filled in with typewriter or ink. Bidders are instructed to submit the entire Bid Proposal form. **It is the sole responsibility of the Bidder to see that the Bid Proposal is received by Thornton before the scheduled deadline set for submission of Bid Proposals.** Any Bid Proposals received after the scheduled deadline for submission of Bid Proposals will be returned to the Bidder unopened.

The Bid Proposal must be signed in the name of the Bidder and must bear the signature in long hand of the person or persons duly authorized to sign the Bid Proposal. Changes in or additions to the Bid Proposal forms, recapitulations of the Work bid upon, alternative Bid Proposals not requested by Thornton, or any other modifications of the Bid Proposal which are not specifically called for in the Bid Documents, the Contract Documents, or an Addendum may be rejected by Thornton as not being responsive to the solicitation.

Bid Proposals shall be made in the name of the principal, and if a co-partnership, the names of all partners shall be given. Exact postal address shall be given in all cases. If Bid Proposals are submitted by an agent, satisfactory evidence of agency authority must accompany the Bid Proposal. Corporate Bidders to be eligible to enter into a Contract with Thornton shall be qualified to do business in the State of Colorado and Thornton. Bidders shall comply with applicable licensing requirements. Foreign corporations which have not domesticated or otherwise become licensed in Thornton shall obtain a permit to do business in Thornton pursuant to Thornton's requirements prior to submission of a Bid Proposal.

The Bid Proposal submitted must not contain erasures, corrections, or changes from the printed forms as completed in typewriter or ink, unless such erasures, corrections, or changes are authenticated by affixing in the margin immediately opposite the erasure, correction, or change, the initials of the person who signed the Bid Proposal or the initials of such other person as may be authorized by the Bidder to make erasures, corrections, or changes in the Bid Proposal, and such authorization must be evidenced by written confirmation, executed by the person authorized to sign the initial Bid Proposal, attached to the Bid Proposal at the time of submittal.

Bid Proposals may be considered non-conforming and may be rejected for any of the following reasons, unless otherwise provided by law:

1. If the Bid Proposal form(s) furnished to the Bidder by Thornton is not used or is altered;
2. If there are unauthorized additions or conditional Bid Proposals, or irregularities of any kind which may tend to make the Bid Proposal incomplete, indefinite, or ambiguous as to its meaning;

3. If the Bidder adds any provisions reserving the right to accept or reject any Contract award or to enter into a Contract pursuant to an award;
4. If the Unit Prices or Lump Sum Prices contained in the Bid Proposal are unbalanced either above or below reasonable cost analysis values as determined by Thornton;
5. If the Bid Proposal Pay Item price for Mobilization exceeds ten percent (10%) of the total Contract Price;
6. If the Bidder fails to insert a Price for every Bid Proposal Pay Item indicated; or;
7. If the Bidder fails to complete the Bid Proposal in any other particulars where information is requested so Bidder's Proposal may be properly evaluated.

Thornton reserves the right to reject any or all Bid Proposals and to waive irregularities or informalities as may be deemed in Thornton's best interest.

Thornton reserves the right to reject any Bid Proposal if investigation of such Bidder fails to satisfy Thornton that such Bidder is properly qualified to carry out the obligations and to complete the Work contemplated by the Contract Documents. Any or all Bid Proposals will be rejected if there is reason to believe that collusion exists among Bidders.

The Bidder must comply with all information and instructions for Bidders. The award of the Contract, if made by Thornton, will be made by written Notice of Award to Bidder submitting the lowest and best Bid Proposal, but Thornton shall determine in its sole discretion which Bid Proposal is the lowest and responsive to accept the Bid Proposal. Thornton also reserves the right to require evidence of satisfactory operation of any Construction Equipment required to be used to perform the Work. Thornton will consider the Bid Proposals and reserves the right to reject any or all Bid Proposals, to pass upon the regularity, or waive any irregularity or informality, of the Bidders and the acceptability of the Surety offered.

Prior to bidding, requests to substitute Materials or Equipment from those specified shall be made in writing and shall identify the Material or Equipment, or the fabrication or installation method to be replaced, in each request and shall include related Specification sections and Drawing numbers. The Bidder shall provide complete documentation showing compliance with the requirements for substitutions, and the following information, as appropriate:

1. Product Data, including Drawings and descriptions of products, fabrication and installation procedures;
2. Samples, where applicable or requested;
3. A detailed comparison of significant qualities of the proposed substitution with those of the Work specified. Significant qualities may include elements such as size, weight, durability, performance, and visual effect;

4. Coordination information, including a list of changes or modifications needed to other parts of the Work and to construction performed by Thornton and separate contractors, which will become necessary to accommodate the proposed substitution;
5. Any additional information Thornton may request.

All requests for substitution shall be submitted in writing to the Contract Administrator, and all such requests shall be received by Thornton no later than 5:00 p.m. on the tenth (10th) Calendar Day prior to the Bid Proposal submittal date. If any additional Material or Equipment is approved, the information will be published in an Addendum prior to the Bid Proposal submittal date. All Bid Proposals shall be based only on approved or specified Materials and Equipment. Thornton is not obligated to approve substitutions, either before Bid Proposal submission or after Notice of Award, regardless of whether Material, Equipment, or process is considered equivalent.

The successful Bidder, upon award of a Contract, shall commence Work on the date specified in the Notice to Proceed and shall diligently prosecute the Work and shall substantially complete all Work within the Contract Time. Should the Contractor fail to substantially complete all Work in the allotted time period, Liquidated Damages may be assessed.

At the Pre-Construction Meeting or within fourteen (14) Calendar Days after Notice of Award, whichever occurs sooner, the successful Bidder shall submit to Thornton a preliminary schedule showing the order in which the Bidder proposes to carry out the Work to successfully construct all of the Work within the Contract Time. This schedule shall be in addition to any other schedule requirements contained in the Contract Documents. Such preliminary schedule shall show the dates on which the Bidder will start and complete the several parts of the Work and the order of construction and delivery dates of critical Materials and Equipment. The preliminary schedule shall be subject to acceptance by Thornton. The schedule shall be binding on the Bidder and shall be adhered to by the Bidder unless, for good cause shown, a modification of Schedule shall be requested in writing to Thornton and approved by Thornton in accordance with the General Conditions of the Contract.

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## **PROCEDURE FOR CONTRACT SIGNING**

1. Signed Notice of Award - One (1) original of Notice of Award retained by Contract Administration One (1) copy of executed Notice of Award sent to Contractor.
  2. **The following electronic (digital) signing process will be used through a Thornton approved digital signature service:**
    - A. Signed Notice of Award - A digitally signed Notice of Award will be delivered to Contractor by e-mail or through an approved digital signature service.
    - B. After Award, Contract Administrator will send a digital copy of the Contract documents to Contractor for the Bonding company for reference.
    - C. Within ten (10) Calendar Days of Notice of Award, Contractor shall return executed bonds, insurance certificates, W-9, and applicable affidavits to Thornton. The following shall be submitted when returning the executed Bonds to Thornton:
      - a. A copy of the Certificate(s) of Insurance, the Additional Insured Endorsements, and ACORD Form 101 if required, showing all required insurance coverages and limits. Include the Project Number and Contractor's e-mail address on the face of the Certificate of Insurance for future updates and inquiries.

If the Acord Certificate is used, it must be a signed (not stamped) certificate.

Thornton, its officers, employees, and agents, as well as CDOT shall be named as Additional Insureds. This language is to appear on the face of the certificate. Additional Insured Endorsements, indicating completed operations coverage where applicable, must also be submitted.
      - b. W-9 Taxpayer ID Form.
3. Thornton will route the Contract documents to all signatories via an approved digital signature service.
  - A. Contractor signs and attests (if applicable) the Contract via the approved digital signature service. Note: The Contractor's representative signing the Contract must be authorized to bind the company in a contract with Thornton.
  - B. If Contractor is a corporation, Contracts must be attested, and attestation witness must be an officer of the corporation of at least a Secretary rank (not a notary). If Contractor is not a corporation, attestation is not required.
  - C. Print title of the Contract signer, and the attestation witness if applicable, in the spaces provided.

- D. Note: The last City of Thornton signatory will date the Contract.
4. Thornton's Contract Administrator will add the Certificate(s) of Insurance, Additional Insured Endorsements, ACORD Form 101 if applicable, executed Performance and Payment Bonds, and applicable Powers of Attorney, into the Project Manuals with the signed (and attested if applicable) Contracts prior to routing the document via approved digital signature service. **The last City of Thornton signatory will date the Contract on the first page.** One (1) fully executed electronic original will be sent to the Contractor via approved digital signature service for the Contractor's file. The Contractor is responsible for sending the Bonding Company one (1) fully executed copy.

**Alternatively, if hard copies are preferred** after Award, Contract Administrator sends four (4) copies of Contract (bound in Project Manual) to Contractor.

- A. Contractor signs, and attests if applicable, all four (4) copies and returns all four (4) copies of Contract (bound in Project Manual) to Thornton's Contract Administrator.
- B. The Contractor's representative signing the Contract shall be authorized to bind the company in a contract with Thornton.
- C. If Contractor is a corporation, Contracts must be attested and attestation witness must be an officer of the corporation of at least a Secretary rank (not a notary). If Contractor is not a corporation, attestation is not required.
- D. Print title of the Contract signer, and the attestation witness if applicable, in the spaces provided.
- E. Do not date the Contract. The last City of Thornton signatory will date the Contract.
5. Include the following when returning executed Contracts to Thornton. Note: All of the following materials must be returned within ten (10) Calendar Days of the Notice of Award, unless noted otherwise in the contract documents:
- A. Four (4) copies of executed Performance Bond and Labor and Material Payment Bond, along with applicable Powers of Attorney, in one hundred percent (100%) of the Contract Price from a bonding company listed in the government approved list of bonding companies (Circular 570). Original signature, with witness signature or attestation if corporation, is required for all four (4) copies. Submit an executed Power of Attorney for each set of bonds.
- B. Four (4) copies of the Certificate(s) of Insurance, the Additional Insured Endorsements, and ACORD Form 101 if required, showing all required insurance coverages and limits. Include the Project Number and Contractor's e-mail address on the face of the Certificate of Insurance for future updates and inquiries.

If the Acord Certificate is used, we must have a signed (not stamped) certificate.

Thornton, its officers, employees, and agents are to be named as Additional Insureds. This language is to appear on the face of the certificate. Additional Insured Endorsements, indicating completed operations coverage where applicable, must also be submitted.

C. W-9 Taxpayer ID Form.

6. Thornton's Contract Administrator will bind the Certificate(s) of Insurance, Additional Insured Endorsements, ACORD Form 101 if applicable, executed Performance and Payment Bonds, and applicable Powers of Attorney, into the Project Manuals with the signed (and attested if applicable) Contracts and will route the Project Manuals for City of Thornton signatures. **The last City of Thornton signatory will date the Contract on the first page.** Two (2) fully executed originals will be returned to the Contractor, one (1) for the Contractor's file and one (1) for the Contractor's Surety.

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## ***BID PROPOSAL***

**To: City of Thornton  
Attn: Contract Administration  
9500 Civic Center Drive  
Thornton, Colorado, 80229**

The undersigned Bidder, having examined the Specifications, Drawings, and all other documents contained in the Contract Documents, and having examined the Project site where the Work is to be performed, and having familiarized itself with all local conditions affecting the Work and having knowledge of the cost of Work, hereby proposes to execute and perform the Contract set forth in these Contract Documents, of which this Bid Proposal forms a part, and shall do the Work therein described in accordance with the terms and conditions therein set forth, and shall furnish all required labor, Materials, Equipment, tools, Construction Equipment, transportation and services for said Work, and shall pay all applicable fees, permits, taxes, and other incidental costs, all in strict conformity with the Contract Documents, for an amount computed upon the basis of the quantity of Work actually performed at the Bid Proposal prices provided below.

It is understood that any listed quantities of Work to be performed at Unit Prices, except those items specified to be paid at plan quantity, are approximate only and are intended principally to serve as a guide in evaluating Bid Proposals, and the Work will be paid by measuring actual quantities and multiplying the actual quantity by the agreed upon Unit Price. Listed quantities of Work specified to be paid at plan quantity are given as a convenience to assist the Bidder during the bid process and are approximate only, and both Bidder and Thornton agree that regardless of the actual final in place quantity the items of Work specified to be paid at plan quantities will not be measured for payment, and payment will be made based on the plan quantity specified multiplied by the agreed upon Unit Price.

It is further agreed that any quantities of Work to be performed and Material to be furnished at Unit Prices may be increased or decreased as may be considered necessary, in the opinion of Thornton, to complete the Work fully as planned and contemplated and that all quantities of Work or Materials, whether increased or decreased, are to be performed at the Unit Prices set forth in the Bid Proposal, except as provided for in the General Conditions.

It is further agreed that any Lump Sum Prices may be increased to cover Changed or Extra Work ordered by Thornton but not shown on the Drawings or required by the Specifications, in accordance with the provisions of the General Conditions. Similarly, they may be decreased to cover deletion of Work so ordered.

It is further agreed that any combination of Unit Prices and Lump Sum Prices contained in the Bid Proposal, as applicable, may be used by Thornton to price Changed or Extra Work regardless of the scope or quantity of the change, except as may be otherwise provided for in the General Conditions.

By submitting this Bid Proposal, the Bidder acknowledges its understanding that the Bid process is solely intended to serve the public interest, in achieving the highest quality of services and goods at the lowest price and that no right, interest, or expectation shall vest or inure to the benefit of a Bidder as a result of any reliance or participation in the process. In submitting this Bid Proposal, it is understood that the right is reserved by Thornton to reject any or all Bid Proposals and waive informalities or irregularities in Bid Proposals.

The undersigned further agrees, if awarded the Contract for the Work included in this Bid Proposal, to begin and to complete the Work contemplated in accordance with all the conditions set forth in the Contract Documents.

The undersigned has carefully checked the Unit Prices, Lump Sum Prices, and Extended Prices inserted by it and understands that they are the Bidder's sole responsibility and that Thornton will not be responsible for any errors or omissions on the part of the undersigned Bidder in preparing this Bid Proposal.

The undersigned certifies that this Bid Proposal is genuine, not collusive, or made in the interest or behalf of any person not named as provided in the Instruction to Bidders and that the undersigned has not, directly or indirectly, induced or solicited any other Bidder, or induced any other person, firm or corporation to refrain from submitting a Bid Proposal, and the undersigned has not in any manner sought by collusion to secure for itself an advantage over any other Bidder.

The undersigned has attached a certified check without endorsement or conditions, payable to the City of Thornton, in the sum of five percent (5%) of the Bid Proposal, drawn on a bank which is a member of Federal Reserve System or which is a member of the Federal Deposit Insurance Corporation, or attach a cashier's check for five percent (5%) of the total Bid Proposal amount, or attach a Bid Bond written by a surety company approved by Thornton and listed in the most recent Federal Register Circular 570 or having a current Best's rating of A or better for five percent (5%) of the total Bid Proposal amount.

It is expressly understood that the check or bond is given as security and as a guarantee that the Bidder will, if awarded the Contract, timely execute the Contract, furnish an acceptable Performance Bond and Labor and Material Payment Bond on the forms included in the Contract Documents if required, furnish the required insurance, and furnish the other documents required by the Contract. The undersigned expressly acknowledges that the amount thereof represents the agreed damages that Thornton will sustain if the Bidder fails or refuses to execute and deliver within ten (10) Calendar Days from and including the date of the Notice of Award, the Contract, the required Performance Bond and Labor and Material Payment Bond, the required insurance, and the other documents required by the Contract, in which event said check or bond shall be immediately payable to and retained by Thornton.

The Bidder grants Thornton the right to hold the lowest three (3) Bid Proposals received, together with the accompanying Bid Proposal securities, for a period of ninety (90) Calendar Days after the date of submission of the Bid Proposals and to delay Notice of Award until the end of such time period.

The undersigned Bidder further grants Thornton the right to award this Contract on the basis of any possible combinations of base Bid Proposal and add or deduct alternate(s), if any, that best suits Thornton's needs.

The undersigned Bidder further agrees to furnish to Thornton all such information and data deemed by Thornton to be necessary to determine the ability of Bidder to perform the Work, and within two (2) Business Days of Bid Proposal submission, shall provide Thornton a completed Reference Authorization and Release Form, a copy of which is included herein.

The undersigned Bidder further agrees to comply with Colorado's statutes.

The Bidder's attention is directed to the fact that all applicable state and federal laws, county and city ordinances, licenses and regulations of all authorities having jurisdiction over the Project shall apply to the Contract throughout, and they will be deemed to be included in the Contract the same as though herein written out in full.

The undersigned Bidder acknowledges that the City of Thornton Charter Section 7.4 prohibits Thornton from making Contracts with firms which employ certain relatives of Thornton employees unless the City Council determines that the making of such a Contract is in Thornton's best interest. For the purposes of this Charter Section, relative shall include domestic partners. The undersigned Bidder attests to the following:

No City Council Member, member of a board or commission, Municipal Judge, City Manager, City Attorney, or employee of the City of Thornton or any such person's family member, domestic partner, or person assuming a relationship being the substantial equivalent of the above, has an existing or pending, direct or indirect, financial, pecuniary or personal interest in the Bidder or with this Invitation for Bid Proposals, except as follows (list, if none state "None"): \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

The undersigned Bidder acknowledges the following Addenda:

Addendum #	Dated	Initial
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

The undersigned Bidder expressly agrees to the following provisions:

- A. That the Bid Proposal stated shall include the utilization of the Subcontractor(s) and Supplier(s) listed in the List of Subcontractors/Suppliers below.
- B. That the Subcontractor(s) and Supplier(s) listed below shall not be changed by the Bidder unless the Subcontractor(s) or Supplier(s) are unable to perform due to bankruptcy, labor strikes, or termination of business by the Subcontractor(s) or Supplier(s).
- C. That any Subcontractor(s) and Supplier(s) shall be subject to Thornton's approval.

List below any Subcontractor(s) and Supplier(s) whose contract(s) exceeds ten thousand dollars (\$10,000).

	<u>SUBCONTRACTOR/SUPPLIER</u>	<u>TYPE OF WORK</u>
1.	_____	_____
2.	_____	_____
3.	_____	_____
4.	_____	_____
5.	_____	_____
6.	_____	_____
7.	_____	_____
8.	_____	_____
9.	_____	_____
10.	_____	_____

Attach additional sheet if more space is needed.

# REFERENCE AUTHORIZATION AND RELEASE FORM

By: \_\_\_\_\_, A Corporation  
 \_\_\_\_\_, A Partnership whose address is:  
 (Proposing firm) An Individual

Proposing Firm has submitted a sealed proposal to the City of Thornton (Thornton) for engineering services for the \_\_\_\_\_, Project No. \_\_\_\_\_ (Project).

Proposing Firm hereby authorizes Thornton to perform such investigation of proposing firm as it deems necessary to verify the qualifications, responsibility, trustworthiness and financial ability of Proposing Firm. By its signature hereon, the proposing firm authorizes Thornton to obtain reference information concerning the proposing firm. Proposing Firm further agrees to release and hold Thornton and the firm or agency providing reference information harmless from all liability resulting from providing the requested reference information to Thornton about the Proposing Firm.

Proposing Firm further authorizes Thornton to discuss and release reference information regarding Proposing Firm's performance as it will relate to this upcoming Project upon receiving a request for such information. Proposing Firm agrees to release and hold Thornton harmless from all liability associated with releasing such information about Proposing Firm.

Proposing Firm further waives its right to receive copies of reference information provided to Thornton. By signing below, Proposing Firm agrees with the terms of this Reference Authorization and Release and authorizes Thornton to obtain reference information concerning Proposing Firm.

A copy or facsimile of this executed Reference Authorization and Release Form may be used with the same effectiveness as an original.

\_\_\_\_\_  
 Signature Date

\_\_\_\_\_  
 Print Name

\_\_\_\_\_  
 Title

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## POST-QUALIFICATION QUESTIONNAIRE

1. How many years has your organization been in business as a General Contractor under the present firm's name? \_\_\_\_\_

2. How many years has your organization been in business under other business name(s)?  
\_\_\_\_\_ List other names: \_\_\_\_\_  
\_\_\_\_\_

3. If a corporation, answer the following:

Date of incorporation: \_\_\_\_\_

State of incorporation: \_\_\_\_\_

President: \_\_\_\_\_  
\_\_\_\_\_

Vice-President(s): \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Secretary: \_\_\_\_\_  
\_\_\_\_\_

Treasurer: \_\_\_\_\_  
\_\_\_\_\_

4. If a partnership, answer the following:

Date of organization: \_\_\_\_\_

Type of partnership: \_\_\_\_\_  
(General/Limited/Assoc)

Name and address \_\_\_\_\_  
of all partners: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

5. If other than a corporation or partnership, describe the organization form and provide the name and address of all principals:

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6. List the trades and percent of the total contract you normally perform with your own forces:

_____	_____ %
_____	_____ %
_____	_____ %
_____	_____ %
_____	_____ %

Percent of total contract by dollar amount typically self-performed: \_\_\_\_\_%

7. Have you ever failed to complete any work awarded to you? \_\_\_\_\_ If so, indicate when, where, and why below. Have you ever been declared in default on a contract? \_\_\_\_\_ If "yes", indicate when, where, and why below.

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8. Has any director, officer, or partner of your firm ever been a director, officer, or partner of another organization that failed to complete a construction contract? \_\_\_\_\_ If "yes", state circumstances below.

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9. Has your firm ever failed to be awarded a contract on which your firm was low bidder?  
\_\_\_\_\_ If “yes”, state when, where, and why below.

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10. Has your firm in the past two (2) years, or is your firm at the present time, late in the completion of any projects for a government agency? \_\_\_\_\_ If “yes”, list all applicable projects and state when, where, and why below.

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11. Liquidated Damages and/or disputes: List all government or agency projects in the last two (2) years where Liquidated Damages were or may be assessed, and where substantial disputes on projects occurred or are currently occurring. Attach a detailed explanation, using an additional sheet if necessary.

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12. Has your firm’s bonding company been contacted in the past two (2) years concerning either the late completion of a project or the non-payment of subcontractors or suppliers? \_\_\_\_\_ If “yes”, explain the circumstances in detail.

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13. List all major construction projects your firm currently has under contract in Colorado on this date:

Project Name \_\_\_\_\_  
Owner \_\_\_\_\_ Phone Number \_\_\_\_\_  
Architect/Engineer \_\_\_\_\_ Phone Number \_\_\_\_\_  
Contract Amount \_\_\_\_\_ Contract Date \_\_\_\_\_  
Percent Complete \_\_\_\_\_ Original Scheduled Completion Date \_\_\_\_\_  
Change Order Amount to Date \_\_\_\_\_ Current Scheduled Completion Date \_\_\_\_\_

Project Name \_\_\_\_\_  
Owner \_\_\_\_\_ Phone Number \_\_\_\_\_  
Architect/Engineer \_\_\_\_\_ Phone Number \_\_\_\_\_  
Contract Amount \_\_\_\_\_ Contract Date \_\_\_\_\_  
Percent Complete \_\_\_\_\_ Original Scheduled Completion Date \_\_\_\_\_  
Change Order Amount to Date \_\_\_\_\_ Current Scheduled Completion Date \_\_\_\_\_

Project Name \_\_\_\_\_  
Owner \_\_\_\_\_ Phone Number \_\_\_\_\_  
Architect/Engineer \_\_\_\_\_ Phone Number \_\_\_\_\_  
Contract Amount \_\_\_\_\_ Contract Date \_\_\_\_\_  
Percent Complete \_\_\_\_\_ Original Scheduled Completion Date \_\_\_\_\_  
Change Order Amount to Date \_\_\_\_\_ Current Scheduled Completion Date \_\_\_\_\_

Project Name \_\_\_\_\_  
Owner \_\_\_\_\_ Phone Number \_\_\_\_\_  
Architect/Engineer \_\_\_\_\_ Phone Number \_\_\_\_\_  
Contract Amount \_\_\_\_\_ Contract Date \_\_\_\_\_  
Percent Complete \_\_\_\_\_ Original Scheduled Completion Date \_\_\_\_\_  
Change Order Amount to Date \_\_\_\_\_ Current Scheduled Completion Date \_\_\_\_\_

Project Name \_\_\_\_\_  
Owner \_\_\_\_\_ Phone Number \_\_\_\_\_  
Architect/Engineer \_\_\_\_\_ Phone Number \_\_\_\_\_  
Contract Amount \_\_\_\_\_ Contract Date \_\_\_\_\_  
Percent Complete \_\_\_\_\_ Original Scheduled Completion Date \_\_\_\_\_  
Change Order Amount to Date \_\_\_\_\_ Current Scheduled Completion Date \_\_\_\_\_

Project Name \_\_\_\_\_  
Owner \_\_\_\_\_ Phone Number \_\_\_\_\_  
Architect/Engineer \_\_\_\_\_ Phone Number \_\_\_\_\_  
Contract Amount \_\_\_\_\_ Contract Date \_\_\_\_\_  
Percent Complete \_\_\_\_\_ Original Scheduled Completion Date \_\_\_\_\_  
Change Order Amount to Date \_\_\_\_\_ Current Scheduled Completion Date \_\_\_\_\_

Project Name \_\_\_\_\_  
Owner \_\_\_\_\_ Phone Number \_\_\_\_\_  
Architect/Engineer \_\_\_\_\_ Phone Number \_\_\_\_\_  
Contract Amount \_\_\_\_\_ Contract Date \_\_\_\_\_  
Percent Complete \_\_\_\_\_ Original Scheduled Completion Date \_\_\_\_\_  
Change Order Amount to Date \_\_\_\_\_ Current Scheduled Completion Date \_\_\_\_\_

Project Name \_\_\_\_\_  
Owner \_\_\_\_\_ Phone Number \_\_\_\_\_  
Architect/Engineer \_\_\_\_\_ Phone Number \_\_\_\_\_  
Contract Amount \_\_\_\_\_ Contract Date \_\_\_\_\_  
Percent Complete \_\_\_\_\_ Original Scheduled Completion Date \_\_\_\_\_  
Change Order Amount to Date \_\_\_\_\_ Current Scheduled Completion Date \_\_\_\_\_

Project Name \_\_\_\_\_  
 Owner \_\_\_\_\_ Phone Number \_\_\_\_\_  
 Architect/Engineer \_\_\_\_\_ Phone Number \_\_\_\_\_  
 Contract Amount \_\_\_\_\_ Contract Date \_\_\_\_\_  
 Percent Complete \_\_\_\_\_ Original Scheduled Completion Date \_\_\_\_\_  
 Change Order Amount to Date \_\_\_\_\_ Current Scheduled Completion Date \_\_\_\_\_

(Attach additional sheet if necessary.)

- 14. List major construction projects similar to this Project that your firm has completed in Colorado in the past three (3) years. Bidder must have significant, recent, similar, experience to be considered qualified.

Project Name \_\_\_\_\_  
 Owner \_\_\_\_\_ Phone Number \_\_\_\_\_  
 Architect/Engineer \_\_\_\_\_ Phone Number \_\_\_\_\_  
 Original Contract Amount \_\_\_\_\_ Final Contract Amount \_\_\_\_\_  
 No. of Change Orders \_\_\_\_\_ Contract Date \_\_\_\_\_  
 Original Completion Date \_\_\_\_\_ Actual Completion Date \_\_\_\_\_

Project Name \_\_\_\_\_  
 Owner \_\_\_\_\_ Phone Number \_\_\_\_\_  
 Architect/Engineer \_\_\_\_\_ Phone Number \_\_\_\_\_  
 Original Contract Amount \_\_\_\_\_ Final Contract Amount \_\_\_\_\_  
 No. of Change Orders \_\_\_\_\_ Contract Date \_\_\_\_\_  
 Original Completion Date \_\_\_\_\_ Actual Completion Date \_\_\_\_\_

Project Name \_\_\_\_\_  
 Owner \_\_\_\_\_ Phone Number \_\_\_\_\_  
 Architect/Engineer \_\_\_\_\_ Phone Number \_\_\_\_\_  
 Original Contract Amount \_\_\_\_\_ Final Contract Amount \_\_\_\_\_  
 No. of Change Orders \_\_\_\_\_ Contract Date \_\_\_\_\_  
 Original Completion Date \_\_\_\_\_ Actual Completion Date \_\_\_\_\_

Project Name \_\_\_\_\_  
Owner \_\_\_\_\_ Phone Number \_\_\_\_\_  
Architect/Engineer \_\_\_\_\_ Phone Number \_\_\_\_\_  
Original Contract Amount \_\_\_\_\_ Final Contract Amount \_\_\_\_\_  
No. of Change Orders \_\_\_\_\_ Contract Date \_\_\_\_\_  
Original Completion Date \_\_\_\_\_ Actual Completion Date \_\_\_\_\_

Project Name \_\_\_\_\_  
Owner \_\_\_\_\_ Phone Number \_\_\_\_\_  
Architect/Engineer \_\_\_\_\_ Phone Number \_\_\_\_\_  
Original Contract Amount \_\_\_\_\_ Final Contract Amount \_\_\_\_\_  
No. of Change Orders \_\_\_\_\_ Contract Date \_\_\_\_\_  
Original Completion Date \_\_\_\_\_ Actual Completion Date \_\_\_\_\_

Project Name \_\_\_\_\_  
Owner \_\_\_\_\_ Phone Number \_\_\_\_\_  
Architect/Engineer \_\_\_\_\_ Phone Number \_\_\_\_\_  
Original Contract Amount \_\_\_\_\_ Final Contract Amount \_\_\_\_\_  
No. of Change Orders \_\_\_\_\_ Contract Date \_\_\_\_\_  
Original Completion Date \_\_\_\_\_ Actual Completion Date \_\_\_\_\_

Project Name \_\_\_\_\_  
Owner \_\_\_\_\_ Phone Number \_\_\_\_\_  
Architect/Engineer \_\_\_\_\_ Phone Number \_\_\_\_\_  
Original Contract Amount \_\_\_\_\_ Final Contract Amount \_\_\_\_\_  
No. of Change Orders \_\_\_\_\_ Contract Date \_\_\_\_\_  
Original Completion Date \_\_\_\_\_ Actual Completion Date \_\_\_\_\_

Project Name \_\_\_\_\_  
Owner \_\_\_\_\_ Phone Number \_\_\_\_\_  
Architect/Engineer \_\_\_\_\_ Phone Number \_\_\_\_\_  
Original Contract Amount \_\_\_\_\_ Final Contract Amount \_\_\_\_\_  
No. of Change Orders \_\_\_\_\_ Contract Date \_\_\_\_\_  
Original Completion Date \_\_\_\_\_ Actual Completion Date \_\_\_\_\_

Project Name \_\_\_\_\_  
 Owner \_\_\_\_\_ Phone Number \_\_\_\_\_  
 Architect/Engineer \_\_\_\_\_ Phone Number \_\_\_\_\_  
 Original Contract Amount \_\_\_\_\_ Final Contract Amount \_\_\_\_\_  
 No. of Change Orders \_\_\_\_\_ Contract Date \_\_\_\_\_  
 Original Completion Date \_\_\_\_\_ Actual Completion Date \_\_\_\_\_

(Attach additional sheet if necessary.)

15. List the years of construction experience of all the principal individuals in your organization located in Colorado. Identify those individuals that will be assigned to this Project by indicating the percent of their time to be dedicated to this Project.

<u>Name</u>	<u>Position</u>	<u>Yrs. Experience</u>	<u>% Time</u>
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

16. Provide resumes of your proposed Project Manager and Superintendent and include references for each from owners and consulting engineers or architects for their last three (3) projects. Of the similar completed projects listed previously, indicate which were worked on by your proposed Project Manager and Superintendent.
17. Include a proposed project organization chart showing key staff, their area of responsibility, and the date they will be available to begin Work on the Project.
18. List major equipment available for this Project:

\_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_





23. Describe any experience by the firm or key staff with partnering, both formal and informal. Highlight the partnering experience by key staff proposed for this Project. Indicate if the firm plans to conduct any partnering sessions on this Project.

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(Use additional sheet if necessary.)

24. List states and categories in which the firm is legally qualified or licensed to do business.

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25. Bank References:

a. Provide the contact name, bank name and address, and telephone number of the firm's banking references.

<hr/>	<hr/>	<hr/>
<hr/>	<hr/>	<hr/>
<hr/>	<hr/>	<hr/>
<hr/>	<hr/>	<hr/>

b. Provide a letter of reference from the firm's primary operating bank.

26. Trade References - Provide the contact name, company name and address, and telephone number of subcontractors and suppliers frequently used by your firm:

<hr/>	<hr/>	<hr/>
<hr/>	<hr/>	<hr/>
<hr/>	<hr/>	<hr/>
<hr/>	<hr/>	<hr/>
<hr/>	<hr/>	<hr/>
<hr/>	<hr/>	<hr/>

27. Bonding Company:

- a. Provide the name, address, and telephone number of the firm's bonding agent.

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- b. Provide a letter from the bonding agent indicating the firm's bonding capacity and that it is adequate to undertake this Work. Indicate what portion remains of this bonding capacity at the time of Post-qualification submittal.

28. Insurance Company:

- a. Provide the name, address, e-mail addresses, and telephone number of the firm's insurance agent(s) and the names and ratings of the insurance companies.

Worker's Comp: \_\_\_\_\_

General Liability: \_\_\_\_\_

Builder's Risk and/or Installation Floater: \_\_\_\_\_

Auto Liability: \_\_\_\_\_

Other: \_\_\_\_\_

- b. Provide certificate(s) of insurance outlining coverage and policy limits.
- c. Indicate if any of the coverages can be increased, and whether there are any current claims that will affect coverage limits available to Thornton for this Project.

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29. In a separate sealed envelope labeled with the Project Name and Project Number, the firm's name, the date submitted, and marked "CONFIDENTIAL – CLAIM AND LITIGATION RECORD", provide the following:

- a. A list of the construction projects, along with address and telephone number of the owner, to which the firm or any of the firm's directors, partners, or officers were party in their role as director, partner, or officer of the firm, during the previous ten (10) years if such projects were the subject of formal arbitration or litigation of any type. If the dispute resulted in a confidential settlement agreement, the names of the parties involved may be redacted, but the project must be listed.

- b. Indicate if any judgments have been entered within the last five (5) years against your firm or against any of the firm’s directors, partners, or officers related to their role in the performance of construction projects with the firm or with prior construction firms. If so, provide a list of Judgment Creditors, where docketed and date, and amount for each. Indicate if any of the judgments included an award of attorneys’ fees as a sanction. If yes, explain why.
- c. A list of current lawsuits that are pending at this time against the firm, or against any of the directors, partners, or officers of the firm related to their role in the performance of construction projects with the firm. Indicate the parties and summarize the nature of the dispute.

30. Was the firm or any of its directors, partners, or officers ever suspended, debarred, or determined to be ineligible from entering into contracts with any federal, state, or local governmental entity? \_\_\_\_\_ If “yes”, give details:

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31. Criminal Convictions: Indicate if the firm, or any of its officers, employees, subsidiary companies, or affiliates has pled guilty, nolo contendere, or been convicted of any crimes, including petty offenses, misdemeanors, or felonies, in any jurisdiction in the United States, related to perjury, bidding, labor, taxes, wages, safety, environmental, or performance of construction contracts within the past five (5) year period.

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32. Financial Capacity:

By submission of the Post-Qualification Affidavit, the bank letter of reference, and the bonding company letter required herein, the Bidder certifies that it has adequate financial capacity to perform the Work in accordance with the Contract Documents.

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## SCHEDULE OF CONTRACT PAY ITEMS AND PRICES

ITEM NUMBER	ITEM	UNIT	QTY	UNIT PRICE	COST
202-00010	Removal of Tree	EA	1	\$	\$
202-00195	Removal of Median Cover	SY	13	\$	\$
202-00203	Removal of Concrete Curb Ramp	LF	18	\$	\$
202-00828	Removal of Traffic Signal Equipment	LS	1	\$	\$
203-01597	Potholing	HR	80	\$	\$
207-00700	Topsoil (Onsite)	CY	49	\$	\$
208-00002	Erosion Log Type 1 (12 Inch)	LF	200	\$	\$
208-00035	Aggregate Bag	LF	400	\$	\$
208-00046	Pre-Fabricated Concrete Washout Structure (Type I)	EACH	2	\$	\$
208-00103	Removal and Disposal of Sediment (Labor)	HOUR	10	\$	\$
208-00105	Removal and Disposal of Sediment (Equipment)	HOUR	10	\$	\$
208-00106	Sweeping (Sediment Removal)	HOUR	20	\$	\$
208-00107	Removal of Trash	HOUR	10	\$	\$
210-00479	Reset Radio Communication Antenna	EACH	2	\$	\$
210-00760	Reset Luminaire	EACH	4	\$	\$
210-00814	Reset Illuminated Sign	EACH	12	\$	\$
210-00815	Reset Sign Panel	EACH	7	\$	\$
210-00867	Reset Fire Preemption Unit	EACH	5	\$	\$
210-00890	Reset Intersection Detection System (Camera)	EACH	5	\$	\$
212-00700	Organic Fertilizer	LBS	18	\$	\$
212-00701	Compost (Mechanically Applied)	CY	4	\$	\$
212-00704	Mycorrhizae	LBS	3	\$	\$
212-00708	Seeding (Native) Broadcast	ACRE	0.1	\$	\$
212-01200	Landscape Restoration	LS	1	\$	\$
214-00240	Deciduous Tree (4 Inch Caliper)	EACH	1	\$	\$
216-00201	Soil Retention Blanket (Straw/Coconut)(Biodegradable Class 1)	SY	291	\$	\$
240-00000	Wildlife Biologist	HOUR	16	\$	\$
403-00720	Hot Mix Asphalt (Patching)(Asphalt)	TON	2	\$	\$

## SCHEDULE OF CONTRACT PAY ITEMS AND PRICES (CONT.)

503-00018	Drilled Shaft (18-Inch)	LF	24	\$	\$
503-00036	Drilled Shaft (36-Inch)	LF	90	\$	\$
503-00054	Drilled Shaft (54-Inch)	LF	84	\$	\$
608-00000	Concrete Sidewalk	SY	4	\$	\$
608-00010	Concrete Curb Ramp	SY	13	\$	\$
610-00030	Median Cover Material (Concrete)	SF	120	\$	\$
613-00206	2-Inch Electrical Conduit (Bored)	LF	105	\$	\$
613-00306	3-Inch Electrical Conduit (Bored)	LF	210	\$	\$
613-01200	2-Inch Electrical Conduit (Plastic)	LF	200	\$	\$
613-01300	3-Inch Electrical Conduit (Plastic)	LF	268	\$	\$
613-07023	Pull Box (24"x36"24")	EACH	3	\$	\$
613-10000	Wiring	LS	1	\$	\$
613-20200	LED Luminaire	EACH	5	\$	\$
613-80109	Meter Power Pedestal	EACH	2	\$	\$
614-00011	Sign Panel (Class 1)	SF	563	\$	\$
614-10159	Modify Signal Head Backplate	EACH	127	\$	\$
614-70118	Pedestrian Signal Face (18)	EACH	20	\$	\$
614-70336	Traffic Signal Face (12-12-12)	EACH	40	\$	\$
614-70448	Traffic Signal Face (12-12-12-12)	EACH	149	\$	\$
614-70450	Traffic Signal Face Programmable (12-12-12-12)	EACH	1	\$	\$
614-72860	Pedestrian Push Button	EACH	18	\$	\$
614-72863	Pedestrian Push Button Post Assembly – 4-Foot	EACH	1	\$	\$
614-81150	Traffic Signal Light Pole Steel (1- 50-Foot Mast Arm)(Install Only)	EACH	5	\$	\$
614-81160	Traffic Signal Light Pole Steel (1- 60-Foot Mast Arm)(Install Only)	EACH	1	\$	\$
614-81170	Traffic Signal Light Pole Steel (1- 70-Foot Mast Arm)(Install Only)	EACH	1	\$	\$
614-81175	Traffic Signal Light Pole Steel (1- 75-Foot Mast Arm)(Install Only)	EACH	3	\$	\$

### SCHEDULE OF CONTRACT PAY ITEMS AND PRICES (CONT.)

614-84100	Traffic Signal Pedestal Pole Aluminum – 10-Foot	EACH	3	\$	\$
614-84115	Traffic Signal Pedestal Pole Aluminum – 15-Foot	EACH	4	\$	\$
620-00020	Sanitary Facility	EACH	1	\$	\$
625-00000	Construction Surveying	LS	1	\$	\$
626-00000	Mobilization	LS	1	\$	\$
630-00003	Uniformed Traffic Control	HOURL	88	\$	\$
630-00007	Traffic Control Inspection	DAY	28	\$	\$
630-00012	Traffic Control Management	DAY	73	\$	\$
630-80340	Pedestrian Barricade (ADA)	LF	40	\$	\$
630-80341	Construction Traffic Sign (Panel Size A)	EACH	28	\$	\$
630-80350	Vertical Panel	EACH	25	\$	\$
630-80355	Portable Message Sign Panel	EACH	2	\$	\$
630-80356	Advanced Warning Sequencing Arrow Panel (A Type)	EACH	2	\$	\$
630-80360	Drum Channelizing Device	EACH	25	\$	\$
630-80380	Traffic Cone	EACH	50	\$	\$
700-70009	F/A Minor Contract Revisions	F.A.	1	\$10,000.00	\$10,000.00
700-70082	F/A Furnish and Install Electrical Service	F.A.	1	\$10,000.00	\$10,000.00
700-70380	F/A Erosion Control	F.A.	1	\$5,000.00	\$5,000.00
700-70589	F/A Environmental Health & Safety Management	FA	1	\$10,000.00	\$10,000.00
<b>TOTAL BASE BID</b>					

**SCHEDULE OF CONTRACT ITEMS AND PRICES**

Total Base Bid Proposal \_\_\_\_\_ Dollars

(Words)

\_\_\_\_\_ Cents

(Words)

\$ \_\_\_\_\_

(Numerals)

Dated this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_.

FIRM NAME \_\_\_\_\_

By: \_\_\_\_\_

Title: \_\_\_\_\_

Bidder's Legal Status \_\_\_\_\_

State of Organization \_\_\_\_\_

Firm's Address: \_\_\_\_\_

\_\_\_\_\_

Telephone: \_\_\_\_\_

Fax: \_\_\_\_\_

Email: \_\_\_\_\_

Witness: (Attest and Seal if Bid Proposal is by Corporation)

\_\_\_\_\_

## CONTRACT

This Contract for Flashing Yellow Arrow Signal Modifications, Thornton Project No. 23-208, CDOT Project No. SHO M286-056 / 25527 made and entered onto this \_\_\_\_ day of \_\_\_\_\_, 20\_\_ between the **City of Thornton**, a home rule municipality, in the state of Colorado, (“Thornton”), and **[insert contractor’s Company Name]** (“Contractor”) whose office is located at **[insert contractor’s address]**. Thornton and Contractor hereinafter may be referred to collectively as, the “Parties” or individually as the “Party.”

### I. RECITALS

- A. On **[insert Bid date]**, Thornton solicited one (1) or more Contractors to perform construction and construction related services needed for the Flashing Yellow Arrow Signal Modifications, Thornton Project No. 23-208, CDOT Project No. SHO M286-056 / 25527 (the “Project”).
- B. On **[insert Award date]**, after the solicitation closed, Thornton awarded the Project to the above-named Contractor.
- C. Thornton also contracted with Rocksol Consulting Group Inc., (“Consultant”) to provide the Professional Engineering Services (“Professional Services”) this Project requires.
- D. Thornton now requires Contractor to perform the construction services in connection with the Project.
- E. Contractor represents that it is experienced and knowledgeable in doing this type of work, has the equipment and other resources necessary to perform the Work, and is ready, willing and able to perform the services this Project requires within the required time line.

In consideration of the promises stated herein, Contractor and Thornton agree as follows:

### II. TERMS & CONDITIONS

- A. **Project Description.** The Project consists of modifications to convert existing traffic signal heads from Protected-Permissive Left Turn (PPLT) to Flashing Yellow Arrow (FYA).
- B. **Contractor’s Role in General; The Work.** Contractor shall complete and perform all Work in connection with Flashing Yellow Arrow Signal Modifications, Thornton Project No. 23-208, CDOT Project No. SHO M286-056 / 25527 in accordance with the Contract Documents.
- C. **Commencement Date; Substantial Completion Date.**
  - 1. **Commencement Date.** The Project will commence on the date specified in the written Notice to Proceed received from Thornton (“Commencement Date”).

2. Substantial Completion Date. Contractor shall diligently and continuously perform and substantially complete all Work within one hundred sixty-eight (168) Calendar Days from the date of Notice to Proceed (“Substantial Completion Date”), and be subject to the General Conditions’ Article XIII, Substantial Completion.

**D. Contract Documents.**

1. This Agreement consists of the contract documents listed as follows:
  - a. This Project Manual for Construction;
  - b. Exhibit A Special Conditions;
  - c. Exhibit B General Conditions;
  - d. Exhibit C Drawings and Specification (under separate cover);
  - e. Exhibit D Schedule of Contract Items and Prices;
  - f. Exhibit F Performance, and Materials and Labor Bonds;
  - g. Exhibit G Insurance Requirements and Certification;
  - h. Exhibit H Standard Concrete Specifications;
  - i. Exhibit I Notice of Award & Notice to Proceed;
  - j. Federal Contract Provisions;
  - k. CDOT Standard Special Provisions & Project Specific Specifications (under separate cover);
  - l. APPENDIX Documents
  - m. All authorized and executed written Change Orders
  - n. All documents, exhibits, attachments and appendices expressly found and referenced in items a, through l, listed above; and
  - o. Addendums approved before execution of this Agreement but does not include, the Bid Proposal Form submitted by the Contractor, Invitation for Bid Proposals, Information for Bidders, Procedure for Contract Signing and those documents clearly not intended to be part of this Agreement.
2. Addendums. Any supplemental information, including answers to bidder questions, contained in the addenda issued in connection with the Invitation to Bid and the Bidder’s Bid Proposal Form, is incorporated into this Contract. Any failure

to update the plans, specifications, or other documents with the information contained within the addenda does not negate the Contractor's responsibility to abide by the requirements established in the addenda. However, if there is an apparent ambiguity, error, or omission in any Contract Documents, the Contractor's Bid Proposal Form may be used as a point of reference to correct a mutually agreed ambiguity, error, or omission. Similarly, the information contained in the original bidding documents, including the information for bidders, could be used for clarification, when necessary.

3. Order of Precedence. Should there be a conflict within Contract Documents it shall be reconciled in the following order.

a. 105.09 Coordination of Plans, Specifications, Supplemental Specifications, and Special Provisions.

(The specifications, the supplemental specifications, the plans, special provisions, and all supplementary documents are essential parts of the Contract, and a requirement occurring in one is as binding as though occurring in all. They are intended to be complementary and to describe and provide for a complete work.)

b. In case of discrepancy in the above listed documents the order of precedence is:

c. The Special Provisions:

- i. Project Special Provisions.
- ii. Standard Special Provisions.

d. The Plans

- i. Detailed Plans
- ii. Standard Plans
- iii. Calculated dimensions will govern over scaled dimensions.

e. The Supplemental Specifications

f. The Standard Specifications

Contractor shall not take advantage of any apparent error or omission in the Contract. If Contractor discovers an error or omission, Contractor shall immediately notify Engineer. Engineer will make corrections and interpretations as necessary to fulfill the intent of the Contract.

4. Reconciliation of Conflicts in the Contract Documents. If any portion of the Contract Documents conflict with any other portion, the various documents comprising the Contract Documents shall govern in the order of precedence as set



**F. Change to Scope of Work, Terms or Conditions.**

1. Any change to the Scope of Work, or to any term or condition of the Contract shall either be by a written Change Order and/or written Amendment.
2. No such change, including any additional compensation, shall be effective or paid unless authorized by a Change Order and/or Written Amendment executed by the Thornton City Manager (“City Manager”) or City Manager’s designee(s). Should Contractor proceed without the written authorization of City Manager, or City Manager’s designee then Contractor shall be deemed to have waived any claim for additional compensation, including a claim based on the theory of unjust enrichment, quantum meruit, or implied contract.
3. Except as expressly provided by the Contract, no agent, employee, or representative of Thornton has the authority to change or modify - directly or by an implied course of action - the Scope of Work or a term or condition of the Contract. Any change made to the Scope of Work shall be in accordance with the General Conditions.

**G. Payments.**

1. Contractor shall submit applications for payment in accordance with the General Conditions’ Article XIV, Payments and in accordance with Exhibit D - Schedule Of Contract Pay Items And Prices (as amended) to be used in the Application for Payment form.
2. Retainage. The amount of retainage withheld shall be in accordance with the General Conditions’ Article XIV, Section G, Retainage/Withholding of Funds.

**H. Liquidated Damages for Unexcused Delay.**

1. The Parties agree time is of the essence with respect to Contractor completing the Project by the scheduled Substantial Completion Date. The Parties further agree the actual damages Thornton would incur are difficult or impossible to calculate with any certainty should Contractor fail to substantially complete the Work (“Unexcused Delay”). The harm to Thornton would include but not be limited to increased Project cost, loss of use of the Project, and an incalculable inconvenience to the general public if the Substantial Completion Date, and other specified milestones are not met as set forth in the Contract Documents.
2. As a remedy, and not as a penalty for Contractor’s failure to meet the Substantial Completion Date, Contractor shall pay as Liquidated Damages in the amount provided in CDOT Revised Section 108.09 of the Standard Specifications.
3. Thornton, at its sole discretion, shall have the right to offset and keep any amount Contractor owes Thornton as Liquidated Damages, in whole or in part against any money or fees due to Contractor. Thornton will attempt to notify the Contractor in writing of any Liquidated Damages before Thornton deducts such sums from

money owed and payable to the Contractor. In the alternative, if remaining funds owed Contractor by Thornton are insufficient, Contractor shall pay the Liquidated Damages (without offset or deduction for any amounts Contractor claims Thornton may owe) by certified or cashier's check or by wire transfer to a bank account designated by Thornton within thirty (30) days of receipt of invoice, which Thornton may issue from time to time until Substantial Completion has occurred.

4. Liquidated Damages shall be in lieu of all liability for any extra cost, losses, expenses, claims and other damages Thornton may be entitled to collect because of Contractor's delay in substantially completing the Work by the scheduled Substantial Completion and Milestone Dates. However, the Liquidated Damages remedy hereunder shall not limit Thornton's other available remedies, either at law or in equity, which Thornton may have for any other breach or failure to perform by Contractor under this Agreement.
5. Notwithstanding Contractor's claim that its Unexcused Delay was caused by Thornton, such a claim will not operate as a waiver against Thornton's ability to assess or collect Liquidated Damages from Contractor in accordance with this Section.

### **III. ADDITIONAL TERMS AND CONDITIONS**

#### **A. Bonds and Insurance.**

1. Contractor shall provide performance and payment bonds on forms provided by Thornton in accordance with EXHIBIT F. The penal sum of the payment and performance bonds shall be equal to the total Contract Price.
2. Contractor shall not commence work under the Contract until it has obtained all required insurance coverages in accordance with the requirements set forth in Exhibit G, Insurance Requirements & Certificate. Thornton's review of the insurance requirements shall not relieve nor decrease the liability of Contractor.
3. Contractor shall require each Subcontractor performing work under this Agreement, at Subcontractor's own expense, to maintain during the term of the Contract, adequate minimum levels of insurance including the required provisions and additional policy conditions as shown in this Contract. Alternatively, Contractor may include its Subcontractors as additional insured on its own coverage as prescribed under these requirements. Contractor's certificate of insurance shall note in such event that Subcontractors are included as additional insured and that Contractor agrees to provide Workers' Compensation for Subcontractors and their employees.
4. Contractor shall furnish proof of insurance from Subcontractor's at Thornton's request.

**B. Indemnification.**

1. Contractor agrees to fully defend, indemnify and hold harmless, CDOT, Thornton and the elected and appointed officials, employees, officers, directors, volunteers, and representatives of Thornton, individually or collectively, from and against any and all costs, claims, liens, damages, losses, expenses, fees, fines, penalties, proceedings, actions, demands, causes of action, liability and suits of any kind and nature, including but not limited to, personal or bodily injury, death or property damage, made upon Thornton directly or indirectly arising out of, resulting from or related to Contractor's activities under this Contract, including any acts or omissions of Contractor, or any agent, officer, director, representative, employee, consultant or the Subcontractor of Contractor, and their respective officers, agents, employees, directors and representatives while in the exercise of performance of the rights or duties under this Contract, and will pay to Thornton any expenses incurred by reason of such liability, judgments, damages or losses, including, but not limited to, court costs and reasonable attorneys' fees incurred in defending or investigating such claims. Such payments to (or on behalf of) Thornton shall be in addition to any and all other legal remedies available to Thornton and shall not be considered Thornton's exclusive remedy.
2. The indemnity provided for in the paragraph above does not apply to any liability resulting from the negligence of Thornton, its officers or employees, separate contractors or assigned contractors, in instances where such negligence causes personal injury, death or property damage.
3. Notice Required. Contractor shall promptly advise Thornton in writing of any claim or demand against Thornton or against Contractor, which involves Thornton and is known to Contractor and related to or arising out of Contractor's activities under this Contract.
4. Limited Joint and Several Liability. If Contractor and Thornton are found jointly liable by a court of competent jurisdiction, liability will be apportioned comparatively in accordance with the laws of the state of Colorado, without waiving any governmental immunity or defenses available to Thornton under Colorado law. The provisions of this indemnification are solely for the benefit of the parties hereto and not intended to create or grant any rights, contractual or otherwise, to any other person or entity.

**C. Funding Availability**

1. Pursuant to C.R.S. § 24-91-103.6, as may be amended from time to time, the amount of money appropriated by Thornton for this Contract is equal to or in excess of the original Contract Price. No Change Order or other form of order or directive by Thornton requiring additional compensable Work to be performed, which Work causes the aggregate amount payable under the Contract to exceed the amount appropriated for the original Contract, shall be issued unless the

Contractor is given written assurance by Thornton that lawful appropriations to cover the cost of the additional Work have been made or unless such Work is covered under the remedy-granting provision of this Contract. Award will be made only if/when CDOT option letter authorizing funds is obtained.

2. In the event that the Thornton City Council reduces the appropriation or fails to appropriate additional funds should they be needed for the continuation of this Contract, Thornton may, upon prior written notice as provided for herein, terminate this Contract without penalty or further liability and thereupon be released of further obligations pursuant thereto.

**D. Unresolved Contractor Disputes.**

1. After the General Conditions and Special Conditions procedures intended to address matters in dispute between Contractor and Thornton have failed, Contractor and Thornton shall use the process as set forth in the General Conditions' Alternative Dispute Resolution, to resolve the dispute or claim, before proceeding with litigation.
2. Notwithstanding the foregoing, nothing herein shall hinder, prevent, or be construed as a waiver of either party's right to seek redress on any disputed matter in a court of competent jurisdiction when it is clear that the parties have, in good faith, exhausted the Dispute Resolution process attempting to correct or resolve any issue pertaining to the Contract.
3. Nothing herein shall waive or be construed as a waiver of Thornton's governmental immunity.

- E. **Waivers.** No delay or omission by either party in exercising any right or power arising from non-compliance or failure of performance by the other party with any of the provisions of this Contract shall impair or constitute a waiver of any such right or power. Should either party previously waive any Contract term or condition, it shall not be construed as a waiver of any subsequent breach of any other Contract term or condition.

**F. Governing Law; Jurisdiction; Venue.**

1. This Contract shall be governed and interpreted in accordance with the laws of the State of Colorado without resort to any jurisdiction's conflict of laws, rules or doctrines. Any claim, action, suit or proceeding (collectively, "the claim") between Thornton and Contractor that arises from or relates to this Contract shall be brought and conducted solely and exclusively within the District Court of Adams County for the State of Colorado. If, however, the claim must be brought in a federal forum, then it shall be brought and conducted solely and exclusively within the United States District Court for the District of Colorado. Contractor, by the signature herein of its authorized representative, hereby consents to the *in personam* jurisdiction of said courts. In no event shall this section be construed as

a waiver by Thornton of any form of defense or immunity, based on the 11th Amendment to the United States Constitution, or otherwise, from any claim or from the jurisdiction.

2. Any action arising out of or relating to the Contract or the Work asserted by Contractor against Thornton shall be brought within two (2) years from when the action accrued, pursuant to C.R.S. § 13-80-102(h).

**G. No Third Party Beneficiary or Claims.**

1. It is expressly understood and agreed that enforcement of the terms and conditions of this Contract, and all rights of action relating to such enforcement, is strictly reserved to Thornton and Contractor, and nothing contained in this Contract shall give or support any sponsored claim on the behalf of another or right of action by any other entity or third party. It is the express intention of Thornton and Contractor that any person other than Thornton or Contractor receiving any benefit or payment because of this Contract is only incidental, and is not a beneficiary under legal or equitable theory available at law.
2. The Parties agree neither Party shall be responsible or liable to the other for any damages claimed by or through a "pass-through claim" as that term is understood under the laws of Colorado. Neither Party shall be liable to the other under any legal theory for: a) damages suffered by third-parties who are not a party to this Contract, or b) damages or liability of the other Party that is contingent upon the recovery of a third-party's damages against a Party to this Agreement.

**H. Federal and State Funded Projects.** If all or part of the funds appropriated for the Project come from federal or state sources, Thornton may waive, suspend or modify any term or condition which conflicts with any federal or state statute, rule, regulation or procedure, where such waiver, suspension or modification is essential to receipt by Thornton of such funds for the Project. In the case that any Project is wholly partially financed by federal or state funds, any standards required by the enabling statute, or any rules, regulations or procedures adopted pursuant thereto, shall be controlling. Required Federal Contract provisions for Federal-aid Contracts are attached hereto as Attachment 1 and incorporated by this reference.

**I. Purchase Order Terms and Conditions.** It is agreed and understood that if Thornton issues Contractor any Purchase Orders in connection with the Work covered by this Contract, any preprinted terms and conditions appearing on such Purchase Orders shall be superseded by the terms and conditions of the Contract Documents.

**J. Excused Performance, Force Majeure.**

1. Excused Performance. Neither party shall be liable for any delay beyond its reasonable control that prevents or stops the performance of the Work, provided it was not the fault or negligence of the delayed party (“Force Majeure”). A Force Majeure event includes but is not limited to the following:
  - a. Unavoidable and unforeseeable labor disputes not involving the Contractor;
  - b. A declared natural or manmade disaster;
  - c. A catastrophic power failure lasting more than three (3) days;
  - d. Impossibility or inability to procure needed materials, supplies or equipment critical to perform the Work;
  - e. A change in federal, state, local governmental laws, executive orders, and/or regulations that directly prevent the completion of the Work;
  - f. Civil unrest riots, insurrections, declaration of war, foreign wars;
  - g. Economic embargo (unknown at time of the bid closing date);
  - h. Fire and hazardous condition arising during the work that prevents continuing performance;
  - i. Unavailability of transportation of critical construction materials; and
  - j. Circumstances related to a declared public health emergency not known or foreseeable at the time of bid.
  
2. Cause of Delay, Mitigation. If the reason for the delay was a Force Majeure event, the delayed party shall use its best efforts to minimize the delay caused by such an event. Additionally, the delayed party agrees to pursue all reasonably available options to mitigate and minimize the effects of the Force Majeure event as it relates to the Work schedule and Contract Price. Furthermore, no party shall mark up its costs or charge any overhead or profit for any additional materials or services provided because of the occurrence of a Force Majeure event.
  
3. Extension of Contract Time, Cost Escalation. Contract Time will be extended for the time corresponding to the amount of time delayed on the critical path schedule that is actually delayed by a Force Majeure event. Notwithstanding extending the time to perform because of one or more of the Force Majeure events listed above, Thornton shall not be obligated to adjust the Contract Price if Contractor failed to contract for the then prevailing costs of materials, goods and services needed to perform the Work, or failed to contract with its subcontractors, suppliers and materialmen when it was awarded this Contract (“Cost Escalation”). Thornton shall

be relieved from compensating Contractor for any Cost Escalation caused by Contractor's failure to lock in the cost of needed materials and goods, or contract with its subcontractors, suppliers and materialmen at the time of Award.

4. **Notice of Delay Required.** Thereafter, the delayed party shall notify the other party within three (3) Calendar Days after the Force Majeure event is known, or should have been known, when requesting an equitable adjustment of time, Contract Price or both. If the delayed party fails to provide the required notice of the Force Majeure event, any resulting delay will not be excused. Any such notice shall describe the nature of the Force Majeure event, how the occurrence of the Force Majeure event delayed performance, the Work affected by the Force Majeure event, the known time and cost impacts, and the expected duration of the delay.
  5. **Documentation of Delay.** The delayed party shall provide documentation to the other party that satisfactorily justifies that a Force Majeure event has in fact occurred. The documentation shall at a minimum, include:
    - a. A written narrative detailing all time and cost impacts to the Project, and the efforts taken to mitigate the delays to performing the Work by the Substantial Completion date.
    - b. If Contractor is delayed, then Contractor shall provide an updated Critical Path Method Schedule, along with most recent prior baseline Critical Path Method Schedule, evidencing the impact of the Force Majeure event to the schedule in the critical path and total duration.
    - c. Evidence of the circumstances leading to the Force Majeure event.
    - d. Provide documentation supporting (i) any requested Cost Escalations; and (ii) the material, supply and subcontractor agreements detrimentally affected by the Force Majeure event.
  6. **Affidavit.** Any request for an equitable adjustment of time or cost or both related to a Force Majeure event shall be accompanied by a signed and notarized affidavit indicating that the circumstances leading to the Force Majeure event were unavoidable by the delayed party, that the delayed party took all possible preventative measures to avoid a cost increase or a time delay and exhausted all possible remedies to avoid a cost increase or a time delay, and further, that the delayed party insures that all information is accurate, correct, and complete.
- K. **Notice.** All Notices pertaining to the Contract or otherwise required to be given shall be transmitted in writing, to the responsible individuals at their current addresses, and shall be deemed duly given when received by the parties at their addresses or any subsequent persons or addresses provided to the other party in writing.
- L. **Titles and Captions.** The titles and captions are for convenience only and not as a limitation on the meaning of the provision.

- M. **Non-Discrimination.** In connection with the performance of Work under this Contract, Contractor agrees not to refuse to hire, discharge, promote or demote, or to discriminate in matters of compensation against any person otherwise qualified, solely because of race, color, religion, national origin, gender, age, military status, sexual orientation, marital status, or physical or mental disability; and Contractor further agrees to insert the foregoing provision in all subcontracts hereunder.
- N. **Merger Clause.** No verbal agreement or conversation with any officer, agent or employee of Thornton, either before or after the execution of the Contract, shall affect or modify any of the terms, conditions, or other obligations set forth in any of the Contract Documents. This Contract and its documents along with the attached exhibits constitute the entire agreement between the Parties. No waiver, consent, modification or change of terms of this Contract shall bind either Party unless in a duly authorized written amendment or Change Order. Such waiver, consent, modification or change, if made, shall be effective only in the specific instance and for the specific purpose given. There are no understandings, agreements, or representations, oral or written, not specified herein regarding this Contract. By signing below, Contractor's authorized representative hereby acknowledges after reading this Contract, that they understand it and are bound by its terms and conditions.
- O. **Severability.** The provisions of this Contract shall be severable, if any term, phrase, or portion of the Contract is determined to be unlawful or otherwise unenforceable; however, the remainder of the Contract shall remain in full force and effect, so long as the severed clause does not affect the intent of the Parties.
- P. **Electronic Signatures and Electronic Records.** The Parties consent to the use of electronic signatures. The Contract, and any other documents requiring a signature hereunder, may be signed electronically by the Parties in the manner specified by any applicable City regulation, rule, and/or ordinance. The Parties agree not to deny the legal effect or enforceability of the Contract solely because it is in electronic form or because an electronic record was used in its formation. The Parties agree not to object to the admissibility of the Contract in the form of an electronic record, or a paper copy of an electronic document, or a paper copy of a document bearing an electronic signature, on the grounds that it is an electronic record or electronic signature or that it is not in its original form or is not an original.
- Q. **Counterparts.** The Parties may sign counterpart copies of the Contract, and each signed counterpart copy shall be considered an original and all countered signed copies shall constitute one and the same instrument.

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APPROVED AS TO LEGAL FORM:  
FOR: Tami Yellico, City Attorney

CITY OF THORNTON, COLORADO:

\_\_\_\_\_  
Michael J. Hickman  
Senior Assistant City Attorney

\_\_\_\_\_  
Kimberly Newhart  
Finance Director

ATTEST:

CITY OF THORNTON, COLORADO:

\_\_\_\_\_  
Kristen N. Long, City Clerk

\_\_\_\_\_  
Sean Saddler, PE  
Interim Executive Director of  
Management Services

ATTEST: (FOR FIRM SIGNATURE) If corporation	<b>INSERT FIRM NAME:</b>
_____ Signature	_____ Signature
_____ Print Name	_____ Print Name
_____ Title	_____ Title

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# **EXHIBIT A SPECIAL CONDITIONS**

## Table of Contents

- I. SUBMITTALS, SHOP DRAWINGS, AND SAMPLES**
- II. COORDINATION WITH OTHER ENTITIES**
- III. MEASUREMENT FOR PAYMENT**
- IV. MOBILIZATION**
- V. PROJECT MEETINGS**
- VI. QUALITY ASSURANCE INSPECTION AND TESTING**
- VII. RECORDKEEPING AND AUDITS**

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## **I. SUBMITTALS, SHOP DRAWINGS, AND SAMPLES**

- A. Thornton's designated representative(s) shall have fourteen (14) Calendar Days to review and approve or reject Shop Drawings and Samples, unless otherwise stated in the Specifications. Rejected items shall be resubmitted to Thornton and subsequent review by Thornton will be within seven (07) Calendar Days. See also General Conditions - Submittals, Shop Drawings, Samples, Service Parts Manuals, and Operator's Instructions.
- B. Contractor's submittal and Thornton's review and/or acceptance of Shop Drawings, Product Data or Samples that relate to construction activities not complying with the Construction Documents, does not constitute an acceptable or valid request for substitution, nor does it constitute approval. Any deviations from the Drawings and Specifications shall clearly reference the approved substitution request and shall comply with all submittal requirements in the Construction Documents.
- C. Notwithstanding Article IV of the General Conditions, the following list of Shop Drawings that are approved by Thornton (that may be added from time to time during the course of the Project) are Contract Documents:

## **II. COORDINATION WITH OTHER ENTITIES**

- A. The Contractor is advised that completion of this Contract may require coordination and cooperation with other trades, other contractors under separate contracts with Thornton who are involved in the Project or an adjacent project, or with other entities with an interest in the Project. Special efforts will be made by the Contractor not to interfere with or delay other trades or other contractors. Contractor shall coordinate and cooperate with the following other contractors, trades, and entities at a minimum:
  - 1. Colorado Department of Transportation (CDOT).
  - 2. Sign fabricator.
  - 3. Xcel Energy.
  - 4. Adams 12.
- B. It is the responsibility of the Contractor to coordinate all Utility work necessary for the completion of the Project.

## **III. MEASUREMENT FOR PAYMENT**

- A. Thornton shall determine all quantities, amounts of Work done, and percentages complete under the Contract. To assist Thornton in determining quantities, the Contractor shall first measure and quantify all Work. At the time quantity measurements are made by the Contractor, Thornton's Representative may be present to verify and agree to such measurements. If Thornton or Thornton's Representative disagrees with Contractor's

measurements, Thornton may, at its option, independently measure quantities and adjust payments in accordance with its measurements. The Contractor shall fully cooperate with Thornton in any such endeavor at no additional cost to Thornton.

- B. Using quantity figures agreed to by the Parties, it will be the Contractor's responsibility to prepare a monthly Application for Payment for the Work accomplished to date. Thornton at its sole option may prepare and provide a pay application to the Contractor. The Application for Payment shall not include charges relating to rejected Change Order Requests nor Re-work. If the Parties cannot agree on the quantities and the resulting amount of payment, Thornton may, but shall not be obligated to, prepare an Application for Payment on the Contractor's behalf.
- C. Applications for Payment shall be submitted each month, or on another schedule as the Parties may agree upon, on the date designated by Thornton. Failure of the Contractor to timely submit a complete, correct, and certified Application for Payment (accompanied by an updated Schedule of Work) may cause a delay in payment.
- D. By submitting the signed Application for Payment, the Contractor certifies that to the best of the Contractor's knowledge, information, and belief the Work covered by the Application for Payment has been completed in accordance with the Contract Documents, that all amounts have been paid by the Contractor to its Subcontractors and suppliers for Work for which previous Certificates for Payment were issued and for which payments were received from Thornton, and that the current payment shown in the Application for Payment is now due.
- E. Upon Thornton's request, the Contractor shall submit, along with its monthly Application for Payment for Work completed, an estimate of the Work that will be completed during the following month.

#### **IV. MOBILIZATION**

- A. The Special Conditions Mobilization requirements indicated below take precedent over the General Conditions.
- B. The Pay Item price for mobilization shall also include any "start-up" or incidental costs necessary to begin the Work, including any necessary Construction Equipment, offices, buildings, Materials or Equipment, personnel that are to be located at the Project site in preparation for the Work, Bonds, Insurance, permits, and any other incidental expenses that cannot otherwise be attributed directly to the other Bid Proposal Pay Items. Mobilization also includes any demobilization costs to remove or decommission the materials, equipment and personnel identified in mobilization costs.

C. Payments for mobilization shall be made on a monthly basis in accordance with the following formula:

Contract Amount Completed	=	Mobilization Paid
5%	=	25%
10%	=	50%
25%	=	60%
50%	=	100%

D. The overall Pay Item price for mobilization should not exceed ten percent (10%) of the original Contract Price. If the overall Pay Item price for mobilization exceeds ten percent (10%), and if Thornton does not reject the Bid, Thornton shall have the option of withholding payment of the amount exceeding ten percent (10%) of the Contract Price until the date of Final Payment.

E. Demobilization costs are considered incidental to the Mobilization.

## V. PROJECT MEETINGS

A. Meetings shall be held between Thornton, Contractor, and other applicable entities at a standard time and place, established during the Preconstruction Meeting. Meetings are to be typically held on at least a weekly basis unless otherwise mutually agreed to by the Parties. Thornton shall require the attendance of Contractor’s Superintendent and any other person(s) deemed necessary, including Subcontractors and or suppliers, at these meetings. The meetings shall be conducted by the Contractor for the following purposes:

1. Review the overall progress of the Work and the current status of the Schedule of Work;
2. Review Contractor provided two (2) week look ahead Schedule;
3. Identification and resolution of problems which are or may be impeding the planned progress of the Work;
4. Coordination of the efforts of concerned Parties so that the Work progresses per the Schedule of Work; and
5. Maintenance of lines of communication, including sound working relationships between Thornton and Contractor, and a mutual understanding of requirements of the Contract Documents;
6. Review and discuss any safety concerns and or accidents;
7. Review and discuss any pending change order request;
8. Review and discuss current Requests for Information (RFI’s);

9. Review and discuss open submittals;
  10. Review and discuss current application for payment;
  11. Review other business as required.
- B. Contractor shall be responsible for the preparation and distribution of written minutes of these meetings to all attendees and other concerned or impacted parties, as designated by Thornton. Contractor shall distribute meeting minutes within three (3) Calendar Days of the meeting. Within seven (7) Calendar Days of receipt of the meeting minutes, Thornton shall review the content for completeness and accuracy, and note modifications and/or corrections. Contractor shall incorporate modifications and/or corrections into the meeting minutes as deemed appropriate by Thornton, and the revised meeting minutes will be re-distributed to all attendees by Contractor within three (3) Calendar Days or prior to next meeting.

## **VI. QUALITY ASSURANCE INSPECTION AND TESTING**

- A. Quality Assurance (QA) inspections and tests such as, but not limited to, fill control (compaction), asphalt density, rebar inspection, and concrete testing, shall be performed by a commercial testing laboratory of Thornton's choosing and at Thornton's expense. The Contractor may observe all such Quality Assurance inspections and tests.
- B. Thornton's Quality Assurance inspections and tests are for Thornton's benefit. Thornton's Quality Assurance inspections and tests are not a substitute for the Contractor's Quality Control (QC) responsibilities or its testing and inspection program. The Contractor is solely responsible for performing and paying for all necessary Quality Control Tests.
- C. Contractor is responsible for coordinating initial material samples (i.e., soil for proctor establishment) on the job site so that QA and QC testing criteria are established and agreed to prior to actual material testing. Furthermore, Contractor is required to coordinate and schedule all subsequent QA (at the Thornton approved frequencies) and QC testing throughout the entirety of the Project. Note that Contractor shall assume costs related to unnecessary site visits by QA agency due to canceled or erroneously scheduled testing and Thornton shall have the right to back charge the Contractor for the related costs and to deduct those costs from payments due or that become due to the Contractor.
- D. In the event a Quality Assurance and/or a Quality Control inspection or test fails to meet the criteria established by the Specifications, Contractor shall notify Thornton and Consultant(s) in writing of the deviation from specification. If the failing test cannot be rectified and retested to meet the required specification, an RFI shall be issued by Contractor to determine the required course of action. If additional inspections or tests must be performed after the necessary corrective Work is complete, the Contractor shall bear the expense of all the re-inspections and/or re-tests required. Thornton shall have the right to back charge the Contractor for re-inspections and re-tests and to deduct the cost of re-inspections and re-tests from payments due or that become due to the Contractor.

- E. Notwithstanding the above, at its sole option, Thornton may seek alternate means of resolution for work not in compliance with Contract Documents. This may include compensation, extended warranty, or other terms acceptable to Thornton. Acceptance of alternate means of resolution shall be documented in a written change order.
- F. Contractor shall perform excavation Work necessary for compaction testing, as requested by Thornton, at no additional cost to Thornton.

## **VII. RECORDKEEPING AND AUDITS**

- A. Contractor shall keep full and detailed records and accounts relating to its performance of the Contract as may be necessary for proper management of the Work. All financial information shall be maintained in accordance with generally accepted accounting principles. Contractor's records and accounts shall include, but not be limited to, all estimating and bid preparation documents (EPD); correspondence; internal office correspondence; internal memos; conversation memorandums; policies and procedures; subcontract files; Change Order files; back charge logs and supporting documentation; RFI files, Submittal Files, daily reports, plan and specification modifications, and scheduling files; job cost and man-hour records; invoices; delivery tickets; bills of sale; all documentation relating to disputes or claims; safety reports; accident reports; photographs organized by room or elevation (if applicable); videos; accounting records; daily reports; and any other supporting evidence deemed necessary by Thornton to substantiate charges, expenses, or costs related to the Contract.
- B. Contractor's records and accounts shall be open to inspection and subject to audit and/or reproduction in any tangible form, including computer readable data by Thornton, to permit full and complete evaluation and verification of any:
  - 1. Requests or claims by Contractor, its Subcontractors, or its suppliers for any additional compensation related to the Contract;
  - 2. Contractor representations, warranties and/or guarantees under the Contract; or
  - 3. Legal action by Contractor, its Subcontractors, or its suppliers involving Thornton and related to the Contract.
- C. Such inspections and audits may require copying from time to time at reasonable times and places of any and all information, materials and data of every kind and character, including without limitation: records; books; papers; documents; subscriptions; recordings; agreements; Purchase Orders; leases; contracts; subcontracts; commitments; arrangements; notes; daily diaries; supervisory reports; drawings; sketches; receipts, vouchers; memoranda; and any and all other agreements, sources of information and matters that may in Thornton's judgment have any bearing on or pertain to any matters, rights, duties, or obligations under or covered by the Contract Documents. Such records subject to inspection and audit shall also include, but not be limited to, those records

necessary to evaluate and verify direct and indirect costs (including overhead allocations) as they may apply to costs associated with the Contract or to a Claim for additional compensation and/or time.

- D. Thornton shall be afforded access to all of the Contractor's records and shall be allowed to interview any of the Contractor's employees (including contract labor), pursuant to the provisions of this section throughout the term of the Contract and for a period of three (3) years after Initial Acceptance of the Work, or longer if required by law.
- E. Contractor shall require all Subcontractors, sub-subcontractors and suppliers to comply with the provisions of this section by insertion of the requirements in its written agreements with those parties. Contractor shall cooperate fully and shall cause all related parties, including Contractor's Subcontractors entering into subcontracts, to cooperate fully in furnishing or in making available to Thornton from time to time whenever requested in an expeditious manner any and all such information, materials and data.
- F. Thornton shall have access to the Contractor's facilities, shall have access to all necessary records, and shall be provided adequate and appropriate workspace in order to conduct inspections and audits in compliance with this section.

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# **EXHIBIT B**

## **GENERAL CONDITIONS**

### Table of Contents

- I. DEFINITIONS
- II. THORNTON'S ROLE, RESPONSIBILITIES
- III. CONTRACTOR'S ROLE, RESPONSIBILITIES
- IV. PROJECT INFORMATION, SUBMITTALS, RECORD DOCUMENTS
- V. PROJECT AND CONSTRUCTION MANAGEMENT
- VI. QUALITY ASSURANCE AND QUALITY CONTROL, THORNTON INSPECTION OF WORK
- VII. PRODUCT SUBSTITUTIONS AND "OR EQUAL" CLAUSE
- VIII. WARRANTY PERIOD
- IX. MINOR CHANGES, CLAIMS FOR CHANGES IN THE WORK & CONTRACT PRICE
- X. CONTRACT TIME
- XI. DIFFERING SITE CONDITIONS
- XII. PARTIAL USE; RIGHT OF OCCUPANCY
- XIII. SUBSTANTIAL COMPLETION
- XIV. PAYMENTS
- XV. INITIAL ACCEPTANCE
- XVI. UTILITY LOCATIONS, RIGHT OF WAYS (ROW) & SERVICE
- XVII. ROADS, TRAFFIC, TRAVEL CONTROL & MAINTENANCE
- XVIII. EMERGENCY PROTECTION
- XIX. PROTECTION OF PUBLIC AND PRIVATE PROPERTY
- XX. COMPLIANCE WITH CURRENT LAWS
- XXI. STOP WORK, SUSPENSION AND TERMINATION

XXII. TAXES

XXIII. CONFIDENTIALITY

XXIV. WATER

XXV. ETHICS, NO FINANCIAL INTEREST, CONFLICTS AND RELATIONSHIPS

XXVI. DISPUTE RESOLUTION

XXVII. MISCELLANEOUS

## I. DEFINITIONS

- A. "Additional Work" means services outside the Agreement's initial scope of Work, which may be added by Thornton. Additional Work may be negotiated separately and must be approved in writing by Thornton in an executed Change Order prior to performance.
- B. "Agreement" means the Construction Agreement between Thornton and Contractor, including Amendments, Change Orders, exhibits, and any other documents made part of the Agreement upon or after its execution.
- C. "Amendment" is a written document signed by Thornton and Contractor after execution of the Agreement, indicating changes in the terms and conditions of the Agreement.
- D. "Application for Payment" is the application submitted by Contractor for payment for Work performed during a pay period.
- E. "Change Order" is a written order signed by Thornton and Contractor after execution of the Agreement, indicating changes in the scope of the Work, Contract Price, or the Contract Time.
- F. "Change Order Request" (COR) - is a Contractor generated document that describes a change in the scope of Work, including a detailed description, Drawings and Specifications, and a request for changes to costs or time, as necessary, to inform Thornton of the nature of the requested change to the Contract.
- G. "Construction Equipment" means all plant, machinery, tools and apparatus, including parts and supplies for operation and maintenance, which are necessary for the proper construction and acceptable completion of the Work.
- H. "Contract Documents" consist of those documents identified in the Agreement and Change Orders and Amendments issued after execution of the Agreement.
- I. "Contract Price" defined in the Agreement as the amount Thornton agrees to pay the Contractor for the Work, which may be revised by a Change Order.
- J. "Contract Time" is the number of Days commencing on the Date of Commencement given in the Notice to Proceed for Construction, including time extensions authorized by Change Order, needed to achieve Substantial Completion of the Work. Where a calendar date for Substantial Completion is specified, the Work shall be substantially completed on or before that date, as may be revised by Change Order.
- K. "Contractor" is the person or entity identified as such by the Agreement and is referred to throughout the Contract Documents and if required, will be lawfully licensed to work in Thornton. The term Contractor includes Contractor's authorized representative.

- L. “Critical Path Method (CPM) Schedule” means Contractor’s schedule for the construction of the Work and is one of several related techniques for doing project scheduling. CPM is comprised of a number of individual tasks or activities where some of the activities start or finish dates are dependent on the progress of one or more other activities, and the schedule shows the nature of the interdependencies and the critical path through the schedule. The CPM Schedule may also show the resources needed for each task or activity.
- M. “Date of Commencement” shall be set forth in a Notice to Proceed for Construction to be issued to Contractor by Thornton.
- N. “Date of Final Settlement” is the date designated by Thornton in accordance with C.R.S. § 38-26-107, as may be amended, for making Final Payment to Contractor.
- O. “Date of Substantial Completion” is the date on which all the Work is required to be substantially completed; may also be called “Substantial Completion Date”.
- P. “Day” in any Contract Document refers to a Calendar Day of twenty-four (24) hours measured from midnight to the next midnight.
- Q. “Defective Work” is any Work not in conformance with the requirements of the Contract Documents.
- R. “Drawings” are the graphic and pictorial portions of the Contract Documents, wherever located and whenever issued, showing the design, location and dimensions of the Work, generally including plans, elevations, sections, details, schedules, diagrams, and notes, also sometimes referred to as plans. The Drawings may contain Specifications, and the Specifications may contain Drawings.
- S. “Engineer” means licensed architect or engineer (or its consultant) assigned by Thornton to perform design and engineering services for the project.
- T. “Final Acceptance” of the Work occurs on the date when Contractor’s obligations under the Agreement are complete, Thornton has acknowledged that the Warranty Correction Period has expired and all outstanding items requiring correction have been repaired to the satisfaction of Thornton, and there are no other outstanding warranty items to be corrected.
- U. “Final Payment” is the payment of all outstanding balances due, including retainage, following completion of the Work and issuance of Initial Acceptance by Thornton. Final Payment is payable on the Date of Final Settlement following advertisement of the Notice of Final Settlement and completion of the waiting period for submission of Verified Claims, provided there are no pending claims.
- V. “General Conditions Fee” if applicable and defined in the Contract Documents, are costs that are required to be incurred for successful completion of the Project but are not for items incorporated into the Work.

- W. “Hazardous Material” is any substance or material identified now or during the term of the Agreement as hazardous under any Laws or any other substance or material which may be considered hazardous or otherwise subject to statutory or regulatory requirements governing handling, disposal or clean-up.
- X. “Initial Acceptance” of the Work, or of a designated portion when allowed by the agreement, occurs when Thornton acknowledges that, to the best of its knowledge, all Work, including Punch List items, have been completed in accordance with the Contract Documents. Initial Acceptance shall not release the Contractor of any Warranty obligations.
- Y. “Laws” mean federal, state and local Laws, ordinances, codes, rules, and regulations applicable to the Work with which Contractor must comply that are enacted as of the date of the Agreement.
- Z. “Materials” and/or “Equipment” are all components, articles, appliances, devices, substances, supplies, and miscellaneous items specified or required for incorporation into the construction of the Work. Other materials and equipment not permanently incorporated into the finished Work are required to be furnished by a Contractor, a Subcontractor or a Materials and Equipment Supplier for performance of the Work. Existing Materials and Equipment may be required to be modified by Contractor or any Subcontractor in the performance of the Work.
- AA. “Material Supplier” and/or “Equipment Supplier” is a person, manufacturer, fabricator, supplier, distributor, materialman, vendor or entity retained by Contractor or with any subcontractor to provide Material and/or Equipment for incorporation into the Work and to provide other materials and/or equipment for performance of the Work.
- BB. “Milestone Date” is a principal event specified in the Contract Documents relating to an intermediate completion date or time prior to Substantial Completion of the entirety of the Work. If specified, Milestones may be subject to Liquidated Damages.
- CC. “Notice of Award” is the written notice issued by Thornton that awards the Work to Contractor.
- DD. “Notice to Proceed” is the written notice issued by Thornton to Contractor, which authorizes Contractor to commence performance of the Work and establishes the Commencement date which begins the contract time.
- EE. “Thornton’s Project Requirements” is the description of Thornton’s objectives that may include the purpose of the Project, budget and time criteria, performance requirements, special Equipment and systems, and Project Site requirements.
- FF. “Product Data” are illustrations, standard schedules, performance charts, cut sheets, instructions, brochures, diagrams and other information furnished by Contractor to illustrate Materials or Equipment for some portion of the Work.
- GG. “Project Site” means the place where the Work is to be constructed.

- HH. "Punch List" is the list of Work items contained in the Certificate of Substantial Completion that Contractor is required to complete or correct prior to Thornton granting Initial Acceptance.
- II. "Quality Assurance Testing and Inspection" is the testing and/or inspection performed under separate contract and paid for separately by Thornton to act as a secondary check on the quality of the Work on behalf of Thornton in evaluating whether Materials, Equipment, and/or workmanship complies with the quality requirements in the Contract Documents.
- JJ. "Quality Control Program" is a defined program outlining the procedures Contractor will follow to implement Quality Control Testing and Inspection.
- KK. "Quality Control Testing and Inspection" is the testing and/or inspection that Contractor performs to assure that all Materials, Equipment and workmanship have met the minimum standards for quality as defined in the Contract Documents.
- LL. "Record Documents" are Drawings, Specifications, Change Orders, Amendments, or other construction documents maintained by Contractor during the course of construction to show differing conditions and changes made to the original Contract Documents. May also be referred to as As-Built Drawings, Red Line Drawings and/or As-Built Specifications.
- MM. "Request for Information (RFI)" means a written request by Contractor directed to Thornton representative for a clarification of the information provided in the Contract Documents or for direction concerning information necessary to perform the Work.
- NN. "Right-of-Way" is a general term denoting land, property, or interest therein, acquired for or devoted to the construction of an improvement; may also be referred to as "ROW".
- OO. "Salvageable Material" is Material or Equipment that can be saved or salvaged for re-use.
- PP. "Samples" are physical examples which illustrate Materials, Equipment, and/or workmanship and establish standards by which the Work will be installed.
- QQ. "Shop Drawings" are drawings, diagrams, schedules and other data specially prepared for the Work by Contractor or a Subcontractor, Sub-subcontractor, manufacturer, supplier, or distributor to illustrate some portion of the Work.
- RR. "Special Conditions" are conditions which may supplement, enhance, differ from or supersede the terms and conditions of these General Conditions.
- SS. "Specifications" are that portion of the Contract Documents consisting of the written requirements for Materials, Equipment, construction, systems, standards, and workmanship for the Work, and performance of related Work. Organization of the Specifications into divisions, sections, and articles shall not control Contractor in dividing the Work among Subcontractors or in establishing the extent of Work to be performed by any trade. The Drawings may contain Specifications, and the Specifications may contain Drawings.

- TT. “Stop Work Order” is a written order issued by Thornton’s Contract Administrative Division to Contractor to suspend some or all of the Work under the Agreement; provided, however, that Work necessary to assure the safety and protection of persons and property shall continue to be the responsibility of Contractor unless otherwise directed by Thornton.
- UU. “Subcontractor” is a person or entity retained by Contractor or any tiered subcontractor (i.e. a sub-subcontractor) as an independent contractor to provide labor, Materials, Equipment, and/or services necessary to complete a specific portion of the Work or any other party supplying labor, equipment, and materials or only labor for Work under a separate contract or agreement with Contractor. The term does not include a Separate Contractor or a Separate Contractor’s subcontractors.
- VV. “Substantial Completion” is when the Work is sufficiently completed so it may be utilized by Thornton for the purposes for which it was intended, in accordance with applicable life, health, and safety codes, but excluding minor Work to be completed or corrected as Punch List Work.
- WW. “Thornton’s Representative” is the on-site representative assigned to the project by Thornton to observe the Work.
- XX. “Underground Facilities” are all underground pipelines, conduits, ducts, cables, wires, manholes, vaults, tanks, tunnels, or other such facilities or attachments, and any encasements containing such facilities, including those that convey electricity, gases, steam, liquid petroleum products, telephone, fiber optics, or other communications, cable television, water, wastewater, storm water, other liquids or chemicals, or traffic or other control systems.
- YY. “Unit Price Work” is work to be paid for on the basis of unit prices.
- ZZ. “Warranty Correction Period” means the period from Initial Acceptance to Final Acceptance during which Contractor is responsible for correcting defects or non-conforming Work and to keep the Work in good repair. May also be referred to as Warranty Period. Federally aided construction projects do not include an overall warranty requirement and will utilize the process described in XIII. Substantial Completion prior to Final Acceptance in lieu of Initial Acceptance followed by a warranty period.
- AAA. “Work” is the construction, supervision, any Additional Work that may be requested by Thornton, Warranty Correction Period services, and other services which are necessary to complete Contractor’s obligations and complete the Project in accordance with and reasonably inferable from the Contract Documents. The Work, whether completed or partially completed, includes all labor, Materials, Equipment, and services provided or to be provided by Contractor.

## II. THORNTON'S ROLE, RESPONSIBILITIES

### A. Separate Contractors

1. The term "Separate Contractor(s)" are other contractors hired by Thornton and working under another agreement(s). Thornton reserves the right to perform construction or operations related to the Project with Thornton's own forces or with Separate Contractors in connection with other portions of the Project, or other construction or operations on the Project Site, under terms and conditions substantially similar to this Agreement, including those terms and conditions related to insurance and waiver of subrogation. When applicable, and at Thornton's discretion, Thornton may notify Contractor after execution of any separate contracts.

### B. Thornton's Representative

1. Thornton's Representative will furnish the information and services required of Thornton in a timely manner. Thornton's Representative, however, shall not independently have the authority to sign or authorize Change Orders. If Thornton changes its representative or the representative's authority as described above, Thornton shall notify Contractor in writing. Thornton's Representative is placed on the Project Site to observe the Work and to keep Thornton informed as to the progress of the Work and the manner in which the Work is being performed, to keep records, to act as liaison between Contractor and Thornton, to call to the attention of Contractor any Defective Work or deviations from the Contract Documents and to reject Defective Work.
2. Because one of Thornton's Representative's primary obligations is to assure that the Work progresses expeditiously and in a good and workmanlike manner, Thornton's representative may offer suggestions to Contractor, which Contractor may or may not accept, at its discretion. Such suggestions are never to be considered as anything but suggestions and involve no assumption of responsibility, financial or otherwise, by either Thornton or Thornton's Representative. In carrying out any of the provisions of the Contract Documents or in exercising any power or authority granted thereby, there shall be no liability upon Thornton's Representative, either personally or as an official of Thornton, it being understood that in such matters, they act as an agent and representative of Thornton only.
3. Any assistance that Thornton's Representative may give Contractor shall not be construed as the basis of any assumption of responsibility or liability in any manner, financial or otherwise, by Thornton's Representative or Thornton. If any part of Contractor's Work depends upon the work of any other contractor for the proper execution or results, Contractor shall inspect and promptly report in writing to Thornton any lack of progress or defects in the other contractor's work as fit and proper for the reception of Contractor's Work. No extensions to the Contract Time will be granted to the Contractor to inspect and report on unsuitable work.

4. Thornton's Representative is not and does not purport to be a safety engineer and is not engaged in that capacity by Thornton and shall not have the responsibility to enforce safety Laws, rules, regulations or procedures, nor shall they be responsible for the safety of persons on and about the Project Site.
5. The presence or absence of Thornton's Representative's on the Project will be at the sole discretion of Thornton, and such presence or absence of Thornton's Representative will not relieve Contractor of its sole responsibility to obtain the results required by the Contract Documents.
6. Thornton's Representative shall not be authorized to approve or accept any portion of the Work or to issue instructions contrary to the Contract Documents. Such approvals, acceptance, or instructions, if given, must be in a fully executed Change Order. Thornton's Representative shall have authority to reject Defective Work; however, the failure of Thornton's Representative to reject Defective Work or Work that deviates from the Contract Documents shall not constitute acceptance of such Work by Thornton.
7. Nothing in this Section shall in any way be construed so as to require or to place responsibility for the method, manner, scheduling, coordination, or supervision of the Work upon Thornton's Representative or Thornton. Such responsibility rests solely with Contractor.

### **III. CONTRACTOR'S ROLE, RESPONSIBILITIES**

- A. Contractor's Representation. Contractor represents it has the appropriate resources, experience, and knowledge to perform the Work required to construct the Project.
- B. Right of Entry. Contractor shall provide to Thornton, Thornton's Representative, and representatives of federal, state, county, district and municipal governments complete and free access to the Work, whenever requested.
- C. Supervision.
  1. Contractor shall supervise and direct the Work, using Contractor's best skill and attention. Contractor shall be solely responsible for, and have control over, construction means, methods, techniques, sequences and procedures, and for coordinating all portions of the Work under the Contract Documents unless Contract Documents give other specific instructions concerning such matters.
  2. In the event a conflict or ambiguity exists within the Contract Documents, Contractor shall notify Thornton and resolve such ambiguity to include the highest quality and largest quantity.
- D. Performance of Work. Work that has not been given Initial Acceptance by Thornton remains in the sole control of Contractor until the entirety of the Work is complete. Because Thornton cannot control how Contractor performs the Work, the responsibility for safety and proper use shall be the Contractor's sole responsibility. Until the entirety of

the Work is completed, Contractor may perform Work that changes or modifies Work previously done, and even though at any given time, a portion of Work might be complete and acceptable in quality, the responsibility for keeping it in that condition until all of the Work is complete, is the sole responsibility of Contractor. For this reason, Thornton will not accept any portion of the Work until the entirety of the Work is complete and control of the Work is withdrawn from Contractor by Initial Acceptance by Thornton, unless otherwise agreed upon and stated in the Agreement.

- E. Personnel\Subcontractors. If, during the term of this Agreement, Thornton determines that the performance of Contractor’s personnel or Subcontractor(s) is not acceptable, it will notify Contractor and provide Contractor with the time by which Thornton considers reasonable to correct such performance. If Contractor fails to correct such performance of its personnel or subcontractor(s) to Thornton’s satisfaction, Thornton may take any necessary actions to remedy the situation. If necessary, Contractor shall obtain adequate substitute personnel and/or Subcontractors(s) at Contractor’s expense until acceptable permanent replacement personnel and/or Subcontractors can be implemented.
  
- F. Materials, Equipment and Supplies. Contractor shall provide and pay for all labor, Materials, Equipment, tools, Construction Equipment and machinery, water, heat, utilities, transportation, and other facilities and services necessary for proper execution and completion of the Work, whether temporary or permanent, and whether or not incorporated or to be incorporated in the Work.
  
- G. Tests, Inspections. Contractor shall, after execution of this Agreement and receipt of Notice to Proceed, undertake all such testing, inspections, evaluations, and investigations necessary to perform its obligations under the Contract Documents. All documentation, reports and analyses generated by Contractor’s testing, inspections, evaluations, and investigations, including, but not limited to, additional testing shall be promptly furnished to Thornton.
  
- H. Ingress and Egress onto Private and Public Property, Facilities.
  - 1. Contractor shall insure that private property owners’ access from the street or alleyway to their private property is not restricted except during limited times during normal working hours. When access to a private property cannot be continuously maintained during normal working hours, Contractor must obtain permission from Thornton for temporary closures and shall personally provide written and verbal notice to the affected property owner a minimum of twenty-four (24) hours in advance of the closure. Emergency vehicle access shall not be blocked at any time for any reason.
  
  - 2. Contractor shall contact any property owner verbally and in writing where access across their property is needed to perform the Work or access the Project Site.
  
  - 3. Contractor shall assure that safe access to public facilities is provided. Any disruption to the public’s normal use of such facilities shall not occur without the express written permission of Thornton.

## I. The CPM Schedule

1. CPM Schedule. Prior to commencing construction, within thirty (30) Days following Notice to Proceed, Contractor shall develop a detailed critical path method schedule ("CPM Schedule") using either Primavera, Microsoft Project or approved equal scheduling software. The CPM Schedule shall be updated with each Application for Payment to reflect the actual progress of the Work and changes in the CPM Schedule. The CPM Schedule shall be used to plan, organize, control and execute the Work and forecast remaining Work. Contractor shall assure that all Subcontractor and Sub-subcontractor Work, and acquisition and delivery of Materials and Equipment, as well as its own Work, are included in the CPM Schedule and that the CPM Schedule represents a coordinated plan of Work.
2. Milestone Dates. The CPM Schedule shall include Milestone Dates, if any, and shall indicate the commencement and completion dates of the various activities of the Work, including the dates when information and approvals are required from Thornton. Submittal of the CPM Schedule to Thornton shall not indicate approval by Thornton of any changes in the Contract Time. Adjustments in the Contract Time require an executed Change Order.
3. CPM Schedule Details. The CPM Schedule shall clearly show the critical path or paths, Milestone Dates, if any, Substantial Completion Date, procurement, fabrication and construction activities, Shop Drawing and Sample preparation, submittal review and approval activities, activity numbers, descriptions and durations, activity start and finish dates, specified phasing and sequencing requirements, and the proposed sequence and logic ties of activities required for the orderly performance and completion of all elements of the Work, along with the resources necessary for completion of each activity. Activity durations shall be in Days and, in general, shall not be less than one (1) Day. Seasonal weather conditions, holidays, long lead time procurements and other contingencies shall be considered in planning and scheduling the Work.
4. CPM Format. The CPM Schedule shall be accompanied by computer printouts, which illustrate:
  - a. Planned progress (by Work Day) for each pertinent activity, as well as for the overall Work during the period of performance;
  - b. The shift and work week basis for each activity if other than on a single shift basis, five (5) Days per week (Monday through Friday, holidays excluded), eight (8) hours per Day;
  - c. Predecessor and successor activities for each activity, as well as lag relationships and durations;
  - d. Early start, early finish, late start, late finish and total float for all activities;
  - e. Any Milestone Dates;

- f. Drawings, permits and/or other information required from Thornton, with specified time limitations;
  - g. Interfaces with the work of Separate Contractors, with specified time limitations; and
  - h. Activities comprising the primary and secondary paths of criticality on the schedule.
5. CPM Schedule Updates Required. Contractor shall monitor the CPM Schedule on a weekly basis and update it on at least a monthly basis to incorporate actual start and finish dates, to record actual progress achieved during the reporting period and to provide a more accurate schedule of the next month's Work. A printed copy, as well as an electronic copy (PDF or an approved alternative format) of each updated CPM Schedule shall be submitted to Thornton with Contractor's Applications for Payment and shall be accompanied by a written narrative, which shall include:
- a. A description of all activities or portions of activities completed during the reporting period, along with the completion dates;
  - b. A description of planned and actual dates for activities started during the reporting period;
  - c. The status of each activity along the critical and near-critical paths in terms of Days ahead or behind the scheduled dates;
  - d. A description of all Change Orders with an explanation of activities added or deleted, activities impacted, and extent of the impact(s) caused by each Change Order;
  - e. A description of all delaying events, if any, with an explanation of activities added or deleted, activities impacted, and the extent of the impact(s) caused by each delaying event;
  - f. A description of any revisions (including the basis or rationale for those revisions) to the schedule logic or sequencing, activity durations and/or staffing requirements for the reporting period; and
  - g. Identification of activities that are behind schedule, a description of any problem areas in the schedule as well as any current and anticipated delaying factors and their impacts and any remedial actions taken or proposed to assure completion of the Work within the Contract Time.
6. Notwithstanding any of the foregoing provisions to the contrary, all CPM Schedules and updates are subject to Thornton's review and approval. Thornton's approval will be only to determine if the Work conforms to the information given in the Contract Documents and is compatible with the Project as a whole. Thornton's review and approval shall not relieve Contractor of its responsibility for the

performance of the Work in accordance with the Contract Documents. Approval of a schedule showing work beyond a milestone date does not relieve the Contractor of Liquidated Damages for not meeting that date.

7. Contractor shall coordinate with other entities (i.e. utilities, permitting agencies, etc.) as necessary to complete the Project and adjust their construction schedules as necessary for the completion of the Work. Contractor shall make revisions to the Schedule as deemed necessary after a joint review and upon mutual agreement by affected parties.

J. Contractor's Personnel and Subcontractors.

1. Contractor's Representative. Contractor shall employ a competent superintendent and necessary assistants who shall be in attendance at the Project Site at all times during the performance of the Work. The superintendent shall represent Contractor, and communications given to the superintendent shall be as binding as if given to Contractor. Important communications shall be confirmed in writing. Other communications shall be similarly confirmed on written request.
2. Contractor's Personnel.
  - a. Contractor shall be responsible to Thornton for acts and omissions of its employees, Subcontractors and their agents and employees, and all other persons performing portions of the Work for Contractor. Contractor shall enforce strict discipline and good order among its employees, Subcontractors and all other persons performing the Work. Contractor shall not permit employment of unfit persons or persons not skilled in tasks assigned to them.
  - b. Contractor shall be liable to Thornton for losses, costs and expenses attributable to any acts or omissions by Subcontractors, and their agents and employees resulting from the failure to comply with Laws, including, fines, penalties and corrective measures.
3. Independent Contractor.
  - a. It is understood and agreed by and between the Parties that the status of Contractor shall be that of an independent contractor and a person retained on a contractual basis to perform construction Work for a limited period of time and it is not intended, nor shall it be construed, that Contractor is an employee or officer of Thornton under Chapter VII of the Thornton City Charter, or Charter 54 of the Thornton City Code, or for any purpose.
  - b. Neither Contractor nor any of its agents or employees shall act on behalf of or in the name of Thornton unless authorized in writing by Thornton.

- c. The Parties acknowledge that Contractor is not entitled to unemployment insurance benefits unless unemployment compensation coverage is provided by Contractor or some other entity besides Thornton, that Contractor is not entitled to Workers' Compensation benefits from Thornton, and that Contractor is obligated to pay federal and state income tax on any monies earned pursuant to this Agreement.

4. Subcontractors, Suppliers, and Others

- a. Work not self-performed by Contractor shall be performed by Subcontractors under written agreements with Contractor.
- b. Before entering into contracts with Subcontractors, Contractor shall submit a list of proposed Subcontractors for Thornton to review. Contractor shall not contract with any Subcontractor to whom Thornton has made reasonable objection. Thornton may propose Subcontractors to be considered by Contractor. Contractor, however, shall not be required to retain any Subcontractor to whom Contractor has made reasonable objection.
- c. Thornton reserves the right to request Contractor to submit the qualifications and resumes of proposed key staff of its proposed Subcontractors for Thornton's review and approval.
- d. All Work performed for Contractor by each Subcontractor or Supplier will be pursuant to an appropriate agreement between Contractor and Subcontractor or Supplier specifically binding Subcontractor and Supplier to the applicable terms and conditions of the Contract Documents for the benefit of Thornton.

5. Agreements with Subcontractors shall not create any obligation on the part of Thornton to pay or to see to the payment of any moneys due any such Subcontractor, Supplier, or other individual or entity and must contain a valid and binding provision whereby the Subcontractor waives any and all rights to make a claim against Thornton (other than a Verified Statement of Claim against the contract funds) arising out of the performance of the Work.

- a. Contractor shall be solely responsible for the supervision and management of Subcontractors in the performance of their Work throughout the Project including the Warranty period when applicable.
- b. Nothing contained in the Contract Documents shall create any contractual relationship between any Subcontractor and Thornton.
- c. Each subcontract agreement shall contain a clause that allows Contractor to terminate Subcontractor if Subcontractor is or has been debarred in accordance with applicable local, state and federal laws.

K. Materials and Equipment. Contractor shall provide and pay for all labor, Materials, Equipment, tools, Construction Equipment and machinery, water, heat, utilities, transportation, and other facilities and services necessary for proper execution and completion of the Work, whether temporary or permanent, and whether or not incorporated or to be incorporated in the Work.

L. Stockpiling and Storage of Material or Equipment.

1. Contractor shall not stockpile Materials or store Equipment or Construction Equipment in the public streets or ROW, except for that which is expected to be used that day unless permanent traffic control is allowed, and the stockpile is approved in advance in writing by Thornton. If Material is stockpiled for use that day, Contractor shall utilize proper traffic control and necessary barricades. At all times, Contractor shall provide access to adjacent properties by individual occupants and visitors, as well as necessary services they may require (e.g., mail service, trash collection, snow plowing, etc.).
2. All operations of Contractor, including storage of Materials, Equipment and Construction Equipment shall be confined to areas authorized by Thornton. Contractor shall be liable for any and all damages to such areas resulting from its occupancy.
3. Contractor shall be responsible for the care, compliance with Laws and storage of Materials or Equipment delivered to the Project Site or purchased for use thereon. Stored Materials or Equipment shall be carefully and continuously protected from theft, damage or deterioration and located so as to facilitate inspection by Thornton. The responsibility for the care and storage of Materials or Equipment shall be Contractor's whether such Materials or Equipment are furnished by Contractor or by Thornton. Storage of Materials or Equipment shall not unduly interfere with the progress of the Work or the work of any Separate Contractor.
4. To the fullest extent permitted by law, Contractor shall defend, indemnify, and hold harmless Thornton from liability of any nature or kind arising from any use, trespass, or damage caused by its operations on any private property.

M. Warranty of Materials, Equipment.

1. Contractor warrants that all Materials and Equipment furnished as part of the Work shall be new unless otherwise specified, of good quality, in conformance with the Contract Documents, and free from defective workmanship and Materials. In the absence of detailed Specifications, all Materials and Equipment shall conform to the latest standards of the American Society for Testing and Materials (ASTM). Work not conforming to these requirements, including substitutions not properly approved and authorized, may be considered defective.

2. Contractor shall collect all written manufacturer's warranties and certificates and deliver them to Thornton at a time and in a format directed by Thornton. All written warranties shall be submitted to Thornton as a condition precedent to granting Initial Acceptance of the Work.
3. The Warranty Correction Period during which Defective Work must be corrected shall commence on the Date of Initial Acceptance. This paragraph M.3. shall not apply to federally aided construction projects which will use Substantial Completion as a standard instead of Initial Acceptance followed by the Warranty Correction Period.

N. Licenses And Permits

1. Contractor shall obtain, at its expense, all appropriate licenses from Thornton and/or other governing jurisdictions before the start of construction. A Master Plumber's License is required to connect to water and sanitary sewer mains in the City of Thornton. Costs associated with obtaining a Master Plumber's License are the sole responsibility of Contractor.
2. Unless otherwise specified or indicated, all permits necessary for construction of the Work, including federal, state, county, and local permits shall be obtained by and paid for by Contractor.
3. Contractor shall obtain all required applicable permit(s) before commencing any construction.
4. Building Permits for construction within the Thornton City limits are obtained from the City of Thornton, City Development Building Inspection Division, located at 9500 Civic Center Drive, Thornton, CO 80229. Construction Permits for construction within the Thornton City limits are obtained from the City of Thornton, Infrastructure Department Streets Division, located at 12450 Washington Street, Thornton, CO 80241. Traffic Control permits for construction work, oversized loads, or deliveries blocking the Right-of-Way within the Thornton City limits are obtained from the City of Thornton, Infrastructure Department Traffic Division, located at 12450 Washington Street, Thornton, CO 80241. There is no charge to Contractor for these City of Thornton permits. **All three types of permits shall be applied for on-line through Thornton's website.**
5. Contractor shall obtain a Stormwater Discharge Permit as required by the CDPHE for construction sites to the extent that it is required. Contractor will work cooperatively and in accordance with the guidelines set forth by Thornton's Stormwater Department.
6. Contactor shall provide copies of all permits to Thornton's Construction Manager throughout the entire Project.

#### **IV. PROJECT INFORMATION, SUBMITTALS, RECORD DOCUMENTS**

##### **A. Project Information.**

1. Thornton shall provide Contractor information to the extent it is in Thornton's possession and control and deemed necessary by Thornton to perform the Work.
2. To the extent there is any Thornton-provided information, Thornton makes no representation or warranty as to the accuracy of Thornton-provided information. Contractor shall carefully study and compare all Thornton-provided information with field conditions, as well as for the internal consistency of such information, and shall promptly report in writing (through an RFI) to Thornton any conflict, ambiguity, or discrepancy which Contractor may discover and shall obtain a written interpretation or clarification from Thornton before proceeding with any Work affected thereby.

##### **B. Submittals.**

1. Shop Drawings, Product Data, Samples and similar submittals are not Contract Documents. The purpose of these submittals is to demonstrate for those portions of the Work for which submittals are required the way Contractor proposes to conform to the information given and the design concept expressed in the Contract Documents.
2. Contractor shall review, approve and submit to Thornton, Shop Drawings, Product Data, Samples and similar submittals required by the Contract Documents with reasonable promptness and in such sequence as to cause no delay in the Work or in the activities of Thornton or of Separate Contractors. Submittals made by Contractor which are not required by the Contract Documents may be returned without action.

##### **C. Certification of Submittals.**

1. By approving and submitting Shop Drawings, Product Data, Samples and similar submittals, Contractor represents that it has determined and verified Materials, Equipment, field measurements and field construction criteria related thereto, or will do so, and has checked and coordinated the information contained within such submittals with the requirements of the Work and of the Contract Documents and that all data shown on the submittals are complete and accurate. Contractor shall not be relieved of responsibility for errors or omissions in Shop Drawings, Product Data, Samples or similar submittals, by Thornton's approval.
2. When professional certification of performance criteria of Materials, systems or Equipment is required by the Contract Documents, Thornton shall be entitled to rely upon the accuracy and completeness of such certifications.

D. Submittal Schedule.

1. Prior to the submission of any submittals, Contractor shall submit a detailed Submittal Schedule for Shop Drawings, Product Data, Samples, mock-ups, calculations, and other required submittals, which shall list each required submittal per the Contract Documents, the proposed date for Contractor's initial submittal, the proposed date for Thornton's completion of the initial review, and the current status of each submittal showing whether pending, in review, rejected, resubmitted or approved for Thornton's approval, which shall not unreasonably be delayed or withheld. This Submittal Schedule shall be consistent with the CPM Schedule for completion of the Work. All submittals shall be submitted to Thornton for review and approval in accordance with this Submittal Schedule. Contractor shall update the Submittal Schedule at a minimum on a bi-weekly basis until such time as all submittals have been approved.
2. The Submittal Schedule shall:
  - a. Be coordinated with the CPM Schedule;
  - b. Allow Thornton reasonable time to review submittals and in accordance with the time specified in the Special Conditions; and
  - c. Be periodically updated to reflect the progress of the Work.
3. Contractor shall direct specific attention, in writing or on resubmitted Shop Drawings, Product Data, Samples or similar submittals, to revisions other than those requested by Thornton on previous submittals.
4. All submittals shall be submitted to Thornton in electronic format whenever possible. When electronic submittals are not possible, a minimum of four (4) copies of the submittal shall be provided.
5. Submittals shall be accompanied by a letter of transmittal which shall list submittal numbers and dates of the submittal and shall be in the form approved by Thornton. Hard copy submittals shall be bound in sets. Any resubmittals shall show submittal and resubmittal numbers as well as the dates for previous submittals and resubmittals.
6. Submittals shall be marked with the name of the Project and submittal number and bear the stamp or specific written indication of approval of Contractor as evidence that the submittal has been checked and approved by Contractor. Any submittal without Contractor's stamp of approval or specific written indication of approval shall not be considered by Thornton and shall be returned to Contractor for their approval and resubmission.
7. Each submittal shall give notice to Thornton of any variation between the submittal and the requirements of the Contract Documents. Contractor shall place a specific notation on the submittal and call such variation to Thornton's attention in the letter

of transmittal. If the variation as submitted is acceptable, Thornton will provide written approval of the variation to Contractor. Thornton's review and approval of submittals shall not relieve Contractor from responsibility for strict compliance with the requirements of the Contract Documents, unless Contractor has, in writing, called Thornton's attention to each variation at the time of submission, as required above, and Thornton has given unconditional written approval of each variation to the Contractor.

8. Submittals not conforming to the above requirements shall be returned to Contractor, without action by Thornton, for resubmittal. Any resulting delay shall be the sole responsibility of Contractor.

E. Operations and Maintenance Manuals.

1. Submittals of Operations and Maintenance ("O&M") Manuals shall initially be made in electronic format for review and comment by Thornton. O&M Manuals shall include detailed manufacturer's information on all operations and maintenance recommendations, replacement parts lists, and assembly diagrams.
2. Upon approval of the O&M Manuals, four (4) sets of hardcopies bound in ring binders, along with an updated electronic file, shall be furnished by Contractor to Thornton. In the bound hardcopies, frequently referenced pages, such as maintenance schedules, parts lists and assembly diagrams, shall be inserted into plastic sleeves or laminated for protection.
3. All finalized O&M Manuals shall be furnished to Thornton as a condition precedent to Initial Acceptance.

F. Record Documents ("As-Built Drawings\Specifications")

1. Throughout construction, Contractor shall maintain a thorough up-to-date record of all changes to the Drawings, Specifications and other Contract Documents made during construction. As a condition precedent to granting Initial Acceptance, Contractor shall file with Thornton one (1) complete set of redlined Record Documents showing all changes and including Contractor's field construction notes neatly and legibly recorded thereon. Such changes shall include, but not be limited to, the exact routing if changed from Drawing location of sewer, water, gas, oxygen supply, condenser water lines, fuel oil tanks and lines, fire protection lines, and any other buried utility lines, and routing of buried electrical feeder lines and changes to routing of conduit runs which are buried or concealed.
2. Record Drawings shall be submitted in electronic CAD format compatible with Thornton's CAD system. The title block shall indicate "Record Documents" and be dated as of the Date of Initial Acceptance. All Drawing changes shall be circled with a "cloud" and any applicable Change Order or Amendment number shall be included in a "delta" adjacent to the cloud and recorded in the title block.

3. All other Record Document changes including, but not limited to, Agreement and Specification changes, shall be provided in both a bound hardcopy and in Microsoft Word format on an electronic storage device approved by Thornton. Changes shall be indicated by striking through deleted information and adding revised information in italics. Any applicable Change Order or Amendment number shall be indicated in parentheses immediately following the changed text. Applicable indices shall indicate those sections containing revisions by denoting these sections with an asterisk (\*) and including a footnote that states “\*Revised.”
4. At the conclusion of construction and as a condition precedent to Thornton granting Initial Acceptance, Contractor shall prepare and submit to Thornton:
  - a. Redlined field set of Record Drawings;
  - b. Redlined field set of Record Specifications;
  - c. Data in electronic format that document how the various elements of the Work, including changes, were constructed or installed;
  - d. Written consent from Surety to release Final Payment to Contractor;
  - e. Training, if required, along with documentation of same; and
  - f. Operations and maintenance manuals.

## **V. PROJECT AND CONSTRUCTION MANAGEMENT**

- A. Construction Progress Meetings. Construction progress meetings shall be conducted by the Contractor weekly at time and location mutually agreed to by the Parties and shall include, but not be limited to, discussion of the following items:
  1. Work completed since the prior meeting;
  2. CPM Schedule status and near term look ahead schedule;
  3. Submittal schedule and status report, including a summary of outstanding submittals;
  4. Requests for Information status;
  5. Approved Change Orders since prior meeting;
  6. Pending Change Order status;
  7. Tests and inspection results since prior meeting;
  8. Problems requiring Thornton’s input for resolution;
  9. Status report of Work rejected by Thornton;

10. Additional information as agreed to by Thornton and Contractor; and
11. Contractor shall maintain logs and Project controls for tracking the above items and shall make copies available to Thornton and other attendees at each construction progress meeting.

B. Daily Site Report. Contractor shall prepare a Daily Site Report for each Day that Work occurs or is scheduled to occur, including inclement weather days, and shall submit them to Thornton on at least a weekly basis. If Contractor fails to comply with this requirement, Thornton may reject any pending Application for Payment until Contractor submits all reports that are due. The report shall, at a minimum, describe:

1. Type(s), location(s), quantity(s), and progress of Work performed;
2. On-site labor for craftsman by craft, Subcontractor, supervision, and office personnel, with activities performed, number of personnel and hours worked on each activity;
3. Construction Equipment (number, type, and time) utilized on-site and for which activities;
4. Type(s) and quantity(s) of Material and Equipment incorporated into the Work;
5. Unanticipated problems encountered;
6. Climatic data (temperature, precipitation, wind) for morning and afternoon;
7. Accidents;
8. Damage to in-place Work;
9. Materials or Equipment received at the Project Site;
10. Results of any testing;
11. Any unforeseen conditions;
12. Standard Work Days not worked for any reason, including but not limited to; weather, labor shortage, delays, equipment and or material shortage; and
13. Other notable events.

C. Safety Program.

1. Contractor shall have sole responsibility for initiating, maintaining and supervising safety precautions and safety programs and compliance with Laws in the performance of the Work provided that such obligation does not relieve Subcontractors of their responsibilities for the safety of persons or property in the performance of their Work or for compliance with safety Laws and regulations.

2. Upon request, Thornton has the right to review Contractor's, and its Subcontractors' safety policies, procedures, standards and documentation of training ("Safety Program"). Thornton's review does not suggest nor involve an assumption of responsibility or liability, financial or otherwise, by either Thornton or Thornton's Representative.
3. Contractor shall designate a qualified and experienced individual at the Project Site in its employ who shall act as Contractor's designated safety representative with the duty to prevent incidents and accidents and the maintaining and supervising of safety precautions and programs to prevent injury, loss or damage to persons or property.
4. Contractor shall seek to avoid injury, loss or damage to persons or property by taking all necessary steps to protect:
  - a. Its employees and all persons on the Project Site or who may be affected by the Work;
  - b. Materials, supplies and equipment whether in storage on or off the Project Site; and
  - c. The Project and all property and structures located at the Project Site and adjacent to Work areas, including trees, shrubs, lawns, walks, pavements, roadways, structures, utilities, and Underground Facilities not designated for removal, relocation, or replacement in the course of construction whether or not such property or structures are part of the Project or involved in the Work.
5. Contractor shall at all times:
  - a. Safely guard Thornton's property and abutting or adjacent property from injury, loss, or damage;
  - b. Protect by false work, braces, shoring or other effective means all buildings, foundations, walls, fences, and other property along its line of Work, or affected directly by its Work including, but not limited to, Thornton's property, against damage;
  - c. Provide, erect, and maintain suitable barriers around all excavations or obstructions to prevent incidents, and provide, place, and maintain during the night sufficient lights, signals, and signs for this purpose on or near the Work;
  - d. Cover or otherwise protect stockpiles of Materials to avoid damage to the Materials and damage to any property from such Materials;
  - e. Protect all Work affected by inclement weather using professional judgment and best work practices to ensure proper execution of the Work and to avoid or prevent weather-related damage;

- f. Repair, replace, or remedy any damage, loss or injury, unless caused directly by Thornton except as outlined herein; and
          - g. Protect the general public from the construction site.
  - 6. In any emergency affecting the safety of persons or property, Contractor shall take reasonable measures to prevent any damage, injury or loss. Any change in the Contract Price or the Contract Time on account of emergency Work shall be determined as provided for herein.
  - 7. If Thornton determines that any part of the Work or Project Site contains safety hazards that have reached an unacceptable level of risk, Thornton, without assuming responsibility for Contractor's safety program, may require Contractor to stop the Work immediately and take corrective measures that are satisfactory to Thornton. If Contractor does not promptly institute corrective measures, Thornton may perform them. The cost of such corrective measures performed by Thornton shall be the sole responsibility of the Contractor. Upon receipt of the invoice from Thornton, Contractor shall pay all costs incurred by Thornton or Thornton will deduct the costs from any funds owed and due to Contractor. The performance of any corrective work by Thornton shall not relieve Contractor of its responsibility for all the damage caused by Contractor, any Subcontractor, Supplier, or any other individual or entity directly or indirectly employed by any of them to perform any of the Work, or anyone for whose acts any of them may be liable because of any corrective action taken by Thornton.
- D. Uninsured Damage, Loss by Contractor and Subcontractors. Any uninsured damage or loss caused by Contractor while performing any Work, shall be repaired and paid for by Contractor. Likewise, any uninsured damage or loss caused by any Subcontractor or person acting on Contractor's behalf, while performing any Work, shall be repaired and paid for by Contractor. Upon receipt of any claim for uninsured damage or loss, Thornton will forward the claim to Contractor. All uninsured damage or loss to such property caused by Contractor and its Subcontractors shall be the sole responsibility of Contractor, and Thornton shall not compensate Contractor as a result.
- E. Project Site Maintenance.
- 1. Contractor shall regularly remove debris and waste from the Project Site and keep all ROW streets, alleys, sidewalks and other premises free from material and debris as the character of the Work will permit. Thornton may direct Contractor to provide immediate clean-up of the Project Site and Contractor shall comply. Prior to discontinuing Work in an area, Contractor shall clean the area and remove all rubbish, Construction Equipment, tools, machinery, waste and surplus Materials. Contractor shall take all necessary efforts to minimize and confine dust and debris resulting from construction activities. Under no circumstances shall Contractor allow any condition to exist which creates a nuisance, fire hazard or an environment injurious to health or safety.

2. If Contractor fails to comply with this section, after notice has been given by Thornton, Thornton shall have the right to remove all rubbish, Construction Equipment, tools, machinery, waste and surplus Materials and to withhold the actual costs from any funds owed or due to Contractor or if no funds are available, will submit an invoice to Contractor for payment which Contractor shall promptly pay.

F. Salvage Material. Materials designated as salvageable on the Drawings or in the Specifications shall be carefully removed from the Project Site, delivered, and unloaded by Contractor in good condition to a storage location as directed by Thornton.

G. Waste Disposal.

1. Contractor shall be solely responsible for locating legal disposal and dumpsites and making arrangements for disposal of all material removed from the Project Site. This includes concrete, asphalt, unsuitable or unstable trench material, and any other trash, rubbish, or debris generated as a result of the construction of the Work. Asbestos or other hazardous materials will be disposed of in accordance with any and all applicable laws and regulations. The Contractor shall promptly submit copies of the disposal manifests to Thornton to demonstrate proper disposal of all materials.
2. At the completion of the Work, Contractor shall remove from the Project Site all Construction Equipment, tools, surplus Materials, waste and debris.

H. Potentially Dangerous Work.

1. When the use of explosives, driving, or removal of piles, wrecking, excavation or other similarly potentially dangerous activities are necessary for the performance of the Work, Contractor shall exercise the utmost care so as not to endanger health, life or property. Contractor shall be solely responsible for any and all damages, claims, and for the defense of any actions against Thornton resulting from such potentially dangerous activity, including payment of attorneys' fees, and if Contractor is found to have not followed the industry standard of care in performing such operations, any costs for damages resulting therefrom shall not be compensated.
2. Contractor shall notify each public utility company or other owner of private property having structures or improvements in proximity to the Project Site of its intent to perform potentially dangerous activities. Such notice shall be given sufficiently in advance to enable the companies or owners of property to take such steps as they deem necessary to protect their property.
3. All explosives shall be stored in a secure manner and all storage places shall be marked clearly "EXPLOSIVES - KEEP OFF" and shall be in the care of competent watchmen at all times.

I. BV VB azardous Material.

1. After commencing the Work, if previously unknown suspected Hazardous Material is discovered at the Project Site, Contractor shall immediately stop Work in the area affected by the presence of the suspected Hazardous Material. Contractor shall immediately report the condition to Thornton and, if required, any governmental agencies with jurisdiction. Contractor shall not commence or continue Work until any existing known or unknown Hazardous Material at the Project Site has been remediated or rendered harmless, unless such Work is included in the Contractor's scope of Work.
2. Thornton may retain or direct Contractor to retain an environmental consultant to determine the nature of the suspected Hazardous Material encountered and whether it is a Hazardous Material requiring corrective measures or remedial action.
3. Thornton may retain a Separate Contractor to take such measures or actions to remediate or render harmless any previously known or unknown Hazardous Material, or Thornton may add such measures or actions to the Contractor's scope of Work by written Amendment or Change Order. Such measures or actions shall be performed in a manner minimizing any adverse effect upon the Work.
4. Except for authorized corrective measures or remedial actions, Contractor shall resume Work in the area affected by any Hazardous Material only upon written agreement between the Parties after the Hazardous Material has been removed or rendered harmless and only after approval of governmental agencies with jurisdiction.
5. Contractor shall modify to the extent possible the Work activities and/or CPM Schedule to minimize any negative impact to the progress of the Work or to the Contract Price.
6. If Contractor incurs additional costs or Work on the Contractors Critical Path is delayed due to the presence of, remediation of, or rendering harmless any Hazardous Material that was unforeseen when the Contract was executed, Contractor may be entitled to an adjustment in the Contract Price and/or the Contract Time.
7. Safety Data Sheets (SDS) as required by law and pertaining to Materials or substances used or consumed in the performance of the Work, whether obtained by Contractor, Subcontractors, Thornton or others, shall be maintained at the Project Site by Contractor and made available to Thornton upon request.
8. During Contractor's performance of the Work, Contractor shall be solely responsible for the proper handling, installation and disposal of all Materials and substances brought to the Project Site by Contractor, including Hazardous Material.

9. Asbestos, lead, or other Hazardous Materials shall be disposed of in accordance with any and all applicable Laws and regulations. Contractor shall promptly submit copies of the disposal manifests to Thornton to demonstrate proper disposal of all Hazardous Materials.

J. Project Site Staging Area.

1. Contractor shall make every effort to contain its operations to the smallest area possible. All areas which have been disturbed shall be returned to their original grade and condition, or better.
2. Contractor is solely responsible for acquisition and payment for any staging area to be used during construction over and above any staging area(s) within the Project Site. This area shall be secure to prevent loss of Materials and Equipment. Any replacement of lost or damaged (including vandalism) Materials and/or Equipment shall be the responsibility of Contractor and shall not be compensated.
3. All operations of Contractor, including storage of Materials, Equipment, and Construction Equipment shall be confined to the area or areas authorized by Thornton. Contractor shall be liable for any and all damages to such premises resulting from its occupancy.

- K. Sanitary Facilities. Contractor shall furnish the necessary sanitary conveniences for its employees and others at the Project Site, properly secluded, for use during construction. These conveniences shall be maintained in a manner that will be inoffensive and in compliance with federal, state, and local health and sanitation requirements. Contractor's employees, Subcontractors, Sub-subcontractors, and suppliers shall not use Thornton's restrooms unless prior authorization has been granted by Thornton in writing.

**VI. QUALITY ASSURANCE AND QUALITY CONTROL, THORNTON  
INSPECTION OF WORK**

- A. Quality Control is the responsibility of the Contractor.

B. Quality Assurance Tests, Inspections.

1. Notice Required. During construction, the Work shall be subject to the review and observation of Thornton. Contractor shall afford every reasonable facility and assistance to Thornton to review, observe, inspect and test the Work as required by Thornton's Quality Assurance ("QA") program. QA inspections and tests include, but are not limited to, fill control (compaction), asphalt density, rebar inspection, and concrete testing, which shall be performed by a commercial testing laboratory of Thornton's choosing and at Thornton's expense. Contractor shall perform excavation Work necessary for compaction testing, as requested by Thornton's testing agent. Contractor may observe all such QA inspections and tests. Note, Thornton's Quality Assurance tests and inspections do not relieve Contractor of their Quality Control responsibilities.

2. Rejection of Work Resulting from Quality Assurance Inspection, Tests by Thornton. In the event Thornton's QA inspection or test fails to meet the acceptance criteria established by the Specifications, another inspection or test will be performed after the necessary corrective Work has been completed by Contractor. Contractor shall bear the expense of all the re-inspections and/or re-tests required, and such cost shall not be compensated. Thornton shall have the right to back charge Contractor for its QA re-inspections and re-tests and to deduct the cost of re-inspections and re-tests from payments due or that become due to Contractor.

C. Quality Control Tests, Inspections.

1. Notice Required. Contractor shall provide a minimum of two (2) Business Days' notice to Thornton of Contractor's schedule for the required Quality Control ("QC") field inspections or tests to allow Thornton the opportunity to observe such inspections and tests. Failure to give two (2) Business Days' notice to Thornton may require that the QC inspection or tests be delayed. If two (2) Business Days' notice is not provided, Contractor shall not be entitled to an extension of the Contract Time and will not be relieved of required acceptance inspections or tests of any finishing, Materials or Equipment.
2. Inspections and Tests Required. Contractor shall perform QC inspections and tests as specified in the Contract Documents and in accordance with Contractor's QC Program. Contractor shall furnish reports of results to Thornton. The QC inspection agency and testing laboratory proposed by Contractor shall be subject to approval by Thornton, and Contractor shall pay the cost of the QC inspections, tests and any re-tests that may be required, including all transportation charges.

D. Quality Assurance and Quality Control Program, Standards.

1. Inspections and Tests Standards. All QA and QC inspections, tests and re-tests, unless otherwise provided in the Specifications, shall be in accordance with the pertinent sections of the latest edition of the standards applicable to the Material, Equipment or devices to be tested.
2. Covered QA and QC Work Before Thornton Inspection. If any Work is covered up without Thornton being afforded the opportunity to perform its QA inspections or tests or to observe Contractor's QC inspections or tests, Thornton may require it be uncovered for examination by Thornton at Contractor's expense.

E. Thornton's Right to Inspect Covered Work.

1. Notice Required. Contractor shall inform Thornton when Work is scheduled to be covered up to allow Thornton adequate time to review and inspect it.
2. Inspection of Covered Work. Thornton may request to examine a portion of the Work that Contractor has covered to determine if the Work has been performed in accordance with the Contract Documents. The costs of uncovering, and recovering

the Work shall be at Contractor's sole expense, shall not be included in the Contract Price, and Contractor shall not be entitled to a change in the Contract Time or the Contract Price.

3. Rejection of Covered Work. Thornton reserves the right to reject and require correction of any Work not completed in accordance with the Contract Documents.
4. Correction of Rejected Covered Work. Contractor shall promptly correct Work rejected by Thornton or failing to conform to the requirements of the Contract Documents, whether discovered before or after Substantial Completion and whether or not fabricated, installed or completed. Costs of correcting rejected Work, including additional testing and inspections, the cost of uncovering and replacement, and compensation for any design consultant employed by Thornton whose expenses and compensation were made necessary as a result, shall be at Contractor's sole expense.

F. Special Inspection. If after commencement of the Work, Thornton determines that any Work requires special inspection, testing or approval not otherwise provided for in the Contract Documents, Thornton shall issue written authorization instructing Contractor to order such special inspection, or testing and Contractor shall give timely notice of its readiness and of the date arranged so Thornton may observe such special inspection or testing. If such special inspection or testing reveals a failure of the Work to comply with the Contract Documents, or with Laws, ordinances, rules, regulations, or orders of any public authority having jurisdiction over the Work, Contractor shall bear all costs of such special inspection and/or testing.

## **VII. PRODUCT SUBSTITUTIONS AND "OR EQUAL" CLAUSE**

- A. Purpose of Referenced Products. The inclusion of a manufacturer's name, trademark, or other proprietary identification of a specified product shall not limit competition among Subcontractors or Material Suppliers, but shall establish a standard of quality, implying an "or equal" clause, unless expressly specified otherwise as "No Substitutions Allowed."
- B. Reference to Standard Specifications. Any reference to standard specifications in any of the Contract Documents shall always imply the latest edition of such standard specification or specifications available at the time the Agreement was executed.
- C. Product Substitution Requirements. The substitution of a product shall be, without limitation, subject to any requirements listed in the Special Conditions or other parts of the Contract Documents and the following conditions:
  1. It is determined by Thornton that the proposed substitute product is equal or superior in properties of construction, durability, quality, character, appearance, strength, and design characteristics to that specified;
  2. It will reliably perform at least equally as well in function and achieve the results imposed by the design concept of the completed Project as a functioning whole;

3. It has a proven record of performance and availability of responsive service;
4. Such changes as may be required in the Work to install the substitute product and to properly integrate it into the Work are approved by Thornton;
5. The effect of the substitution on the total cost of the Work is approved by Thornton;
6. Contractor certifies that, if approved and incorporated into the Work:
  - a. All costs for changes due to substitutions are the responsibility of the Contractor; and
  - b. Contractor will provide at least the same warranty for the substitution that Contractor would have provided for the product specified.

D. Request for Product Substitution.

1. If substitutions are allowed, the substitution of a product in place of that specified shall be permitted only upon Thornton's issuance of a Change Order in response to a formal request submitted by Contractor sufficiently in advance to allow adequate time for evaluation by Thornton. If Thornton, in its sole discretion, determines that tests are necessary for a proper evaluation, such testing shall be performed as specified by Thornton and at the expense of Contractor.
2. Requests for changes in products, Materials, Equipment, and methods of construction required by the Contract Documents proposed by Contractor after the Agreement is executed by Thornton are considered requests for "substitutions."

E. Product Substitution Submittal Process.

1. Contractor shall submit electronic or digital copies of each request for substitution to Thornton for consideration. Such requests shall be submitted in the form and in accordance with procedures required for Change Orders. Contractor shall submit required documents with sufficient time so as to not impact the critical path schedule of the Project, taking into account review times and document control times.
2. Contractor shall identify the product or the fabrication or installation method to be replaced in each request and include related Specification sections and Drawing numbers. Contractor shall provide complete documentation showing compliance with the requirements for substitutions and the following information, as appropriate:
  - a. Product Data, including Drawings and descriptions of products, fabrication, and installation procedures where applicable;
  - b. Samples, where applicable or requested;

- c. A detailed comparison of significant qualities of the proposed substitution with those of the Work specified. Significant qualities may include elements such as size, weight, durability, performance and visual effect;
  - d. Coordination information, including a list of changes or modifications needed to other parts of the Work and to construction performed by Thornton and Separate Contractors that will become necessary to accommodate the proposed substitution;
  - e. The substitution's effect on the CPM Schedule compared to the CPM Schedule without approval of the substitution. Indicate the effect of the proposed substitution on the Contract Time;
  - f. Cost information, including a proposal of the net change, if any, in the Contract Price;
  - g. Certification by Contractor that the substitution proposed is equal-to or better in every significant respect to that required by the Contract Documents and that it will perform adequately in the application indicated. Include Contractor's waiver of rights to additional compensation or time that may subsequently become necessary because of the failure of the substitution to perform adequately.
3. Contractor's substitution request will be received and considered by Thornton when the following conditions are satisfied, as determined by Thornton; otherwise requests will be returned without action except to record noncompliance with these requirements:
- a. Extensive or costly revisions to the Contract Documents are not required;
  - b. Proposed changes are in keeping with the general intent of the Contract Documents;
  - c. The request is timely, fully documented and properly submitted;
  - d. The request is directly related to a "similar products" clause or similar language in the Contract Documents;
  - e. The specified product or method of construction cannot be provided in time to meet the Contract Time, or the specified product or method of construction cannot receive necessary approval by a governing authority and the requested substitution can be approved. The request will not be considered if the product or method cannot be provided as a result of Contractor's failure to pursue the Work promptly or coordinate activities properly; and
  - f. In Thornton's sole determination, a substantial advantage is offered, in terms of cost, time, energy conservation or other considerations of merit, after deducting offsetting responsibilities Thornton may be required to bear.

4. Evaluation by Thornton. Within seven (7) Days of receipt of the request for substitution, Thornton may request additional information or documentation necessary for evaluation of the request. Within fourteen (14) Days of receipt of the request, or seven (7) Days of receipt of the additional information or documentation, whichever occurs later, Thornton will notify Contractor of acceptance or rejection of the proposed substitution. If a decision on use of a proposed substitution cannot, or has not been made or obtained within the time allocated, Contractor shall use the product originally specified. Acceptance will be in the form of a Change Order.
5. Contractor's submittal and Thornton's acceptance of Shop Drawings, Product Data or Samples that relate to construction activities not complying with the Contract Documents does not constitute an acceptable or valid request for substitution, nor does it constitute approval. Any deviations from the Drawings and Specifications shall clearly reference the approved substitution request and shall comply with all submittal requirements in the Contract Documents.
6. The following are not considered substitutions:
  - a. Thornton Revisions to the Contract Documents requested by Thornton;
  - b. Specified options of products and construction methods included in the Contract Documents; and
  - c. Contractor's determination of and compliance with governing regulations and orders issued by authorities having jurisdiction over the Work.

### **VIII. WARRANTY PERIOD**

- A. If, prior to Final Acceptance or within one (1) year after the date of Initial Acceptance, or for such longer periods of time as may be set forth with respect to specific warranties required by the Contract Documents or certain Equipment noted in the Specifications, any Defective Work is found, Thornton shall promptly notify Contractor in writing. Unless Thornton provides written acceptance of the Defective Work, Contractor shall promptly correct the Defective Work at its own cost and time and bear the expense of any services required for correction of any Defective Work.

With respect to any portion of Work corrected after Initial Acceptance, the Warranty Correction Period shall be extended for this portion of Work by the period of time elapsed between Initial Acceptance and the completion of the corrected Work.

If Contractor fails to commence correction of Defective Work within thirty (30) Days after receipt of written notice from Thornton, Thornton may correct the Defective Work in accordance with Thornton's right to carry out the Work. In such case, Thornton shall send an invoice to Contractor for the cost of correcting the Defective Work and Contractor shall promptly pay Thornton.

- B. Other Contract Obligations Not Tolloed by Warranty Period. The Warranty Correction Period for Defective Work does not constitute a limitation period with respect to Thornton's right to enforce Contractor's other obligations under this Agreement or the Contract Documents.
- C. After Warranty Period.
1. Contractor's obligations and liability with respect to any Defective Work discovered after the Warranty Correction Period shall be determined by applicable Laws.
  2. If after the Warranty Correction Period, but before the applicable limitation period has expired, Thornton discovers any Defective Work, Thornton shall, unless the Defective Work requires emergency correction, promptly notify Contractor and allow Contractor an opportunity to correct the Defective Work at its cost. If Contractor elects to correct the Defective Work, it shall provide written notice of such intent within seven (7) Days of its receipt of notice from Thornton and shall complete the correction of Defective Work within the mutually agreed upon time. If Contractor does not correct the Defective Work, Thornton may have the Defective Work corrected, and shall promptly provide Contractor with an accounting of the correction costs, which shall be paid by Contractor to Thornton upon demand.
- D. Damage Caused by Defective Work. If Contractor's correction or removal of Defective Work causes damage to or destroys other completed or partially completed Work or other property, Contractor shall be solely responsible for promptly correcting the damage and for the cost of correcting the destroyed or damaged property.
- E. Acceptance of Defective Work. Prior to Initial Acceptance, at Thornton's option and with Contractor's concurrence, Thornton may elect to accept Defective Work rather than require its removal or correction. In such case, the Contract Price shall be adjusted for any diminution in the value of the Project resulting from such Defective Work. Alternately, Thornton, at its sole discretion, may elect to accept alternate warranty terms it deems to be in Thornton's best interest.
- F. Federally Aided Projects. The overall project warranty period described in this Section VIII. shall not apply to federally aided construction projects. Warranties on projects with any portion of federal funding will be limited to those warranties on the materials, equipment and components used in such project. Federally aided projects will use the standard of Substantial Completion rather than Initial Acceptance under these General Conditions.

**IX. MINOR CHANGES, CLAIMS FOR  
CHANGES IN THE WORK & CONTRACT PRICE**

- A. Change in the Work by Thornton. Without invalidating the Contract and without notice to any surety, Thornton reserves the right, at any time or from time to time during the progress of the Work, to make necessary alterations to, deviations from, additions to, or deletions from the Work, or require the performance of additional Work (“Changed or Extra Work”) by a Change Order not covered by the Drawings and Specifications nor included in the Contractor’s Bid Proposal, but forming a part of the contracted Work (“Change Order”). Upon receipt of a Change Order, Contractor shall promptly proceed with the Work involved which will be performed under the applicable conditions of the Contract Documents (except as otherwise specifically provided).
  
- B. Notice Required. For any claim for an increase in the Contract Price and/or Contract Time, Contractor shall give Thornton written notice of the claim within ten (10) Days after the occurrence giving rise to the claim. Failure to strictly comply with the time requirements herein shall be deemed a waiver of the claim for Contract Price increase or extension of Contract Time by Contractor.
  
- C. Unilateral Change Order (UCO).
  - 1. Thornton may issue a written UCO directing a change in the Work prior to reaching agreement with Contractor on the adjustment, if any, in the Contract price and/or the Contract Time.
  
  - 2. Thornton and Contractor shall negotiate for appropriate adjustments, as applicable, to the Contract Price or the Contract Time arising out of a UCO. As the changed Work is performed, Contractor shall submit its costs for such Work with its Application for Payment beginning with the next Application for Payment within thirty (30) Days of the issuance of the UCO. The Parties reserve their rights to dispute the UCO amount or Contract Time, subject to the General Conditions’ Article XXVI.
  
- D. Minor Change
  - 1. Minor changes in the Work are changes that do not involve an adjustment in the Contract Price and/or the Contract Time and do not materially and adversely affect the Work, including the design, quality, performance, and workmanship required by the Contract Documents. Thornton shall have the authority to order minor changes that do not involve extra cost or Contract Time and are not inconsistent with the design concept and purposes of the Work.
  
  - 2. In giving verbal instructions, Thornton shall have the authority to make minor changes that do not involve extra cost or Contract Time and are not inconsistent with the design concept and purposes of the Work; but otherwise, except in an emergency endangering life or property, no Changed or Extra Work shall be

performed unless pursuant to a Change Order approved by Thornton, and no Claim for Extra Cost shall be valid unless so approved, except as otherwise provided herein.

3. In the event Contractor determines a minor change in the Work is desired, Contractor shall promptly inform Thornton, in writing, of any such minor changes proposed to be made by the Contractor. Provided Thornton approves in writing that such change is minor, the Contractor may make minor changes in the Work consistent with the intent of the Contract Documents. The Contractor shall record all such changes on the Record Drawings maintained by the Contractor.

E. Change Order Required.

1. The Contractor shall not proceed with any Changed or Extra Work without a written Change Order approved in writing by Thornton. Any Changed or Extra Work performed by Contractor without written approval from Thornton shall be done solely at the Contractor's risk, and the Contractor waives any claim for additional compensation and/or extension of Contract Time.
2. Changed or Extra Work shall in no way invalidate the Contract or the Contractor's Bonds, any difference in cost shall be added to or deducted from the Contract Price. No anticipated profits shall be allowed on Work that is deleted.
3. Final Resolution of Changes – Contractor. Upon execution of a Change Order and/or a UCO by Thornton, all costs and time issues claimed by Contractor regarding that change are final and not subject to adjustment or increase.

F. Contract Price Change. Adjustments, if any, in the Contract Price by reason of any such Changed or Extra Work shall be determined by one of the following methods in the order as listed, or, if appropriate, as solely determined by Thornton, or it may be a combination of the methods listed below.

G. Method A. By applicable Unit Prices contained in the Contractor's Bid Proposal for the same or similar type or class of Work as determined by Thornton.

H. Method B. If applicable, Unit Prices were not included in the Contractor's Bid Proposal, then by a Unit Price proposal for the Changed or Extra Work from the Contractor that is accepted by Thornton.

I. Method C. If applicable, Unit Prices were not included in the Contractor's Bid Proposal and a Unit Price proposal is not practical or cannot be mutually agreed upon, then by a lump sum price proposal for the Changed or Extra Work from the Contractor that is accepted by Thornton.

J. Method D. If applicable, Unit Prices were not included in the Contractor's Bid Proposal, and if Thornton and the Contractor cannot mutually agree on pricing per Method B or C before Thornton requests the Contractor to begin Work on the Changed or Extra Work, then the Contractor shall be paid the "actual field cost", as defined below.

Each Party must notify the other Party in advance of utilizing Method D to allow each Party the opportunity to perform its due diligence during the performance of the Changed or Extra Work. Failure of the Contractor to notify Thornton in advance that it disagrees with the application of Methods A, B, or C shall bar the Contractor from unilaterally using Method D.

K. Method A. Method A is applicable to price Changed or Extra Work when the following conditions are met:

1. When the same or similar type or class of work, as determined by Thornton, is contained in the Contractor's Bid Proposal as a Pay Item;
2. When Unit Prices are provided in the Bid Proposal for the Pay Item;
3. When the actual final quantity, after adding in the Changed or Extra Work, is not less than seventy-five percent (75%) nor greater than one hundred twenty-five percent (125%) of the total estimated quantity for the applicable Pay Item;
4. If condition (1) and (2) are met, but the actual final quantity is less than seventy-five percent (75%) or greater than one hundred twenty-five percent (125%) of the total estimated quantity for the applicable Pay Item, and if a larger quantity variation was expected as expressly noted on the Bid Proposal Form, then Method A shall be used to price the Changed or Extra Work regardless of the final actual quantity installed; and
5. If condition (1) and (2) are met, but the actual final quantity is less than seventy five percent (75%) or greater than one hundred twenty-five percent (125%) of the total estimated quantity for the applicable Pay Item, and if a larger quantity variation was not expected as expressly noted on the Bid Proposal form, then Method B or C shall be used to price the Changed or Extra Work; provided however, that the original estimated quantity shall be paid at the original Unit Price proposed for the particular Pay Item.

L. Methods B and C. Under Methods B and C, Contractor shall provide backup documentation showing an estimated itemized cost breakdown for labor (including labor man-hours), Materials and Equipment installed in the Work, Construction Equipment (including rental equipment) utilized in the performance of the Work, Subcontractor costs, incidental expenses, and overhead and profit not to exceed fifteen percent (15%) of the total Change Order price. Documentation shall be sufficient to enable Thornton to evaluate Contractor's Unit Price or lump sum proposal.

M. Method D. When any Changed or Extra Work is performed under Method D, the term "actual field cost" is defined to be and shall include:

1. The actual payroll cost, including payroll taxes of all workers such as foremen, equipment operators, carpenters, electricians, mechanics, and laborers for the time actually engaged in performing the Changed or Extra Work. No other labor related costs will be allowed including, but not limited to, Contractor's management

or supervisory personnel, home office personnel, employee benefits, employee bonuses, insurance, and any other incidental costs. An allowance for these indirect costs is covered in the Contractor's allowable markup on the actual field cost, as described below;

2. The actual cost of all Materials and Equipment incorporated into the Changed or Extra Work;
3. The cost of all Construction Equipment for the time actually employed or used in the performance of the Changed or Extra Work based on the Colorado State Department of Transportation's Equipment Schedule or other industry standard publication acceptable to Thornton and in force on the date of the Change Order request;
4. Transportation charges at cost necessarily incurred in connection with any Construction Equipment authorized by Thornton for use on such Changed or Extra Work, but which is not already on the Project site;
5. The actual cost of all power, fuel, lubricants, water, and similar operating expenses as well as other expendable materials such as small tools;
6. All incidental expenses incurred as a direct result of such Changed or Extra Work, including a prorata portion of premiums related to the Contractor's Bonds, and where the premiums therefore are based on payroll costs, on insurance required by the Contract;
7. In determining the amount payable to the Contractor for a Subcontractor's Work, the Contractor must either obtain a minimum of three (3) competitive firm fixed quotes for the subcontracted Work, or if using an existing Subcontractor, shall require the Subcontractor to submit documentation as required herein to determine the Subcontractor's actual field cost. If the Subcontractor's actual field cost is used to determine the Contractor's overall reimbursement for the Changed or Extra Work, the Subcontractor's markup on its cost of Work to cover its general management and supervisory personnel, home office personnel, employee benefits, employee bonuses, insurance, taxes other than payroll taxes, any other incidental costs, overhead and profit, and all other elements of cost not embraced within the actual field cost as defined herein, shall not exceed fifteen percent (15%) of its actual field cost;
8. The Contractor's markup on self-performed work may not exceed fifteen percent (15%) of the actual field cost for such work. This markup shall cover and be full compensation for the Contractor's general management and supervisory personnel, home office personnel, employee benefits, employee bonuses, insurance, taxes other than payroll taxes, any other incidental costs, overhead and profit, and all other elements of cost not embraced within the actual field cost as defined herein. The Contractor's markup on subcontracted Work shall not exceed five percent (5%) of the Subcontractor's cost whether determined by a competitive quote or by the Subcontractor's actual field cost plus its markup as defined herein,

whichever is applicable. No "pyramiding" or additional percentage shall be authorized for the Contractor for any Changed or Extra Work performed by Subcontractors.

9. When any Changed or Extra Work is performed under Method D, Thornton shall direct the form in which the accounts of the actual field costs shall be kept by Contractor. Contractor must specify in writing the proposed method of doing the Work and the type and kind of Construction Equipment, if required, that will be used in the performance of the Changed or Extra Work. Thornton must agree in writing to the methodology before Contractor may commence the Changed or Extra Work. Contractor shall furnish satisfactory bills, payrolls and vouchers covering all items of cost when requested by Thornton and give Thornton access to the related accounts.
10. Should Thornton and the Contractor be unable to agree on the method for pricing Changed or Extra Work or on the total value of the Changed or Extra Work, Thornton may utilize any combination of Methods A, B, C, and D to develop a Change Order to facilitate the continuation of the Work and payment without delay.
11. Any Changed or Extra Work shall be considered a part of the Contract, subject to all of its terms, conditions, stipulations, review, warranties, and tests and may be performed without notice to the Surety. Contractor and its Surety hereby agree to these provisions.
12. It is Contractor's and Surety's joint responsibility to be aware of all Contract Change Orders and to ensure that the Contract value under the Labor and Material Payment and Performance Bonds are modified as appropriate with each Change Order. In addition, the Surety shall ensure that Contractor's bonding limit has not been exceeded by any Contract Change Order.

N. Claims for Extra Cost by Contractor.

1. If it appears to Contractor that Changed or Extra Work is required, and in their opinion, they should receive additional compensation, then within ten (10) Calendar Days of Contractor knowing or should have known of the condition giving rise to the Changed or Extra Work requiring additional compensation, Contractor shall give written notice for a Claim of Extra Cost to Thornton's Contract Administration Division. Thornton must authorize Contractor's request for a Change Order for Changed or Extra Work. Contractor shall simultaneously provide a copy of said claim to Thornton's Field Representative. Should a difference of opinion arise as to what does or does not constitute Changed or Extra Work, or being paid for the extra incurred cost, and Thornton insists on immediate conformance, Contractor shall proceed with the Work after presenting its written notice to Thornton. Performance by Contractor of the Work in question shall not prejudice Contractor's ability to receive additional compensation for a Claim for Extra Cost. However, failure to submit timely notice to Thornton in accordance with this section constitutes a complete waiver by Contractor of its claim for extra cost for Changed or Extra Work.

2. Provided timely notice has been filed with Thornton, and provided Method A for pricing Changed or Extra Work does not apply and provided that Thornton and the Contractor have not agreed upon pricing per Methods B and C, Contractor shall keep an accurate account of the "actual field cost", as provided for in Method D in Article IX of these General Conditions. Contractor shall not waive any right it might have to compensation for a Claim for Extra Cost in connection with the Changed or Extra Work. Upon receipt of the Contractor's Claim for Extra Cost, Thornton will make a final determination as to whether or not Changed or Extra Work was involved and, if so, the amount due to the Contractor.
3. Contractor shall provide to Thornton all supporting documents and receipts in support of its Claim for Extra Cost within thirty (30) Calendar Days after performing the Work for which extra cost is claimed. Thornton shall have the right to reject any Claim for Extra Cost if the foregoing procedure is not followed. Failure to submit accurate and complete supporting documentation within thirty (30) Calendar Days after performing the Work shall constitute a complete waiver of the claim by the Contractor.

## **X. CONTRACT TIME**

- A. Contract Time. Adjustments, if any, in the Contract Time by reason of any Changed or Extra Work shall be determined in accordance with this Article X, Contract Time.
- B. The Contractor expressly agrees that in undertaking to complete the Work within the Contract Time, it has taken into consideration and made allowances in the Schedule of Work for all delays and hindrances incidental to such Work, whether growing out of delays in securing Materials and Equipment, labor, normal inclement weather, or otherwise.
- C. If the Contractor is delayed at any time in the progress of the Work by an act or omission of Thornton, any separate contractor employed by Thornton, Changed or Extra Work, industry-wide labor strikes, fire, epidemics, quarantine restrictions, freight embargoes, unavoidable casualties, abnormal weather conditions (further described in this Article below), causes beyond the Contractor's control, or by any other cause which Thornton determines may justify the delay, the Contract Time shall be extended for such reasonable time as Thornton may determine based on the timing and submittal requirements set forth below; provided, however, that such delay could not have been avoided by the exercise of due diligence by the Contractor.
- D. No extension of the Contract Time will be granted for:
  1. When there are variations between the original estimated Contract Pay Item quantity, and the actual Pay Item quantity, unless such variance is one hundred twenty-five percent (125%) or more than the original estimated Contract Pay Item quantity, and the unit priced bid item did not indicate that the Contractor should expect changes in this unit priced bid item (usually noted with an asterisk (\*));
  2. Rain, snow, wind, flood, or natural phenomena of normal intensity for the locality where the Work is to be performed;

3. Acts or omissions of Contractor or its Subcontractors caused the delay;
  4. Delays occurring concurrently (either at the same time, on the same critical path, or on a concurrent critical path) with delays attributable to acts or omissions of the Contractor or its Subcontractors; and
  5. A delay occurring to an activity which is not on the then-current critical path.
- E. Written Notice for an Extension Required. A request for an extension of the Contract Time shall be submitted in writing to Thornton no later than ten (10) Calendar Days after the delay commences. In the case of a continuing delay for the same cause, only one request is necessary. The Contractor shall support its request for an extension of the Contract Time with a supplemental submittal, which shall be submitted to Thornton within fourteen (14) Calendar Days of submitting the initial request. The Contractor's supplemental submittal shall include:
1. A description of the activities that were delayed, the reasons for the delay, an explanation of how they were delayed, and a detailed factual statement relative to all relevant dates, locations, etc.;
  2. A schedule analysis (based on the critical path method) which shows in graphic form how and where a delay on the then-current critical path occurred and its effect on any Milestone Date or the Substantial Completion Date; and
  3. An explanation of the Contractor's efforts to reschedule the Work in order to mitigate the effect of the delay and/or prevent further delays.
- F. In the event Contractor requires more than fourteen (14) Calendar Days to provide the supplemental submittal, the Contractor shall request in writing an extension of time from Thornton within the fourteen (14) Calendar Day period. If the supplemental submittal, or a request for an extension of time to submit the supplemental submittal is not received by Thornton within the fourteen (14) Calendar Day period, the Contractor waives any claim for an extension of Contract Time therefor.
- G. If abnormal weather conditions are the basis for a request for an extension of the Contract Time, such request shall be supported by data substantiating that weather conditions during the period of time impacted were unusually severe and could not have been reasonably anticipated. To establish the existence of abnormal weather, the Contractor must submit documentation which shows that the weather conditions experienced in a given calendar month fall outside of the extreme ranges of weather data for the Denver area published by the National Climatic Data Center during the same calendar month over the prior ten (10) year period. The existence of abnormal weather is not sufficient in itself to justify an extension of Contract Time; the Contractor must still demonstrate that the abnormal weather delayed specific activities that were on the then-current critical path that controlled the overall completion of the Work.

- H. Failure to strictly comply with the timing and submittal requirements of this section shall constitute a waiver by Contractor of any request for an extension of the Contract Time. All extensions to the Contract Time shall be by Change Order. No oral extensions of Contract Time shall be granted by Thornton or may be relied upon by the Contractor.
- I. Notwithstanding anything to the contrary in the Contract Documents, an extension to the Contract Time, to the extent permitted under this section, shall be the sole and exclusive remedy of the Contractor for any delay in the commencement, prosecution, or completion of the Work; hindrance, interference, or obstruction in the Contractor's performance of the Work; loss of productivity; or other similar claims, whether or not such delays are foreseeable. In no event shall the Contractor or its Subcontractors be entitled to any compensation or recovery of any damages in connection with any delay to the Work. The Contractor hereby waives any and all claims past, present, or future for monetary damages arising out of or related to any delay or interference including, without limitation, consequential damages, lost opportunity costs, lost profits, impact damages, acceleration damages, loss of labor productivity damages, all other time related damages, or other similar remuneration against Thornton. Thornton's exercise of any of its rights or remedies under the Contract Documents, regardless of the extent or frequency of Thornton's exercise of such rights of remedies, shall not be construed as active interference with the Contractor's performance of the Work.

**XI. DIFFERING SITE CONDITIONS**

- A. Differing Site Conditions are:
  - 1. Actual subsurface or latent physical conditions at the Project Site that differ materially from those indicated in the geotechnical baseline; or
  - 2. Unknown physical conditions at the Project Site, of an unusual nature, which differ materially from those ordinarily encountered and generally recognized as inherent in Work.
- B. Differing Site Conditions exclusions are:
  - 1. Conditions of which Contractor had actual or constructive knowledge as of the date of the Agreement; and
  - 2. Conditions that should have been discovered through a reasonable investigation.
- C. Discovery of Differing Site Condition. Upon discovering an alleged Differing Site Condition and after taking appropriate measures to secure the Work and before the condition is further disturbed, Contractor shall:
  - 1. Stop work in and secure the affected area; and
  - 2. Notify Thornton of the alleged Differing Site Condition. Contractor's notice to Thornton shall be issued by telephone or in person and followed within twenty-four (24) hours by a written notice providing a brief description and supporting documentation of why Contractor considers the condition encountered to be a

Differing Site Condition. Upon receipt of Contractor's notice, Thornton will investigate the Differing Site Conditions. No increase in the Contract Price or Contract Time shall be allowed for any alleged Differing Site Condition unless Contractor has given notice as required herein.

- D. Thornton's Review. After receipt of Contractor's written notice as required by Article XI, Section C, if Thornton investigates and concludes that:
1. Such condition(s) differ materially and cause an increase or decrease in the Contractor's cost of, or time required for, performance of any part of the Work, Thornton will equitably adjust the Contract Price or Contract Time or both.
  2. The condition(s) at the site are not materially different from those indicated in the Contract Documents, and that no change in the terms of Contract is justified, Thornton will provide written notice to Contractor stating the reasons denying Contractor's request for an adjustment of the Contract Price or Contract Time or both.
  3. Contractor may request an appropriate Change Order if the actual condition encountered directly and materially impacts Contractor's cost or time of performance of the Work.

## **XII. PARTIAL USE; RIGHT OF OCCUPANCY.**

- A. If Thornton finds it necessary to occupy or use a portion or portions of the Work prior to Substantial Completion, Thornton shall have the right to use or occupy any completed or partially completed portions of the Work. Thornton shall notify Contractor in writing of its intent to occupy any completed or partially completed portion of the Work. Thornton's partial use or occupancy is not an acceptance of any Work until Contractor has completed all Work in accordance with the Contract Documents nor does it release Contractor of its obligation with respect to any expressed or implied warranties or constitute acceptance of Work that is defective, partially completed or not in compliance with the Contract Documents.
- B. If Thornton's partial use or occupancy increases Contractor's cost, or delays completion of the Work, Contractor may request in writing to Thornton, to adjust the Contract Price, Contract Time, or both.
- C. If Thornton does occupy or use a portion or portions of the Work prior to substantial completion, the insurers providing the property insurance shall consent by endorsement to the policy or policies, and shall not cancel or let the property insurance lapse on account of any such partial use or occupancy.
- D. If Thornton determines designated elements of the Project are required for partial use or occupancy before Substantial Completion, the parties agree that as the Work is completed, prepared and made ready for use, the provisions of the Contract related to inspections, occupancy, and acceptance will apply independently to each part of the Work

Thornton intends to use. For all other purposes, unless otherwise agreed by the parties in writing, Substantial Completion of the Work as a whole is the date on which all the completed Work receives a Substantial Completion certificate.

- E. Such occupancy or use of portions of the Work may commence whether or not portion is substantially complete. Issuance of Substantial Completion for a portion of the Work will be solely for Thornton's convenience. Thornton shall not be obligated to issue Substantial Completion on any portion of the Project until the entirety of the Work is substantially complete.

### **XIII. SUBSTANTIAL COMPLETION**

- A. When Contractor considers the Work to be substantially complete, Contractor shall make a written request to Thornton for the issuance of a letter of Substantial Completion. Thornton, upon receipt of the request, shall make an inspection of the Work and either issue a written letter of Substantial Completion together with a Punch List, or deny Contractor's request in writing and provide the reasons for such denial. Issuance of a letter of Substantial Completion will stop the accrual of Liquidated Damages.
- B. In the case where Contractor has requested that Thornton issue a letter of Substantial Completion but Thornton has denied such request, Contractor shall complete the Work so as to address Thornton's concerns and comply with the Contract Documents and shall again request in writing that Thornton issue a letter of Substantial Completion. Thornton will administer any subsequent requests as outlined in this Section.
- C. If Thornton issues a letter of Substantial Completion, the letter shall list any items remaining to be completed as Punch List Work and shall set a date certain by which Contractor shall complete or correct the Punch List Work.
- D. If Contractor does not provide in writing within four (4) Days of the issuance of the letter of Substantial Completion, good and sufficient reasons why the Punch List Work cannot be completed by the date established in the letter of Substantial Completion, the date shall be as established in the letter, and the Punch List Work shall be completed by that date.
- E. If Contractor does not complete the items on the Punch List by the date established in the letter of Substantial Completion, Thornton, upon seven (7) Days' notice to Contractor, shall have the option to withhold from Final Payment up to two (2) times the estimated value of the uncompleted Punch List Work, to complete any uncompleted Work or repair deficient Work with its own forces, to deduct the actual cost from any amounts due or that become due to Contractor, and/or to seek compensation from the Surety.
- F. Upon completion of the Punch List Work where the project was not federally aided, Contractor shall make written application to Thornton for an inspection of the Work, and Thornton will make such inspection. When Thornton finds the Work acceptable and complete under the Contract Documents, Thornton will advertise at least twice ten (10) Days before the Project's published Final Settlement date, to allow any person, as defined in § 2-4-401(8), C.R.S., that has furnished labor, materials, or other supplies used or

consumed by Contractor or Contractor's subcontractors to file a verified statement of any amount due and unpaid. Thereafter, Thornton will withhold the amounts of the disputed claims for up to ninety (90) Days from the published Final Settlement date. At the expiration of the ninety (90) Day period, Thornton will pay the withheld funds to Contractor and will grant Initial Acceptance unless an action is commenced or pending to enforce the unpaid claims, and a valid notice of *lis pendens* has been filed with Thornton. Initial Acceptance shall not release Contractor from any warranty obligations. The Warranty Correction Period shall begin on the Date of Initial Acceptance. Thornton shall issue Initial Acceptance in writing, which shall set out the beginning and end dates for the Warranty Correction Period.

- G. Upon completion of the Punch List Work where a project was federally aided, Contractor shall make written application for an inspection of the work and Thornton will make such inspection. When Thornton finds the Work acceptable and complete under the Contract Documents, Thornton will advertise at least twice ten (10) Days before the Project's published Final Settlement date, to allow any person, as defined in § 2-4-401(8), C.R.S., that has furnished labor, materials, or other supplies used or consumed by Contractor or Contractor's subcontractors to file a verified statement of any amount due and unpaid. Thereafter, Thornton will withhold the amounts of the disputed claims for up to ninety (90) Days from the published Final Settlement date. At the expiration of the Ninety (90)-Day period, Thornton will pay the withheld funds to Contractor and will grant Final Acceptance unless an action is commenced or pending to enforce the unpaid claims, and a valid notice of *lis pendens* has been filed with Thornton.

#### **XIV. PAYMENTS**

- A. No progress or Final Payment, nor any partial or entire use or occupancy of the Work, nor acceptance thereof, by Thornton shall be construed to be acceptance of Defective Work or improper Materials or Equipment, either wholly or in part. The Contractor's obligation to perform and complete the Work in strict accordance with the Contract Documents shall be absolute.
- B. Upon request by Thornton, and if required, the Pay Item Price for mobilization shall include any "startup" or incidental costs necessary to begin the Work, including any necessary Construction Equipment, offices, buildings, Materials or Equipment, personnel that are to be located at the Project Site in preparation for the Work, bonds, insurance, permits, and any other incidental expenses that cannot otherwise be attributed directly to the other Schedule of Values items.

- C. Mobilization. Payments for mobilization shall be made monthly in accordance with the following table.

Percentage of Contract Amount Complete	Percentage of Mobilization Paid
5%	25%
10%	50%
25%	60%
50%	100%

The overall Pay Item price for mobilization shall not exceed ten percent (10%) of the original Contract Price. If Contractor submits a bid where the mobilization exceeds ten percent (10%) of the original Contract Price, Thornton may declare the bid unbalanced and front loaded and reject the bid.

- D. Applications for Payment.

1. Applications for Payment shall be on forms provided by Thornton or on Contractor’s standard forms with prior written approval of Thornton. Applications for Payment shall be supported by complete detailed back-up documentation and such data as Thornton may require which shall be used as a basis for reviewing Contractor’s Applications for Payment and substantiating Contractor’s right to payment.
2. With each Application for Payment, Contractor shall submit an updated CPM Schedule.
3. By submitting an Application for Payment, Contractor certifies that to the best of Contractor’s knowledge, information, and belief, the Work covered by the Application for Payment has been completed in accordance with the Contract Documents, and that all amounts have been paid by Contractor to its Subcontractors, Material Suppliers and Equipment Suppliers for Work for which previous Applications for Payment were certified and issued, and for which payments were received from Thornton, and that the current amount shown in the Application for Payment is properly due.

- E. Progress Payments.

1. No later than the twenty-fifth (25<sup>th</sup>) Day of each month after the Work has commenced or on the date otherwise established by Thornton, Contractor shall submit to Thornton an itemized Application for Payment for Work completed to date for this pay period.

2. Payments are due and payable within thirty (30) Days after the date of acceptance and approval by Thornton of an Application for Payment. On or before the thirtieth (30<sup>th</sup>) Day following acceptance and approval, Thornton shall pay Contractor the undisputed amount for which Application for Payment is made, less amounts previously paid by Thornton, and less retainage withheld pursuant to requirements herein, and less other amounts allowable to be withheld as by Thornton as set forth in the Contract.
3. Contract with Unit Price: Contractor may reasonably expect variations in the estimated quantity for any given Pay Item such that the actual quantity installed may range from seventy-five percent (75%) to one hundred twenty-five percent (125%) of the estimated quantity unless a larger variation is stipulated on the Bid Proposal form. Contractor shall not be allowed to make a claim for:
  - a. Anticipated profits, lost profits or any damages because of a difference between the estimated quantity for a Pay Item and the actual quantity for the Pay Item; or
  - b. The elimination and/or reduction of a Pay Item quantity required to bring the cost of the Work within the limits of available funds or to reduce the Scope of Work for any reason.

F. Adjustment, Rejection of Payment.

1. Thornton may adjust or reject an Application for Payment or nullify a previously approved Application for Payment, in whole or in part, as may be necessary to protect Thornton from loss or damage based upon the following:
  - a. Contractor's failure to perform the Work as required by the Contract Documents;
  - b. Loss or damage arising out of or relating to the Work or this Agreement and caused by Contractor or others for whom Contractor is responsible, except as accepted by the insurer providing Builders Risk, Installation Floater or other property insurance covering the Project;
  - c. Contractor's failure to properly pay Subcontractors, or Material or Equipment Suppliers for labor, Materials, Equipment or supplies furnished in connection with the Work, provided that Thornton is making payments to Contractor in accordance with the terms of this Agreement;
  - d. Defective Work not corrected in a timely fashion;
  - e. Reasonable evidence of delay in performance of the Work such that the Work will not be completed within the Contract Time or that the unpaid balance of the Contract Price is not sufficient to offset any damages that may be sustained by Thornton as a result of delays caused by Contractor;

- f. Reasonable evidence demonstrating that the unpaid balance of the Contract Price is insufficient to complete the Work; or
  - g. Uninsured third-party claims involving Contractor or reasonable evidence demonstrating that third-party claims are likely to be filed, unless and until Contractor furnishes Thornton with adequate security in the form of a surety bond, letter of credit or other collateral or commitment sufficient to discharge such claims.
2. If an Application for Payment is rejected, in whole or in part, Thornton shall submit to Contractor in writing the reasons for its rejection. Contractor shall revise the Application for Payment and resubmit to Thornton. If Contractor does not agree with Thornton's reasons for rejection, Contractor shall inform Thornton in writing within five (5) Days after receipt of the reasons for rejection from Thornton. If Thornton and Contractor cannot agree on a revised Application for Payment within fifteen (15) Days after Thornton's initial rejection of an Application for Payment, Thornton shall pay Contractor the amount payable for those items not rejected by Thornton, less amounts previously paid by Thornton, and less applicable retainage. Those items rejected by Thornton shall be due and payable when the reasons for the rejection have been cured.

G. Contractor Warranties.

1. Title of Materials and Equipment. Contractor warrants that title to all Materials and Equipment covered by an Application for Payment, whether incorporated into the Work or not, shall pass to Thornton upon receipt of payment, in whole or in part, by Contractor.
2. The passing of title to Thornton shall not be construed as relieving Contractor of its sole responsibility for:
  - a. The care and protection of the Work, Materials and Equipment for which payment has been made;
  - b. The restoration of any damaged or destroyed Work, Materials or Equipment; and
  - c. Such responsibility shall continue until all Work under this Agreement has been completed and accepted by Thornton.
3. Free and Clear of Encumbrances. Contractor further warrants that upon submittal of an Application for Payment for Work for which payment has been received from Thornton, to the best of Contractor's knowledge, information, and belief, such Work shall be free and clear of all liens, claims, security interests, or encumbrances in favor of Contractor, Subcontractors, Sub-subcontractors, Material or Equipment Suppliers, or other persons or entities making a claim by reason of having provided labor, Materials or Equipment relating to the Project; and that such Materials or Equipment furnished or installed comply with the requirements of the Contract Documents.

H. Retainage\Withholding of Funds.

1. Prior to substantial completion, progress payments will be made in an amount equal to the percentage indicated below, less the aggregate of payments previously made, and less other amounts as Thornton is entitled to withhold for the reasons set forth below:
  - a. Performance. Ninety-five percent (95%) of the Work completed (with the balance being retainage). Thornton may determine that as long as the character and progress of the Work remain satisfactory then no additional retainage will be withheld;
  - b. Materials and Equipment. Ninety-five percent (95%) (with the balance being retainage) of Materials and Equipment not incorporated in the Work, but delivered, suitably stored and accompanied by documentation satisfactory to Thornton;
  - c. Defective Work not remedied. Thornton may withhold up to two (2) times the estimated value of any Defective Work;
  - d. Non-Compliance. Failure or refusal of Contractor to comply with an instruction of Thornton within a reasonable time;
  - e. Liquidated Damages. Liquidated Damages, if any, assessed against Contractor;
  - f. Arrearages. Being in arrears for any amounts owed to Thornton;
  - g. Failure to supply or update the CPM Schedules; and
  - h. Verified Statement of Claims. Upon receipt of Verified Claim Thornton will hold funds in the amount sufficient to cover the claim(s).

I. Thornton's progress payment, occupancy or use of the Project, whether in whole or in part, shall not be deemed acceptance of any Work not conforming to the requirements of the Contract Documents and shall not constitute a waiver of Thornton's right to require Contractor to fulfill all of the requirements of the Contract Documents.

J. Thornton shall make the final determination of the amount, quality, acceptability, and fitness of the Work, including Materials and Equipment, which are to be paid for under this Agreement and shall decide all questions which may arise in relation to such Work.

K. Payment for Stored Materials.

1. If payments are to be authorized on account of Materials and Equipment not incorporated in the Work, but delivered and suitably stored at the Project Site or at an off-site storage warehouse, which are necessary for the orderly performance of the Work, and adequately protected from vandalism or theft, Contractor shall

furnish with its Application for Payment bills of sale, bills of lading, certified invoices, paid receipts or such other evidence as may be appropriate and satisfactory to Thornton that establish:

- a. Actual cost, including transportation to the Project Site, of such Materials and Equipment has been paid in full;
- b. Titles in Thornton's name upon payment;
- c. Appropriate insurance coverage to protect Thornton's interest upon payment; and
- d. Receipt showing materials have been paid in full.

L. Final Payment

1. Contractor shall submit a final Application for Payment upon completion of the Punch List Work. Prior to receiving Final Payment, Contractor, if requested by Thornton, shall file with Thornton:
  - a. Receipts showing payment in full;
  - b. A waiver or release of claims and/or liens from each Subcontractor and supplier for all labor, Materials and Equipment used or furnished by each on the Work;
  - c. A complete release of all claims from the Contractor which may have arisen under the Agreement;
2. In lieu of the above, Thornton may request, and Contractor shall file statements showing the balance due on all accounts. The manner in which settlement is made by Thornton with Contractor shall not release Contractor or its Surety from their payment obligations.
3. Final Payment shall not be due until Initial Acceptance is granted by Thornton and Final Payment shall not be made until the Date of Final Settlement.
  - a. At the time Thornton makes Final Payment to Contractor, Contractor understands that completion of the following outstanding Claims and work may still be required:
    - i. Outstanding Verified Claims;
    - ii. Work not in conformance with the Contract Documents;
    - iii. Terms of any special warranties required by the Contract Documents;

- iv. Outstanding claims by Thornton or third parties for damages attributed to Contractor or any person or entity for which it is responsible; and
- v. Work to be completed under the Warranty Period.

M. Contractor's Release of Claims upon Final Payment

1. The acceptance of Final Payment by Contractor shall operate as a release of all claims by Contractor for all things done or furnished in connection with this Agreement and for every act or omission or neglect of Thornton or others relating to, or arising out of this Agreement, except for claims previously made in writing by Contractor and rejected or remains unsettled by Thornton at the time of Final Payment.
2. No payment, final or otherwise, shall operate to release Contractor, its Surety or its insurers from any obligations under this Agreement or under the Performance Bond or Labor and Material Payment Bond including, but not necessarily limited to, any one or more of the following:
  - a. Obligations arising from or relating to latent defects;
  - b. Faulty Work or Material or Equipment appearing after any payment;
  - c. Failure of the Work to perform in accordance with the requirements of the Contract Documents;
  - d. Unsettled claims of Thornton;
  - e. Claims for non-payment of laborers, mechanics, materialmen or suppliers, or for Equipment used or rented; or
  - f. Claims under any maintenance requirements of the Contract Documents or any special guarantees or warranties provided for under the Contract Documents.
3. When the above grounds are removed, payment shall be made for amounts withheld. The right to withhold payment, however, shall not preclude Thornton from its right to declare this Agreement in default for any of the reasons specified in the Contract Documents.
4. The final amount due to Contractor, as may be adjusted for any outstanding claims or Verified Claims properly filed with Thornton, upon Contractor achieving Initial Acceptance of the Work is payable the following day of Final Settlement. All claims shall be settled before issuance of Final Payment or Thornton may withhold from Final Payment monies sufficient to cover those claims.

## **XV. INITIAL ACCEPTANCE**

- A. When the Contractor believes all Punch List items have been completed to Thornton's satisfaction, the Contractor shall submit a written request for Initial Acceptance. Thornton may then issue Initial Acceptance if it concurs.
- B. Upon issuance of Initial Acceptance, Thornton shall, as required by C.R.S. § 38-26-107, as may be amended, establish the Date of Final Settlement and post or advertise the Notice of Final Settlement. The Work shall be deemed completed satisfactorily and finally accepted by Thornton as those terms are used in C.R.S. § 24-91-103(1)(a) upon Initial Acceptance by Thornton.
- C. Contractor shall be responsible for the maintenance, security, heat, utilities, and damages to the Work and insurance until the date of Initial Acceptance. In addition, Contractor shall be responsible for the provision of maintenance, security, heat, utilities, damages to the Work and insurance for the affected portion of the Work during any period in which Contractor is called upon to perform corrective Work during the Warranty Correction Period.
- D. The Warranty Correction Period shall begin on the Date of Initial Acceptance. Thornton shall issue Initial Acceptance in writing, which shall set out the beginning and end dates for the Warranty Correction Period.

## **XVI. UTILITY LOCATIONS, RIGHT OF WAYS (ROW) & SERVICE**

- A. Access to and Use of Right of Ways. Unless the Contract Documents provide that Contractor is to acquire property on behalf of Thornton, Thornton will furnish land and ROW as shown in the Contract Documents for the performance of the Work. Contractor shall confine its operations to the land and ROW furnished unless arrangements have been made in writing with other property owners and Thornton for access through or use of their property.
- B. Notice, Request for Utility Location, Easements. Contractor shall comply with C.R.S. §§ 9-1.5-101, et. seq., now and as amended hereafter, regarding location of utilities and utility easements. Contractor shall request a written record of any information from all owners or operators other than Thornton, of Underground Facilities as the statute requires regarding the location of the specific Underground Facilities. Contractor and all persons acting on behalf of Contractor shall comply with all the provisions of C.R.S. §§ 9-1.5-101, et. seq., applicable to notice, excavation and location of underground facilities.
- C. Utilities Information Provided "As Is." Thornton shall not be responsible for the accuracy or completeness of any information provided by third-party owners or operators of underground utilities and facilities, whether shown on the Contract Documents or located in the field. Contractor shall be responsible to determine the location of all underground utilities and facilities. Contractor shall include in the Contract Price the cost of such underground investigations, such as potholing.

D. Identification of Utility(ies) Locations.

1. The center-line locations of underground utilities and facilities which are owned or operated by Thornton shall be located and shown on the Drawings. As part of the Work, Contractor shall, on behalf of Thornton, mark the actual location of such utilities and facilities on the Record Drawings to the extent the actual location varies from the location shown in the Drawings.
2. In the event that the Drawings indicate that the location of any underground utilities or facilities are "unknown," "uncertain," or within a "range," Contractor shall have full responsibility for determining the exact location of such underground utilities and facilities by "potholing," "handwork," or such other means as may be necessary to determine the precise location without damaging them. The precise location of such underground utilities and facilities shall be incorporated into the Record Drawings.
3. Contractor shall notify Thornton immediately and request further direction if a utility or facility shown in the Drawings or marked in the field cannot be found by potholing or handwork within 18" horizontally from the exterior edges of the expected utility location.
4. If an underground utility or facility is uncovered or revealed, whether by field locates or by construction activities at or contiguous to the Project Site, which was not shown or indicated in the Drawings, Contractor shall promptly after becoming aware thereof and before further disturbing conditions affected thereby or performing any Work in conjunction therewith (except in emergency situations), notify Thornton of such underground utility or facility and give written notice of the discovery to the "Notification Association," and Thornton. The location of all such underground utilities and facilities shall be incorporated into the Record Drawings. Thornton will promptly review the underground utility or facility and determine the extent, if any, to which a change is required to reflect and document the consequences of its existence. During such time, Contractor shall be solely responsible for the safety and protection of such underground utility or facility.
5. Contractor shall ascertain and note on the Record Drawings all utilities, facilities, and utility easements' locations, whether initially shown correctly or incorrectly on the Contract Documents, and whether initially marked correctly, incorrectly or not at all in the field.

E. Utilities Location Associated Costs. The cost of all of the following shall be included in the Contract Price, and Contractor shall have sole responsibility for:

1. Reviewing and checking all information and data provided by all owners or operators of underground utilities and facilities;
2. Locating all underground utilities and facilities within 18" horizontally from the exterior edges of the expected utility location shown or indicated in the Drawings or marked in the field;

3. Coordination of the Work with the owners and operators of all underground utilities and facilities during construction; and
  4. The safety and protection of all such underground utilities and facilities and repairing any damage thereto resulting from the Work.
- F. Utility Service. Utility service shall be maintained to all areas at all times during construction of the Work, except when it is necessary to shut down a line to make a connection with a new line. All planned utility service interruptions shall be approved in advance in writing by Thornton. Affected tenants and property owners shall be given a minimum of twenty-four (24) hours written and verbal notice by Contractor when it is known that their service will be interrupted.
- G. Fire Hydrants. Contractor shall keep a sufficient clear area around all fire hydrants to permit their full and effective use in case of fire. The appropriate Fire Rescue Authority shall be kept advised of the status of all fire hydrants affected by any Work.

## **XVII. ROADS, TRAFFIC, TRAVEL CONTROL & MAINTENANCE**

- A. Unless a road closure and detour are approved in advance by Thornton, adequate traffic flow shall be maintained at all times. All barricading and temporary signage for detours and traffic control must meet the standards as set forth in the most current edition of the Manual on Uniform Traffic Control Devices (“MUTCD”) as adopted by the Colorado Department of Transportation and as adopted by the United States Department of Transportation Federal Highway Administration and requirements of Thornton's designated traffic engineer. The cost of traffic control shall be included in the Contract Price. Public safety is Contractor's sole responsibility.
- B. Contractor is responsible for obtaining all necessary traffic control permits to conduct the Work.

## **XVIII. EMERGENCY PROTECTION**

- A. After the Notice to Proceed has been issued and continuing through Initial Acceptance, the Contractor shall be solely responsible for protection and safety of the public and the Contractor's/Subcontractor's workers, twenty-four (24) hours a day, seven (7) days a week. Contractor shall also be solely responsible after Initial Acceptance when the Contractor is on the Project site performing any Warranty Work.
- B. Whenever, in the opinion of Thornton, the Contractor has not taken sufficient precaution for the safety of the public or the protection of the Work, or of adjacent structures or property, and whenever, in the opinion of Thornton, an emergency has arisen and immediate action is considered necessary, then Thornton, with or without notice to the Contractor, may provide suitable protection by causing Work to be done and Materials and Equipment to be furnished and placed. The cost of such Work and Materials and Equipment shall be borne by the Contractor, and if not paid on presentation of the bills, such costs will be deducted from any amounts due or that become due the Contractor. The performance of such emergency Work shall not relieve the Contractor of responsibility for any damage which may occur.

## **XIX. PROTECTION OF PUBLIC AND PRIVATE PROPERTY**

- A. The Contractor shall make every effort to avoid damage to all public and private property, including, but not limited to, dikes, ditches, roadways, sidewalks, fences, trees, landscaping, structures, and utilities. Thornton assumes no responsibility whatsoever for any damage resulting from the Contractor's operations, whether such damage occurs on public or private property. The Contractor shall defend, indemnify, and hold harmless Thornton from all claims for damage resulting from its operations. Any and all damages due to a disruption of utility service attributed to the Contractor's operations shall be the sole responsibility of the Contractor.
  
- B. The Contractor shall contact any property owners verbally and in writing where additional access is needed to the Project site. Any damage to such property by the Contractor will be the responsibility of the Contractor. All claims must be settled before issuance of Final Payment.
  
- C. Permits, Traffic Control Plan.
  - 1. For all ROW requiring closure, Contractor shall obtain the appropriate permits for any Work therein from all applicable permitting authorities. Prior to the start of construction, Contractor shall submit to Thornton's Traffic Engineering Division the planned traffic control methods and procedures for the Work. The traffic control plan shall be approved by Thornton prior to implementation by Contractor. In general, except for alleyways, one (1) lane of the roadway for each direction must be kept open at all times or, if this cannot be achieved, sufficient flag persons must be provided to properly channel traffic at all times when there is only one (1) lane open.
  
  - 2. In the event Work has commenced in a roadway or other area subject to vehicular traffic, including bicycles, Contractor shall provide and maintain access and safe travel conditions by ramping or surfacing with suitable materials to insure safe travel at all times.
  
- D. Road Maintenance.
  - 1. If, in the opinion of Thornton, the products used and/or the maintenance methods provided are not capable of safely supporting the anticipated vehicular traffic, Contractor shall either immediately remove the existing products and provide higher quality products, up to and including placement of temporary hot mix asphalt, increase the frequency of maintenance, or both, as may be directed by Thornton.
  
  - 2. Failure to comply with this requirement may, at Thornton's sole discretion, result in the Work or a portion of the Work being suspended until the situation is corrected. Contractor shall not be entitled to additional compensation or an extension of the Contract Time because of its failure to provide proper road maintenance products. Should Contractor not perform any necessary patching and maintenance in a timely manner, Thornton may, at its option, have the Work performed by others and may deduct the cost from amounts due or that become due to Contractor.

3. The provision of products and their maintenance to assure access and safe travel shall be included in the Contract Price.

## **XX. COMPLIANCE WITH CURRENT LAWS**

- A. All Work shall comply with all applicable Laws, rules, regulations, and codes of the United States and the State of Colorado and with the charter, ordinances, and rules and regulations of Thornton.
- B. If all or part of the funds appropriated for the Project come from federal or state sources, Thornton may waive, suspend or modify any term or condition which conflicts with any federal or state statute, rule, regulation or procedure, where such waiver, suspension or modification is essential to receipt by Thornton of such funds for the Project. In the case that any Project is wholly partially financed by federal or state funds, any standards required by the enabling statute, or any rules, regulations or procedures adopted pursuant thereto, shall be controlling.

## **XXI. STOP WORK, SUSPENSION AND TERMINATION**

### A. Stop Work Order by Thornton

1. If in Thornton's opinion Contractor is persistently failing to carry out Work in accordance with the Contract Documents, Thornton may issue a written order to Contractor to stop the Work, or any portion of the Work, until the cause for such order has been eliminated; however, the right of Thornton to stop the Work shall not give rise to a duty on the part of Thornton to exercise this right for the benefit of Contractor or any other person or entity.
2. If Contractor defaults or neglects to carry out the Work in accordance with the Contract Documents, and fails within seven (7) Days after receipt of written notice from Thornton to commence and continue correction of such default or neglect with diligence and promptness, Thornton may, without prejudice to any other remedy Thornton may have, make good such deficiencies. In such case a Change Order shall be issued deducting from amounts then or thereafter due Contractor the cost to correct such deficiencies. If amounts then or thereafter due Contractor are not sufficient to cover such amount, Contractor shall pay the difference to Thornton upon demand.

### B. Suspension by Thornton for Convenience

1. Thornton may order Contractor in writing to suspend, delay or interrupt all or any part of the Work without cause for its sole convenience.
2. With Thornton's reasonable discretion, adjustments caused by suspension, delay or interruption may be made as appropriate for increases in the Contract Price and/or the Contract Time. No adjustment shall be made if Contractor is or otherwise would have been responsible for the suspension, delay or interruption of the Work.

C. Termination by Thornton for Cause

1. If Contractor fails to supply enough qualified workers, proper Materials and/or Equipment, maintain the CPM Schedule, or fails to make prompt payment to its employees, Subcontractors or Material or Equipment Suppliers, disregards Laws or orders of any public authority having jurisdiction, or otherwise is in material breach of a provision of this Agreement, Contractor may be deemed in default. If Contractor fails within seven (7) Days after receipt of written notice from Thornton to commence and continue correction of such default, Thornton shall give Contractor and the Surety a second written notice to correct the default within a three (3) Day period.
2. If Contractor fails to promptly commence and continue satisfactory correction of the default following receipt of such second notice, Thornton without prejudice to any other rights or remedies may: (a) take possession of the Project Site; (b) complete the Work utilizing any reasonable means; (c) withhold payment due to Contractor; and (d) as Thornton deems necessary, supply workers and Materials, Equipment and other facilities for the satisfactory correction of the default, and charge Contractor the costs and expenses, including reasonable overhead, profit and attorneys' fees.
3. In the event of an emergency affecting the safety of persons or property, Thornton may immediately commence and continue correction of a default without first giving written notice to Contractor but shall give prompt written notice to Contractor following commencement of the corrective action.
4. If Contractor files a petition under the bankruptcy code, this Agreement shall terminate if Contractor or Contractor's trustee rejects this Agreement, or if there has been a default and Contractor is unable to give adequate assurance that Contractor will perform as required by this Agreement or otherwise is unable to comply with the requirements for assuming this Agreement under the applicable provisions of the bankruptcy code.
5. If Thornton exercises its rights under this Agreement to terminate this Agreement, Thornton shall provide an accounting of the costs incurred by Thornton to Contractor and the Surety.
6. If Thornton terminates this Agreement for default, and it is later determined that Contractor was not in default or that the default was excusable under the terms of the Agreement, the termination shall be deemed a termination for convenience, and the rights of the Parties shall be as set forth herein.

D. Termination by Thornton for Convenience, Notice and Contractor's Claim

1. Thornton may terminate this Agreement for its own convenience. In such event, termination by Thornton for convenience shall be initiated by written notice to Contractor which will specify the effective date of the termination.

2. Contractor, after receiving the notice, shall cancel any outstanding commitments for procurement of Materials and Equipment. In addition, Contractor shall use reasonable efforts to cancel or divert any outstanding subcontracted commitments, unless otherwise requested by Thornton. With respect to such canceled commitments Contractor shall:
  - a. Settle all outstanding liabilities and all claims arising out of the canceled commitments. Such settlements shall be approved by Thornton and shall be final; and
  - b. If requested by Thornton, assign Thornton all of the rights, title, and interest of Contractor under the terminated orders and Subcontracts, as directed by Thornton. Thornton will then have the right but not the obligation to settle or pay any or all claims arising out of the termination of these commitments.
3. Contractor shall submit its termination claim to Thornton within ninety (90) Days after the effective date of the notice. During the ninety (90)-Day period, Contractor may make a written request to Thornton for a time extension in preparing the claim. Any time extension must be approved by Thornton. If Contractor fails to submit its termination claim within the time allowed, Thornton may determine the amount due Contractor, if any, by reason of the termination, which determination shall be final. Failure of Contractor to submit a termination claim within the time allowed shall constitute a waiver of the claim.
4. Contractor and Thornton may agree upon the whole or any part of the amount to be paid Contractor as a result of the termination. The amount may include actual charges incurred by Contractor. The amount may also include any actual loss upon outstanding commitments for subcontracts which Contractor is unable to cancel, provided Contractor has proven reasonable effort to divert the commitments to other activities. The amount agreed upon shall be set forth in a Change Order, and Contractor shall be paid that amount. Contractor shall not be entitled to compensation for uncompleted Work or anticipated overhead and profit from the uncompleted portion of the Work.
5. Contractor shall transfer title and deliver to Thornton such items which, if the Agreement had been completed, would have been furnished to Thornton including:
  - a. Completed and partially completed Work; and
  - b. Materials or Equipment produced, acquired, or in the process of being produced that is terminated by the notice.
6. Other than the above, any termination inventory resulting from the termination may, with written approval of Thornton, be sold or acquired by Contractor under the conditions prescribed by and at prices approved by Thornton. The proceeds of any such disposition shall be applied to reduce any payments owed to Contractor, or shall be credited to the Cost of Work, or paid in a manner as directed

by Thornton. Until final disposition, Contractor shall protect and preserve all Materials and Equipment which is in its possession and in which Thornton has or may have an interest.

7. Contractor agrees to make its cost records available to Thornton to the extent they are necessary to determine the validity and amount of each item in the termination claim.
8. Termination of this Agreement, or a portion thereof for any reason, shall not relieve Contractor of contractual responsibilities for the Work completed, nor shall it relieve the Surety of its obligation for and concerning any claim arising out of Work performed.

E. Termination by Contractor

1. If the Work has been stopped for a consecutive thirty (30)-Day period, upon seven (7) Days written notice to Thornton, Contractor may terminate this Agreement for the following reasons:
  - a. Under court order or order of other governmental authorities having jurisdiction; or
  - b. As a result of the declaration of a national emergency or other governmental act during which, through no act or fault of Contractor, Materials or Equipment are not available.

F. Effect of Termination. Upon termination by Contractor in accordance with this section, Contractor shall be entitled to recover from Thornton payment for all Work executed and for all proven cost or expense incurred in connection with the Work, plus actual demobilization costs. Contractor shall not be entitled to compensation on Work not performed.

G. Contingent Assignment of Subcontract. If the Agreement is terminated for cause or convenience, Contractor shall terminate all contracts with its Subcontractors, subject to the prior rights of the Surety, provided that:

1. The Agreement is terminated by Thornton pursuant to the requirements herein; and
2. Contractor shall cause all appropriate Subcontractor information, documentation and subcontracts to be provided to Thornton in the event of termination.

**XXII. TAXES**

A. Contractor is obligated to pay federal and state income tax on any monies earned pursuant to this Agreement.

- B. Contractor shall determine which jurisdiction taxes are waived for governmental projects. Contractor shall be liable for exempt taxes paid due to the failure to apply for exemption certificates or failure to use them.
- C. Contractor shall furnish Thornton, upon request, duplicate receipts or other satisfactory evidence showing or certifying the proper payment of all required licenses and taxes. Contractor shall promptly pay, when due, all bills, debts, and obligations they incur in the Contract funds, or performing the Work and shall allow no lien, mortgage, judgment or execution to be filed against land, facilities or improvements owned by Thornton.
- D. Tax Exempt Status.
  - 1. Thornton represents that it is exempt from Colorado State and Thornton sales and use taxes on Materials and Equipment to be permanently incorporated into the Work. No taxes of any kind shall be charged to Thornton. Said taxes shall not be included in the Contractor's Bid Proposal or the Contract Price. Contractor shall pay all sales, consumer, use, or other similar taxes required to be paid in accordance with the laws and regulations applicable to the Work.
  - 2. Contractor shall apply to the Colorado Department of Revenue for an exemption certificate and purchase the Materials and Equipment tax free (Section 39-26-104, C.R.S. as amended). Contractor shall be liable for exempt taxes paid due to the failure to apply for exemption certificates or failure to use them.

### **XXIII. CONFIDENTIALITY**

- A. Unless compelled by law, a governmental agency or authority, an order of a court of competent jurisdiction or a validly issued subpoena, Contractor shall treat as confidential and not disclose to third-persons, except Subcontractors, Material Suppliers and Equipment Suppliers as is necessary for the performance of the Work, any reports or other data provided by Thornton or which Contractor may acquire in connection with the Project. All confidential information shall be returned to Thornton at the end of the Project.
- B. Thornton and Contractor shall specify those items to be treated as confidential by the other Party and shall mark them "Confidential." Contractor shall hold Thornton harmless from any claims arising from the release of confidential and proprietary information not clearly designated as such by Contractor or that is compelled to be released by Laws, a governmental agency or authority, an order of a court of competent jurisdiction or a validly issued subpoena.
- C. In the event a third party seeks disclosure of any Confidential Information from Contractor or Thornton, that Party shall promptly notify the other Party to permit that Party's objection.

**XXIV. WATER**

- A. Use. All water used by Contractor for testing, compaction, dust control or other uses related to construction of the Work shall be recorded by a meter furnished by Thornton, and the water shall be obtained from a location specified by Thornton. A refundable deposit for meter use shall be paid to Thornton’s water utility by Contractor. Water use shall be metered and will be furnished by Thornton at no charge to Contractor. Contractor shall be responsible for paying a monthly water meter rental charge. The rental charge will be included in the Contract Price. The meter is required to be returned to Thornton every six (6) months for maintenance and calibration. If there are any damages to the meter and/or any missing parts, the cost of repair and/or replacement of parts will be deducted from Contractor’s deposit.
- B. Contractor shall keep natural drainage and water courses unobstructed or provide other equal courses effectively placed.

**XXV. ETHICS, NO FINANCIAL INTEREST, CONFLICTS AND RELATIONSHIPS**

- A. Contractor agrees that it and its subsidiaries, affiliates, principals, employees and Subcontractors shall not engage in any transaction, activity, or conduct which would result in a conflict of interest. Contractor represents it has disclosed any and all current or potential conflicts of interest to Thornton. A conflict of interest shall include transactions, activities or conduct that would affect the judgment, actions or Work of Contractor by placing Contractor’s own interests, or the interest of any party with whom Contractor has a contractual arrangement, in conflict with those of Thornton. Thornton, in its sole discretion, shall determine the existence of a conflict of interest and may terminate this Agreement in the event such a conflict exists after it has given Contractor written notice which describes the conflict. Contractor shall have twenty (20) Days after the notice is received to eliminate or cure the conflict of interest in a manner acceptable to Thornton.
- B. Disclosure of Pecuniary Relationships Required.
  - 1. If any of the design or construction costs to be reimbursed arise from a transaction between Contractor and a Related Party, Contractor shall notify Thornton of the specific nature of the contemplated transaction, including the identity of the Related Party and the anticipated cost to be incurred, before any such transaction is consummated or cost incurred.
  - 2. If Thornton, after such notification, authorizes the proposed transaction, then the cost incurred shall be included the Contract Price, and Contractor may procure the Work, Materials, Equipment, goods or service from the Related Party. If Thornton fails to authorize the transaction, Contractor shall procure the Work, Materials, Equipment, goods or service from some person or entity other than a Related Party.
- C. Contractor warrants that it has not and shall not pay or receive any contingent fees or gratuities to or from any Party, including its agents, officers, employees, Subcontractors, Suppliers, and others for whom they may be liable, in order to obtain preferential treatment.

- D. No Conflict of Interests. Contractor shall not retain any Subcontractor to perform Work under this Agreement if Contractor is aware, after a reasonable written inquiry has been made, that it is connected with the sale or promotion of Equipment or Material which is or may be used on the Project or any other conflict of interest exists, but in certain circumstances Thornton may permit a waiver in writing provided Contractor has fully disclosed any potential conflict of interest.

## **XXVI. DISPUTE RESOLUTION**

- A. Unless otherwise agreed in writing, Contractor shall continue to perform the Work and maintain the CPM Schedule during any dispute or dispute resolution proceedings. If Contractor continues to perform, Thornton shall continue to make payments in accordance with this Agreement.
- B. The Parties shall attempt to resolve disputes among the Parties' Representatives.
- C. If either Party claims that attempts to resolve a dispute among the Parties' Representatives, has reached an impasse, the Representative for each Party shall refer the dispute to senior management of the respective Parties to endeavor to reach resolution through good faith direct discussions. Such senior management representatives shall possess the necessary authority to resolve such dispute. If the dispute remains unresolved after a reasonable period of time after discussions among the senior management representatives, the Parties may submit the dispute to mediation, which shall be held in the City of Thornton. The Parties shall share equally in the mediator's fees.
- D. If the attempted negotiation and mediation are unsuccessful, the Parties may proceed to litigation.
1. Any legal action concerning or arising out of this Agreement shall be brought in the District Court, County of Adams, State of Colorado.
  2. Any action arising out of or relating to this Agreement or the Work asserted by Contractor against Thornton shall be brought within two (2) years from when the action accrued pursuant to C.R.S. § 13-80-102(h), as may be amended.
- E. This Agreement shall be governed by the Laws of the State of Colorado notwithstanding its choice of law principles.

## **XXVII. MISCELLANEOUS**

- A. Assignments. The Contractor shall not assign the whole or any part of the Contract or any monies due or to become due thereunder without the written consent of Thornton and of the Surety on the Contractor's Bonds. A copy of the consent of the Surety, together with a copy of the assignment, shall be filed with Thornton. If the Contractor assigns all or any part of any monies due or to become due under the Contract, the instrument of assignment shall contain a clause to the effect that it is agreed that the right of the assignee in and to any monies due or to become due to the Contractor under the Contract shall be subject to prior claims and liens of all persons, firms, and corporations for services rendered; for the

payment of all Materials and Equipment furnished; for payment of all Construction Equipment used or rented in the performance of the Work; and for the payment of any liens, claims, or amounts due federal, state, or local governments or any of their special enterprises.

- B. Authority of Thornton. Thornton, or its consulting Engineer, shall furnish engineering services during construction of the Work to the extent provided in the Contract Documents. Thornton or its consulting Engineer shall observe and review the Work during construction. Compliance with the Contract Documents shall be solely the Contractor's responsibility, notwithstanding such observation, or review. Thornton may suspend the Work when it appears such suspension may be necessary to accomplish the proper implementation of the intent of the Contract Documents.
- C. Computation of Times. When any period of time is referred to in the Contract Documents by days, it will be computed to exclude the first and include the last day of such period. If the last day of any such period falls on a Saturday or Sunday or on a day made a legal holiday by the law of the applicable jurisdiction, such day will be omitted from the computation,
- D. Survival of Obligations. All representations, indemnifications, warranties, and guarantees made in, required by, or given in accordance with the Contract Documents, as well as all continuing obligations indicated in the Contract Documents, will survive final payment, completion, and acceptance of the Work or termination or completion of the Contract or termination of the services of Contractor.

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# **EXHIBIT C**

## **DRAWINGS**

**CITY OF THORNTON, COLORADO**  
DESIGN PLANS OF PROPOSED  
**FLASHING YELLOW ARROW SIGNAL MODIFICATIONS**  
ADAMS COUNTY, COLORADO  
PROJECT NO. SHO M286-056  
SUB ACCOUNT NO. 25527  
(Provided under separate cover)

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## EXHIBIT D

**Flashing Yellow Arrow Signal Modifications  
Thornton Project No. 23-208  
CDOT Project No. SHO M286-056 / 25527**

### SCHEDULE OF CONTRACT PAY ITEMS AND PRICES

ITEM NUMBER	ITEM	UNIT	QTY	UNIT PRICE	COST
202-00010	Removal of Tree	EA	1	\$	\$
202-00195	Removal of Median Cover	SY	13	\$	\$
202-00203	Removal of Concrete Curb Ramp	LF	18	\$	\$
202-00828	Removal of Traffic Signal Equipment	LS	1	\$	\$
203-01597	Potholing	HR	80	\$	\$
207-00700	Topsoil (Onsite)	CY	49	\$	\$
208-00002	Erosion Log Type 1 (12 Inch)	LF	200	\$	\$
208-00035	Aggregate Bag	LF	400	\$	\$
208-00046	Pre-Fabricated Concrete Washout Structure (Type I)	EACH	2	\$	\$
208-00103	Removal and Disposal of Sediment (Labor)	HOUR	10	\$	\$
208-00105	Removal and Disposal of Sediment (Equipment)	HOUR	10	\$	\$
208-00106	Sweeping (Sediment Removal)	HOUR	20	\$	\$
208-00107	Removal of Trash	HOUR	10	\$	\$
210-00479	Reset Radio Communication Antenna	EACH	2	\$	\$
210-00760	Reset Luminaire	EACH	4	\$	\$
210-00814	Reset Illuminated Sign	EACH	12	\$	\$
210-00815	Reset Sign Panel	EACH	7	\$	\$
210-00867	Reset Fire Preemption Unit	EACH	5	\$	\$
210-00890	Reset Intersection Detection System (Camera)	EACH	5	\$	\$
212-00700	Organic Fertilizer	LBS	18	\$	\$
212-00701	Compost (Mechanically Applied)	CY	4	\$	\$
212-00704	Mycorrhizae	LBS	3	\$	\$
212-00708	Seeding (Native) Broadcast	ACRE	0.1	\$	\$
212-01200	Landscape Restoration	LS	1	\$	\$
214-00240	Deciduous Tree (4 Inch Caliper)	EACH	1	\$	\$

## EXHIBIT D (CONT.)

**Flashing Yellow Arrow Signal Modifications  
Thornton Project No. 23-208  
CDOT Project No. SHO M286-056 / 25527**

### SCHEDULE OF CONTRACT PAY ITEMS AND PRICES (CONT.)

ITEM NUMBER	ITEM	UNIT	QTY	UNIT PRICE	COST
216-00201	Soil Retention Blanket (Straw/Coconut)(Biodegradable Class 1)	SY	291	\$	\$
240-00000	Wildlife Biologist	HOUR	16	\$	\$
403-00720	Hot Mix Asphalt (Patching)(Asphalt)	TON	2	\$	\$
503-00018	Drilled Shaft (18-Inch)	LF	24	\$	\$
503-00036	Drilled Shaft (36-Inch)	LF	90	\$	\$
503-00054	Drilled Shaft (54-Inch)	LF	84	\$	\$
608-00000	Concrete Sidewalk	SY	4	\$	\$
608-00010	Concrete Curb Ramp	SY	13	\$	\$
610-00030	Median Cover Material (Concrete)	SF	120	\$	\$
613-00206	2-Inch Electrical Conduit (Bored)	LF	105	\$	\$
613-00306	3-Inch Electrical Conduit (Bored)	LF	210	\$	\$
613-01200	2-Inch Electrical Conduit (Plastic)	LF	200	\$	\$
613-01300	3-Inch Electrical Conduit (Plastic)	LF	268	\$	\$
613-07023	Pull Box (24"x36"24")	EACH	3	\$	\$
613-10000	Wiring	LS	1	\$	\$
613-20200	LED Luminaire	EACH	5	\$	\$
613-80109	Meter Power Pedestal	EACH	2	\$	\$
614-00011	Sign Panel (Class 1)	SF	563	\$	\$
614-10159	Modify Signal Head Backplate	EACH	127	\$	\$
614-70118	Pedestrian Signal Face (18)	EACH	20	\$	\$
614-70336	Traffic Signal Face (12-12-12)	EACH	40	\$	\$
614-70448	Traffic Signal Face (12-12-12-12)	EACH	149	\$	\$

## EXHIBIT D (CONT.)

**Flashing Yellow Arrow Signal Modifications  
Thornton Project No. 23-208  
CDOT Project No. SHO M286-056 / 25527**

### SCHEDULE OF CONTRACT PAY ITEMS AND PRICES (CONT.)

ITEM NUMBER	ITEM	UNIT	QTY	UNIT PRICE	COST
614-70450	Traffic Signal Face Programmable (12-12-12-12)	EACH	1	\$	\$
614-72860	Pedestrian Push Button	EACH	18	\$	\$
614-72863	Pedestrian Push Button Post Assembly – 4-Foot	EACH	1	\$	\$
614-81150	Traffic Signal Light Pole Steel (1-50-Foot Mast Arm)(Install Only)	EACH	5	\$	\$
614-81160	Traffic Signal Light Pole Steel (1-60-Foot Mast Arm)(Install Only)	EACH	1	\$	\$
614-81170	Traffic Signal Light Pole Steel (1-70-Foot Mast Arm)(Install Only)	EACH	1	\$	\$
614-81175	Traffic Signal Light Pole Steel (1-75-Foot Mast Arm)(Install Only)	EACH	3	\$	\$
614-84100	Traffic Signal Pedestal Pole Aluminum – 10-Foot	EACH	3	\$	\$
614-84115	Traffic Signal Pedestal Pole Aluminum – 15-Foot	EACH	4	\$	\$
620-00020	Sanitary Facility	EACH	1	\$	\$
625-00000	Construction Surveying	LS	1	\$	\$
626-00000	Mobilization	LS	1	\$	\$
630-00003	Uniformed Traffic Control	HOUR	88	\$	\$
630-00007	Traffic Control Inspection	DAY	28	\$	\$
630-00012	Traffic Control Management	DAY	73	\$	\$
630-80340	Pedestrian Barricade (ADA)	LF	40	\$	\$
630-80341	Construction Traffic Sign (Panel Size A)	EACH	28	\$	\$
630-80350	Vertical Panel	EACH	25	\$	\$

## EXHIBIT D (CONT.)

**Flashing Yellow Arrow Signal Modifications  
Thornton Project No. 23-208  
CDOT Project No. SHO M286-056 / 25527**

### SCHEDULE OF CONTRACT PAY ITEMS AND PRICES (CONT.)

630-80355	Portable Message Sign Panel	EACH	2	\$	\$
630-80356	Advanced Warning Sequencing Arrow Panel (A Type)	EACH	2	\$	\$
630-80360	Drum Channelizing Device	EACH	25	\$	\$
630-80380	Traffic Cone	EACH	50	\$	\$
700-70009	F/A Minor Contract Revisions	F.A.	1	\$10,000.0 0	\$10,000.0 0
700-70082	F/A Furnish and Install Electrical Service	F.A.	1	\$10,000.0 0	\$10,000.0 0
700-70380	F/A Erosion Control	F.A.	1	\$5,000.00	\$5,000.00
700-70589	F/A Environmental Health & Safety Management	FA	1	\$10,000.0 0	\$10,000.0 0
<b>TOTAL BASE BID</b>					

**SCHEDULE OF CONTRACT ITEMS AND PRICES**

Total Base Bid Proposal \_\_\_\_\_ Dollars

(Words)

\_\_\_\_\_ Cents

(Words)

\$ \_\_\_\_\_

(Numerals)

Dated this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_.

FIRM NAME \_\_\_\_\_

By: \_\_\_\_\_

Title: \_\_\_\_\_

Bidder's Legal Status \_\_\_\_\_

State of Organization \_\_\_\_\_

Firm's Address: \_\_\_\_\_

Telephone: \_\_\_\_\_

Fax: \_\_\_\_\_

Email: \_\_\_\_\_

Witness: (Attest and Seal if Bid Proposal is by Corporation)

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## **EXHIBIT F**

**Flashing Yellow Arrow Signal Modifications  
Thornton Project No. 23-208  
CDOT Project No. SHO M286-056 / 25527**

**PERFORMANCE BOND & LABOR AND MATERIAL PAYMENT BOND**

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## PERFORMANCE BOND

The **City of Thornton**, a home rule municipality, located at 9500 Civic Center Drive, Thornton, Colorado 80229 ("Thornton" or "Obligee") and **Contractor's full name and** Contractor having an office at **[enter Contractor's address]** have entered into a Contract with a Notice of Award dated \_\_\_\_\_, 20\_\_ for Contract in connection with Flashing Yellow Arrow Signal Modifications, Thornton Project No. 23-208, CDOT Project No. SHO M286-056 / 25527 (the "Project").

By virtue of this Bond, Contractor as Principal, and **(Surety's full name)** \_\_\_\_\_ having an office at **(Surety's address)** \_\_\_\_\_ (the "Surety"). The Principal and Surety are firmly bound to Thornton, for the maximum amount of \$ **[spell out dollar amount here]** Dollars **[\$XXXXXXXX]** (the "Bond Sum").

Contractor and Surety bind themselves, their heirs, executors, administrators, successors and assigns, jointly and severally, to Obligee for the performance of the Work this Project requires and as set forth in the Drawings and Specifications prepared by WSB LLC., having an address at 701 Xenia Avenue S., Suite 300, Minneapolis, MN 55416.

### I. BOND TERMS

- A. The Contract by this reference is incorporated into this Performance Bond.
- B. If Contractor performs its Contract obligations (the "Work" ) as required, Surety shall have no further obligations under this Bond. If Contractor does not perform the Work, Surety's obligations under this Bond shall remain in full force and effect.
- C. Surety waives notice of any change made by Thornton, including changes of time, to the Contract or to related subcontracts, purchase orders and other obligations.
- D. If Contractor is in default on the Contract, and Thornton has performed its obligations under the Contract, Surety shall promptly and at the Surety's expense take one (1) of the following actions:
  - 1. Surety may promptly remedy the default;
  - 2. Surety shall promptly;
    - a. With Thornton's consent, complete the Contract in accordance with its terms and conditions using its agents or independent Contractors;
    - b. Obtain one or more bids from lowest, qualified Contractors acceptable to Thornton to complete the Contract in accordance with its terms and conditions;
    - c. Arrange for a Contractor, acceptable to Thornton, to complete the Work in accordance with its terms and conditions of the Contract and secured by

performance and payment bonds issued by a qualified surety. Surety will ensure sufficient funds are available to pay the cost to complete the Work as it progresses, and other costs and damages Surety may be liable to pay, minus the balance of the Contract price, up to Bond Sum indicated in the first paragraph of this Performance Bond. The term "balance of the Contract price" as used in this subsection c, means the total amount payable by Thornton to Contractor under the Contract and any Contract Change Orders thereto, less the amount properly paid by Thornton to Contractor.

## II. ADDITIONAL TERMS

- A. **Duration.** This obligation will run continuously and will remain in full force and effect until and unless the Bond is terminated and cancelled as provided in the Contract or as otherwise provided by law.
- B. **Bond Venue.**
1. The venue for any action, dispute or proceeding initiated in connection with this Bond will be District Court in and for the County of Adams, State of Colorado.
  2. Any suit under this Bond must be instituted before the expiration of two (2) years from the date on which final payment under the Contract falls due.
- C. **No Other Beneficiaries.** No right of action shall accrue on this Bond to or for the use of any person or corporation other than Thornton.
- D. **Severability.** If one or more of the provisions of this Bond are determined to be illegal or unenforceable by a court of competent jurisdiction, all other provisions will remain effective.

Signed and sealed this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_\_.

\_\_\_\_\_  
Witness

\_\_\_\_\_  
Principal

\_\_\_\_\_  
Title

\_\_\_\_\_  
Title

\_\_\_\_\_  
Witness

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Surety

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Title

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## LABOR AND MATERIAL PAYMENT BOND

The **City of Thornton**, a home rule municipality, located at 9500 Civic Center Drive, Thornton, Colorado 80229 (“Thornton” or “Obligee”) and [Contractor’s full name and type of legal entity]. Contractor having an office at [enter Contractor’s address] have entered into a Contract with a Notice of Award dated \_\_\_\_\_, 20\_\_ for Contract in connection with Flashing Yellow Arrow Signal Modifications, Thornton Project No. 23-208, CDOT Project No. SHO M286-056 / 25527 (the “Project”).

By virtue of this Bond, Contractor as Principal, and **(Surety’s full name)**  
\_\_\_\_\_ having an office at **(Surety’s address)** \_\_\_\_\_

(the “Surety”) as the Principal and Surety are firmly bound to Thornton, for the benefit of Claimants, in the amount of \$[spell out dollar amount here] Dollars (**\$XXXXXXXX**). Claimants means, without limitation, an individual or entity contracting directly with Contractor or with a subcontractor of Contractor to furnish labor and materials for use in the performance of the Contract and also includes any individual or entity that has rightfully asserted a claim under an applicable mechanic's lien or state statute against Contractor for the Project.

Contractor and Surety bind themselves, their heirs, executors, administrators, successors and assigns, jointly and severally, to pay for labor, materials and equipment furnished by Claimants for the performance of the Contract for the specified Work and set forth in the Drawings and Specifications prepared by WSB LLC., having an address at 701 Xenia Avenue S., Suite 300, Minneapolis, MN 55416.

### I. BOND TERMS

- A. The Contract by this reference is incorporated into this Labor and Material Payment Bond.
- B. Surety waives notice of any change made by Thornton, including changes of time, to the Contract or to related subcontracts, purchase orders and other obligations.
- C. If the Contractor promptly makes payment of all sums due to Claimants, and defends, indemnifies and holds Thornton harmless for claims, demands, liens or suits by any person or entity seeking payment for labor, materials or equipment furnished for use in the performance of the Construction Contract, Surety shall have no further obligations under this Bond. If Contractor fails to promptly make payment of all sums due to Claimants, Contractor’s, and Surety's obligations under this Bond shall remain in full force and effect, and be subject to the following:
  - 1. Claimants that directly contract with Principal, or with a subcontractor of the Principal, for labor and materials or a combination of both used or reasonably required for use in the performance of the Contract. Labor and materials include without limitation all and any part of water, gas, power, light, heat, oil, gasoline, equipment, telephone service or rental equipment used in the Contract, and, if

applicable, architectural and engineering services required for performance of the Work by Contractor and its subcontractors, and all other items that may be subject to filing a claim under this Bond.

2. The above-named Principal and Surety hereby jointly and severally agree that every claimant, who has not been paid in full before the expiration of ninety (90) Calendar Days after the date on which the last Claimant's Work or labor was done or performed, or furnished materials, may sue on this Bond, prosecute the suit to final judgment, and execute it to recover any and all sums justly due to Claimant. Thornton shall not be liable for the payment of any costs or expenses of any such suit.
3. No suit or action shall be commenced by any Claimant:
  - a. Until Claimant, other than a Claimant that directly contracts with the Principal, has given written notice to Principal, Thornton, and Surety, within ninety (90) Calendar Days after Claimant last performed Work or labor, or furnished materials. The notice for which the claim is made must state with substantial accuracy the amount claimed, and the name of the party to whom the materials were furnished, or for whom the labor was performed. Notice shall be delivered by registered mail or certified mail, postage prepaid, in an envelope addressed to the Principal, Thornton and Surety, at the place where an office is regularly maintained for the transaction of business, or it may be served in any manner by which legal process is served in the state where the Project is located, however, service need not be made by a public officer;
  - b. After the expiration of six (6) months from the date Principal stopped performing the Work pursuant to the Contract. It is understood that if any time limitation embodied in this Bond is prohibited by law controlling such limitation, this Bond shall be deemed amended to equal the minimum period of time limitation as such law permits;
  - c. Other than in a state court of competent jurisdiction in, and for the county or other political subdivision of the state where the Project, or any part of it is situated, or in the United States District Court of the District where the Project, or any part it is situated, and no other place.

Signed and sealed this \_\_\_\_\_ day of \_\_\_\_\_ 20\_\_.

\_\_\_\_\_  
Witness

\_\_\_\_\_  
Principal

\_\_\_\_\_  
Title

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Title

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Witness

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Surety

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## EXHIBIT G

### Flashing Yellow Arrow Signal Modifications Thornton Project No. 23-208 CDOT Project No. SHO M286-056 / 25527

#### INSURANCE REQUIREMENTS & CERTIFICATE

A. The Contractor agrees to procure and maintain in force during the term of this Contract, at its own cost, the following coverages:

1. Workers' Compensation Insurance. Workers' Compensation Insurance as required by the Labor Code of the State of Colorado and Employer's Liability Insurance. Evidence of qualified self-insured status may be substituted.

2.	<u>Commercial General Liability Insurance</u>	<b>(MINIMUM LIMITS)</b>
a.	Each Occurrence	\$2,000,000.00
b.	Products/Completed Operations Aggregate	\$2,000,000.00
c.	Personal and Advertising Injury	\$2,000,000.00
d.	General Aggregate	\$5,000,000.00

The policy shall include coverage protecting against bodily injury, property damage, and personal injury claims arising from the exposures of (1) premises-operations; (2) products and completed operations including materials designed, furnished and/or modified in any way by Contractor; (3) independent subcontractors; (4) contractual liability risk covering the indemnity obligations set forth in this Contract; and (5) where applicable, liability resulting from explosion, collapse, or underground exposures.

If the above insurance is written on a claims-made form, it shall continue for three (3) years following termination or completion of the Contract. The insurance shall provide for a retroactive date of placement prior to or coinciding with the effective date of the Contract.

3. Automobile Liability Insurance. Automobile Liability Insurance with minimum combined single limits for bodily injury and property damage of not less than one million dollars (\$1,000,000) for any one (1) occurrence. This insurance will insure against bodily injury and/or property damage arising out of the Contractor's operation, maintenance, use, loading or unloading of any auto including owned, non-owned, hired and employee autos.

4. Other insurance, with varying limits, which from time to time, may reasonably be required by the mutual agreement of Thornton and Contractor against other insurable hazards relating to the Work to be done, shall be provided.
- B. Contractor shall procure and maintain the minimum insurance coverages listed herein. Such coverages shall be procured and maintained with forms and insurers acceptable to Thornton. All coverages shall be continuously maintained to cover all liability, claims, demands, and other obligations assumed by the Contractor. If the above insurance is written on a claims-made form, it shall continue for three (3) years following termination or completion of the Contract. The insurance shall provide for a retroactive date of placement prior to or coinciding with the effective date of the Contract.
  - C. Contractor shall cause any Subcontractor to procure and maintain adequate levels of insurance coverage for Workers' Compensation, Commercial General Liability, Automobile Liability, and other coverages Contractor may require. For Commercial General Liability and Automobile Liability insurance of any subcontractor, Thornton will be named as an additional insured. Contractor shall prepare a schedule of required coverages for each of its Subcontractors and shall submit such schedule to Thornton prior to any Subcontractor commencing any Work under the Contract. Such coverages for any Subcontractors shall be procured and maintained with forms and insurers acceptable to Thornton. All coverages shall be continuously maintained to cover all liability, claims, demands, and other obligations assumed by the Contractor.
  - D. The Contractor shall name Thornton, its officer, agents, and employees, as well as CDOT, as additional insureds with respect to the Commercial General Liability and Auto Liability coverages above. Contractor shall require any Subcontractor to name Thornton, its officers, agents, and employees as additional insured with respect to Commercial General Liability and Auto Liability coverages. A Certificate of Insurance shall be completed and forwarded, along with the Additional Insured Endorsements, to Thornton by the Contractor's Insurance Agent(s) as evidence that policies providing the required coverages, conditions, and minimum limits are in full force and effect and shall be subject to review and approval by Thornton **prior to commencement of any Work under this Contract**. The Contractor shall provide (or cause to be provided) copies of Certificates of Insurance from all subcontractors indicating the City's Additional Insured statuses on their General Liability and Auto Liability policies. The initial completed Certificate(s) of Insurance and Additional Insured Endorsement(s) shall include the Contractor's e-mail address for future inquiries and updates, and shall be sent to:

City of Thornton  
Keith Griess, Senior Contract Administrator  
9500 Civic Center Drive  
Thornton, CO 80229-4326

Subsequent Certificates of Insurance indicating renewal of coverage(s) shall be sent to Thornton's Risk Manager at [certificatesofinsurance@cityofthornton.net](mailto:certificatesofinsurance@cityofthornton.net) no later than thirty (30) Calendar Days prior to the expiration date. Indicate "Renewal COI" and the Project Number in the e-mail subject line.

- E. Failure on the part of the Contractor or a Subcontractor to procure or maintain policies providing the required coverages, terms, conditions, and minimum limits shall constitute a material breach of Contract upon which Thornton may immediately terminate the Contract. At its discretion, Thornton may procure or renew any such policy or any extended reporting period and may pay any and all premiums in connection therewith, and all monies paid by Thornton shall be repaid by Contractor to Thornton upon demand, or Thornton may offset the cost of the premiums against any monies due or to become due to Contractor from Thornton. In addition to the foregoing, in the event any coverage required by the Contract expires or is cancelled during the term of the Contract, the Contractor shall be required, without further notice from Thornton, to suspend the Work at 12:00 a.m. on the date of insurance expiration or cancellation, and may not resume Work until the required insurance coverage is obtained and evidence of such coverage is submitted to and approved in writing by Thornton. The Contractor shall not be entitled to any compensation therefor, including compensation for delay. The Contract Time shall continue to run during such suspension period and the Contractor shall remain fully responsible for any Liquidated Damages that are assessed as a result of late performance. During such suspension of Work the Contractor remains responsible for all safety and protection of persons and property under the Contract.
  
- F. Thornton reserves the right to request and receive a certified copy of any policy and any endorsement thereto. Contractor agrees to execute any and all documents necessary to allow Thornton access to any and all insurance policies and endorsements pertaining to the Work.
  
- G. Every policy required above shall be primary insurance, and any insurance carried by Thornton, its agents, officers, or employees shall be excess and not contributory insurance to that provided by the Contractor. The Contractor shall be solely responsible for any deductible losses under the required policies and such deductible losses shall not be billed to Thornton.
  
- H. The Contractor shall not be relieved of any liability, claims, demands or other obligations assumed pursuant to the Contract by reason of its failure to procure and maintain insurance, or by reason of its failure to procure or maintain insurance in sufficient amounts, durations or types.
  
- I. The Parties understand and agree that Thornton, its agents, officers, and employees, are relying on, and do not waive or intend to waive by any provision of this Contract, the monetary limitations or any other rights, immunities, and protections provided by the Colorado Governmental Immunity Act, C.R.S. 24-10-101 et seq., as may be amended, or otherwise available to Thornton, its agents, officers, or employees.
  
- J. The Contractor shall provide Products and Completed Operations Liability Insurance and name Thornton as an additional insured for a minimum of one (1) year after the date of Final Acceptance. The Contractor shall continue to provide evidence of such coverage by submission of a Certificate of Insurance to Thornton no later than thirty (30) Calendar Days prior to the scheduled expiration of such coverage. Additional Insured endorsements shall indicate applicable Products and Completed Operations coverage.

- K. All policies shall include a provision that the coverages afforded under the policies shall not be canceled, terminated, or materially changed prior to the natural termination date until at least thirty (30) Calendar Days prior written notice has been sent to Thornton. The Certificate(s) shall indicate the form used, if any, under which this provision is included.

## CERTIFICATES OF INSURANCE

**“Insert Contractor’s Certificates of Insurance Here”**

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## EXHIBIT H

### STANDARD CONCRETE SPECIFICATIONS

This specification represents Thornton's minimum standards in regard to installation, movement, cracking, finish, replacement requirements, and overall appearance of concrete flatwork. This specification is not a complete specification but is intended to supplement Project specific specifications. In the event of a conflict between this specification and any other project or nationally recognized specification including, but not limited to, ADA, AASTHO, COT standards, and CDOT standards, the more stringent specification shall apply.

This specification is applicable for exterior flatwork including, but not limited to, sidewalk, curb and gutter, cross pans, handicap ramps, and general exterior flatwork such as plazas. This specification is not applicable to bus pads, railroad crossings, or road pavements.

The following minimum standards shall apply to all Work performed. Any concrete not within these minimum standards shall be replaced or, in Thornton's sole discretion, ground smooth. The Contractor shall perform all repair or replacement Work at no cost to Thornton.

A. Deviation from Design Slope

Deviation from design slope in any direction shall not exceed plus or minus 0.25%. Notwithstanding the foregoing, in no case shall deviation from design slope cause water to pond.

B. Surface Tolerance within Design Slope

1. Deviation of Pavement in Longitudinal Direction:

Except at curb and gutter transitions to inlets, the gap below a 10' straightedge resting longitudinally on high spots shall not exceed  $\frac{1}{4}$ ". On tangent roadway alignments and curves with greater than 1,000', and on sharp vertical curves and horizontal curves with radius of 1,000' or less. The total maximum allowable deflection shall be 0.25" from the edge of the straightedge with allowance made for curve deflection. Should the length of the longitudinal segment or segments being measured not accommodate a 10' straightedge, a shorter straightedge shall be used and the allowable gap shall be proportioned accordingly.

2. Deviation of Pavement in Transverse Direction:

The gap below a 10' straightedge resting on high spots shall not exceed  $\frac{1}{4}$ ". Should the width of the transverse direction being measured not accommodate a 10' straightedge, a shorter straightedge shall be used and the allowable gap shall be proportioned accordingly.

3. Deviation in Surface Tolerance of Ramps, Sidewalks, Curb and Gutter, and Intersection Cross Pans:

In any direction, the gap below a 10' straightedge resting on high spots shall not exceed  $\frac{1}{4}$ ". Should the length of the direction being measured not accommodate a 10' straightedge, a shorter straightedge shall be used and the allowable gap shall be proportioned accordingly.

C. Movement of ramps, sidewalks, curb and gutter, and intersection crossspan occurring after placement, but before the end of the Warranty Period:

1. Lateral, (horizontal) movement shall not exceed  $\frac{1}{4}$ " from original approved lines.
2. Differential settlement or heaving, in any direction, within a monolithic segment or between adjacent segments separated by a control joint, expansion joint, or construction joint, shall not exceed  $\frac{1}{4}$ " in any 10' length.

Any concrete not within the  $\frac{1}{4}$ " tolerance shall be replaced or ground smooth at Thornton's sole option, by the Contractor at no cost to Thornton. Notwithstanding the above, any settlement or heaving that causes water to pond shall be cause to remove and replace, or with Thornton's approval to grind the concrete, to restore proper drainage.

D. Cracks

All concrete shall be installed with tooled or saw cut control joints meeting requirements of the Project specifications and/or Thornton standards. Joints at construction joints shall be tooled. Joints shall be tooled or cut while concrete is still green and prior to the appearance of any shrinkage cracks and shall be made to a depth of at least  $\frac{1}{4}$ " of the total thickness of the concrete. Cracks of any size, width, or length that do not fall within the width of the tooled or saw cut control joint are not acceptable. All concrete panels or segments with cracks not within the tooled or saw cut joints shall be replaced by the Contractor at no cost to Thornton.

E. Damaged Concrete

All chipped, scratched, grooved, spalled, pitted, honeycombed, vandalized, or concrete damaged in any way shall be replaced by the Contractor at no additional cost to Thornton.

F. Surface Finish

1. The Contractor shall screed, float, and trowel smooth all concrete prior to final finish. The surface finish of all concrete shall be uniform, even, level, and esthetically pleasing as shall be determined by Thornton. The quality of the surface finish shall be equivalent to that routinely achievable by a highly competent concrete finisher comparable to a journeyman skill level.

2. All concrete flatwork shall have light broom finish unless otherwise specified. The broom grooves shall be straight to a tolerance of 1/2" maximum lateral deviation (1/4" either side of a straight edge) in any 5' length and not more than 1/16" in depth. The Contractor shall clean the broom between each pass so that broom finish is clean, consistent, and esthetically pleasing. If concrete finish becomes rough, uneven, deeply grooved, or in any way visually unpleasing in the sole opinion of Thornton, the Contractor shall replace the affected panels at no cost to Thornton.

#### G. Replacement of all Defective Concrete

All replaced concrete shall be uniformly tied into the existing concrete on each side of the replaced concrete section and shall adhere to all specifications and tolerances. Removal of concrete for replacement shall be to the nearest existing control joint, construction joint, or expansion joint in either direction, or if prior approval is obtained from Thornton, to a new saw cut joint; provided however, no resulting sidewalk or curb and gutter segments shall be less than 5' from control joint to control joint in length. All edges shall be sawn cut cleanly with no chips or spalling in existing concrete to remain. Saw cut edges shall be parallel and perpendicular to the existing work. Saw cut joints shall not over cut into existing material to remain. Should any concrete become chipped, cracked, or damaged in any way during removal, the Contractor shall remove and replace this damaged concrete back to the next control joint at no additional cost to Thornton. Curb and gutter replacement and segments shall be tied to existing curb and gutter on each side of the replaced segment with two (2) dowels (#4 rebar x 2' long), one (1) placed under the gutter section and one (1) placed under the curb section of the repair. These dowels will be epoxy anchored into the existing curb and gutter by means of drilling horizontally a 1' long hole with a diameter as recommended by the epoxy manufacturer for #4 rebar and anchoring the dowels in the hole using Hilti HY-150 or approved equal epoxy adhesive. The remaining 1' of the dowel will be embedded in the replacement curb and gutter section. The dowels will be evenly spaced in the pan section of the curb and gutter so as to provide a minimum of 2 1/2" of concrete cover on all sides.

#### H. Curing and Weather Protection

All concrete shall be cured and protected from the weather per Project specifications or per Thornton Standard Specifications sections 607 and 608, whichever is more stringent.

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**EXHIBIT I**

**SAMPLE NOTICE OF AWARD  
&  
SAMPLE NOTICE TO PROCEED**

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## NOTICE OF AWARD

Thornton, CO

Date

TO: [contractor name and address]

The City of Thornton, having duly considered the Bid Proposal submitted on [date], for Flashing Yellow Arrow Signal Modifications, Thornton Project No. 23-208, CDOT Project No. SHO M286-056 / 25527 as detailed in the Contract Documents and it appearing that your Bid Proposal for performing the Work is fair, equitable and to its best interest, the said Bid Proposal is hereby accepted in the amount of xxxxxxxxxxx (\$XXXXXXXXXX), for the Base Bid and in the amount of \_\_\_\_\_ (\$YYYY) as stipulated in the Bid Proposal.

In accordance with the terms of the Contract Documents, you are required to furnish the required Performance Bond and Labor and Material Payment Bond within Ten (10) consecutive Calendar Days from and including the date of this Notice of Award. Once the Bonds have been furnished to Thornton, you will be required to execute the formal Contract via Thornton approved digital signature service.

In addition, you are requested to furnish at the same time IRS W-9 Taxpayer ID Forms and the required Certificates of Insurance and Additional Insured Endorsements evidencing compliance with the requirements for insurance stated in the Contract Documents.

The Bid Security submitted with your Bid Proposal will be retained until the Contract has been executed and the required Bonds, Insurance, and W-9 Forms have been furnished and approved.

CITY OF THORNTON, COLORADO

By:

\_\_\_\_\_  
Sean Saddler, PE  
Interim Executive Director of Management Services

cc:

City Clerk  
Contract Administration Bulletin Board  
Sean Saddler, PE, Interim Executive Director of Management Services

e-mail:(Carlos Botello, Project Manager)

file: Flashing Yellow Arrow Signal Modifications, Thornton Project No. 23-208

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## **NOTICE TO PROCEED**

Thornton, Colorado

\_\_\_\_\_, 20\_\_

TO: [contractor name and address]

**RE: NOTICE TO PROCEED** – Flashing Yellow Arrow Signal Modifications, Thornton Project No. 23-208, CDOT Project No. SHO M286-056 / 25527

You are hereby authorized to proceed with the Work within ten (10) consecutive Calendar Days from this date and substantially complete the Work within seventy-five (75) consecutive Working Days from this date. The date for Substantial Completion of this Project is \_\_\_\_\_, 20\_\_.

CITY OF THORNTON, COLORADO

BY: \_\_\_\_\_  
Keith Griess  
Senior Contract Administrator

cc: City Clerk

e-mail: [redacted], COT Project Manager  
Justin Nielsen, Building Inspection Supervisor

file: Flashing Yellow Arrow Signal Modifications, Thornton Project No. 23-208

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## FHWA Form 1273 Required Contract Provisions, Federal-Aid Construction Contracts

<http://www.fhwa.dot.gov/programadmin/contracts/1273/1273.pdf>

FHWA-1273 – Revised October 23, 2023

### REQUIRED CONTRACT PROVISIONS FEDERAL-AID CONSTRUCTION CONTRACTS

- I. General
- II. Nondiscrimination
- III. Non-segregated Facilities
- IV. Davis-Bacon and Related Act Provisions
- V. Contract Work Hours and Safety Standards Act Provisions
- VI. Subletting or Assigning the Contract
- VII. Safety: Accident Prevention
- VIII. False Statements Concerning Highway Projects
- IX. Implementation of Clean Air Act and Federal Water Pollution Control Act
- X. Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion
- XI. Certification Regarding Use of Contract Funds for Lobbying
- XII. Use of United States-Flag Vessels:

performed on the contract by the contractor's own organization and with the assistance of workers under the contractor's immediate superintendence and to all work performed on the contract by piecework, station work, or by subcontract. 23 CFR 633.102(d).

3. A breach of any of the stipulations contained in these Required Contract Provisions may be sufficient grounds for withholding of progress payments, withholding of final payment, termination of the contract, suspension / debarment or any other action determined to be appropriate by the contracting agency and FHWA.

4. Selection of Labor: During the performance of this contract, the contractor shall not use convict labor for any purpose within the limits of a construction project on a Federal-aid highway unless it is labor performed by convicts who are on parole, supervised release, or probation. 23 U.S.C. 114(b). The term Federal-aid highway does not include roadways functionally classified as local roads or rural minor collectors. 23 U.S.C. 101(a).

#### ATTACHMENTS

A. Employment and Materials Preference for Appalachian Development Highway System or Appalachian Local Access Road Contracts (included in Appalachian contracts only)

#### II. NONDISCRIMINATION (23 CFR 230.107(a); 23 CFR Part 230, Subpart A, Appendix A; EO 11246)

#### I. GENERAL

1. Form FHWA-1273 must be physically incorporated in each construction contract funded under title 23, United States Code, as required in 23 CFR 633.102(b) (excluding emergency contracts solely intended for debris removal). The contractor (or subcontractor) must insert this form in each subcontract and further require its inclusion in all lower tier subcontracts (excluding purchase orders, rental agreements and other agreements for supplies or services). 23 CFR 633.102(e).

The provisions of this section related to 23 CFR Part 230, Subpart A, Appendix A are applicable to all Federal-aid construction contracts and to all related construction subcontracts of \$10,000 or more. The provisions of 23 CFR Part 230 are not applicable to material supply, engineering, or architectural service contracts.

The applicable requirements of Form FHWA-1273 are incorporated by reference for work done under any purchase order, rental agreement or agreement for other services. The prime contractor shall be responsible for compliance by any subcontractor, lower-tier subcontractor or service provider. 23 CFR 633.102(e).

In addition, the contractor and all subcontractors must comply with the following policies: Executive Order 11246, 41 CFR Part 60, 29 CFR Parts 1625-1627, 23 U.S.C. 140, Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. 794), Title VI of the Civil Rights Act of 1964, as amended (42 U.S.C. 2000d et seq.), and related regulations including 49 CFR Parts 21, 26, and 27; and 23 CFR Parts 200, 230, and 633.

Form FHWA-1273 must be included in all Federal-aid design-build contracts, in all subcontracts and in lower tier subcontracts (excluding subcontracts for design services, purchase orders, rental agreements and other agreements for supplies or services) in accordance with 23 CFR 633.102. The design-builder shall be responsible for compliance by any subcontractor, lower-tier subcontractor or service provider.

The contractor and all subcontractors must comply with: the requirements of the Equal Opportunity Clause in 41 CFR 60-1.4(b) and, for all construction contracts exceeding \$10,000, the Standard Federal Equal Employment Opportunity Construction Contract Specifications in 41 CFR 60-4.3.

Contracting agencies may reference Form FHWA-1273 in solicitation-for-bids or request-for-proposals documents, however, the Form FHWA-1273 must be physically incorporated (not referenced) in all contracts, subcontracts and lower-tier subcontracts (excluding purchase orders, rental agreements and other agreements for supplies or services related to a construction contract). 23 CFR 633.102(b).

Note: The U.S. Department of Labor has exclusive authority to determine compliance with Executive Order 11246 and the policies of the Secretary of Labor including 41 CFR Part 60, and 29 CFR Parts 1625-1627. The contracting agency and the FHWA have the authority and the responsibility to ensure compliance with 23 U.S.C. 140, Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. 794), and Title VI of the Civil Rights Act of 1964, as amended (42 U.S.C. 2000d et seq.), and related regulations including 49 CFR Parts 21, 26, and 27; and 23 CFR Parts 200, 230, and 633.

2. Subject to the applicability criteria noted in the following sections, these contract provisions shall apply to all work

The following provision is adopted from 23 CFR Part 230, Subpart A, Appendix A, with appropriate revisions to conform to the U.S. Department of Labor (US DOL) and FHWA requirements.

**1. Equal Employment Opportunity:** Equal Employment Opportunity (EEO) requirements not to discriminate and to take affirmative action to assure equal opportunity as set forth under laws, executive orders, rules, regulations (see 28 CFR Part 35, 29 CFR Part 1630, 29 CFR Parts 1625-1627, 41 CFR Part 60 and 49 CFR Part 27) and orders of the Secretary of Labor as modified by the provisions prescribed herein, and imposed pursuant to 23 U.S.C. 140, shall constitute the EEO and specific affirmative action standards for the contractor's project activities under this contract. The provisions of the Americans with Disabilities Act of 1990 (42 U.S.C. 12101 et seq.) set forth under 28 CFR Part 35 and 29 CFR Part 1630 are incorporated by reference in this contract. In the execution of this contract, the contractor agrees to comply with the following minimum specific requirement activities of EEO:

a. The contractor will work with the contracting agency and the Federal Government to ensure that it has made every good faith effort to provide equal opportunity with respect to all of its terms and conditions of employment and in their review of activities under the contract. 23 CFR 230.409 (g)(4) & (5).

b. The contractor will accept as its operating policy the following statement:

"It is the policy of this Company to assure that applicants are employed, and that employees are treated during employment, without regard to their race, religion, sex, sexual orientation, gender identity, color, national origin, age or disability. Such action shall include: employment, upgrading, demotion, or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship, pre-apprenticeship, and/or on-the-job training."

**2. EEO Officer:** The contractor will designate and make known to the contracting officers an EEO Officer who will have the responsibility for and must be capable of effectively administering and promoting an active EEO program and who must be assigned adequate authority and responsibility to do so.

**3. Dissemination of Policy:** All members of the contractor's staff who are authorized to hire, supervise, promote, and discharge employees, or who recommend such action or are substantially involved in such action, will be made fully cognizant of and will implement the contractor's EEO policy and contractual responsibilities to provide EEO in each grade and classification of employment. To ensure that the above agreement will be met, the following actions will be taken as a minimum:

a. Periodic meetings of supervisory and personnel office employees will be conducted before the start of work and then not less often than once every six months, at which time the contractor's EEO policy and its implementation will be reviewed and explained. The meetings will be conducted by the EEO Officer or other knowledgeable company official.

b. All new supervisory or personnel office employees will be given a thorough indoctrination by the EEO Officer, covering all major aspects of the contractor's EEO obligations within thirty days following their reporting for duty with the contractor.

c. All personnel who are engaged in direct recruitment for the project will be instructed by the EEO Officer in the contractor's procedures for locating and hiring minorities and women.

d. Notices and posters setting forth the contractor's EEO policy will be placed in areas readily accessible to employees, applicants for employment and potential employees.

e. The contractor's EEO policy and the procedures to implement such policy will be brought to the attention of employees by means of meetings, employee handbooks, or other appropriate means.

**4. Recruitment:** When advertising for employees, the contractor will include in all advertisements for employees the notation: "An Equal Opportunity Employer." All such advertisements will be placed in publications having a large circulation among minorities and women in the area from which the project work force would normally be derived.

a. The contractor will, unless precluded by a valid bargaining agreement, conduct systematic and direct recruitment through public and private employee referral sources likely to yield qualified minorities and women. To meet this requirement, the contractor will identify sources of potential minority group employees and establish with such identified sources procedures whereby minority and women applicants may be referred to the contractor for employment consideration.

b. In the event the contractor has a valid bargaining agreement providing for exclusive hiring hall referrals, the contractor is expected to observe the provisions of that agreement to the extent that the system meets the contractor's compliance with EEO contract provisions. Where implementation of such an agreement has the effect of discriminating against minorities or women, or obligates the contractor to do the same, such implementation violates Federal nondiscrimination provisions.

c. The contractor will encourage its present employees to refer minorities and women as applicants for employment. Information and procedures with regard to referring such applicants will be discussed with employees.

**5. Personnel Actions:** Wages, working conditions, and employee benefits shall be established and administered, and personnel actions of every type, including hiring, upgrading, promotion, transfer, demotion, layoff, and termination, shall be taken without regard to race, color, religion, sex, sexual orientation, gender identity, national origin, age or disability. The following procedures shall be followed:

a. The contractor will conduct periodic inspections of project sites to ensure that working conditions and employee facilities do not indicate discriminatory treatment of project site personnel.

b. The contractor will periodically evaluate the spread of wages paid within each classification to determine any evidence of discriminatory wage practices.

c. The contractor will periodically review selected personnel actions in depth to determine whether there is evidence of discrimination. Where evidence is found, the contractor will promptly take corrective action. If the review indicates that the discrimination may extend beyond the actions reviewed, such corrective action shall include all affected persons.

d. The contractor will promptly investigate all complaints of alleged discrimination made to the contractor in connection with its obligations under this contract, will attempt to resolve such complaints, and will take appropriate corrective action

within a reasonable time. If the investigation indicates that the discrimination may affect persons other than the complainant, such corrective action shall include such other persons. Upon completion of each investigation, the contractor will inform every complainant of all of their avenues of appeal.

**6. Training and Promotion:**

a. The contractor will assist in locating, qualifying, and increasing the skills of minorities and women who are applicants for employment or current employees. Such efforts should be aimed at developing full journey level status employees in the type of trade or job classification involved.

b. Consistent with the contractor's work force requirements and as permissible under Federal and State regulations, the contractor shall make full use of training programs (i.e., apprenticeship and on-the-job training programs for the geographical area of contract performance). In the event a special provision for training is provided under this contract, this subparagraph will be superseded as indicated in the special provision. The contracting agency may reserve training positions for persons who receive welfare assistance in accordance with 23 U.S.C. 140(a).

c. The contractor will advise employees and applicants for employment of available training programs and entrance requirements for each.

d. The contractor will periodically review the training and promotion potential of employees who are minorities and women and will encourage eligible employees to apply for such training and promotion.

**7. Unions:** If the contractor relies in whole or in part upon unions as a source of employees, the contractor will use good faith efforts to obtain the cooperation of such unions to increase opportunities for minorities and women. 23 CFR 230.409. Actions by the contractor, either directly or through a contractor's association acting as agent, will include the procedures set forth below:

a. The contractor will use good faith efforts to develop, in cooperation with the unions, joint training programs aimed toward qualifying more minorities and women for membership in the unions and increasing the skills of minorities and women so that they may qualify for higher paying employment.

b. The contractor will use good faith efforts to incorporate an EEO clause into each union agreement to the end that such union will be contractually bound to refer applicants without regard to their race, color, religion, sex, sexual orientation, gender identity, national origin, age, or disability.

c. The contractor is to obtain information as to the referral practices and policies of the labor union except that to the extent such information is within the exclusive possession of the labor union and such labor union refuses to furnish such information to the contractor, the contractor shall so certify to the contracting agency and shall set forth what efforts have been made to obtain such information.

d. In the event the union is unable to provide the contractor with a reasonable flow of referrals within the time limit set forth in the collective bargaining agreement, the contractor will, through independent recruitment efforts, fill the employment vacancies without regard to race, color, religion, sex, sexual orientation, gender identity, national origin, age, or disability; making full efforts to obtain qualified and/or qualifiable minorities and women. The failure of a union to provide

sufficient referrals (even though it is obligated to provide exclusive referrals under the terms of a collective bargaining agreement) does not relieve the contractor from the requirements of this paragraph. In the event the union referral practice prevents the contractor from meeting the obligations pursuant to Executive Order 11246, as amended, and these special provisions, such contractor shall immediately notify the contracting agency.

**8. Reasonable Accommodation for Applicants / Employees with Disabilities:** The contractor must be familiar with the requirements for and comply with the Americans with Disabilities Act and all rules and regulations established thereunder. Employers must provide reasonable accommodation in all employment activities unless to do so would cause an undue hardship.

**9. Selection of Subcontractors, Procurement of Materials and Leasing of Equipment:** The contractor shall not discriminate on the grounds of race, color, religion, sex, sexual orientation, gender identity, national origin, age, or disability in the selection and retention of subcontractors, including procurement of materials and leases of equipment. The contractor shall take all necessary and reasonable steps to ensure nondiscrimination in the administration of this contract.

a. The contractor shall notify all potential subcontractors, suppliers, and lessors of their EEO obligations under this contract.

b. The contractor will use good faith efforts to ensure subcontractor compliance with their EEO obligations.

**10. Assurances Required:**

a. The requirements of 49 CFR Part 26 and the State DOT's FHWA-approved Disadvantaged Business Enterprise (DBE) program are incorporated by reference.

b. The contractor, subrecipient or subcontractor shall not discriminate on the basis of race, color, national origin, or sex in the performance of this contract. The contractor shall carry out applicable requirements of 49 CFR part 26 in the award and administration of DOT-assisted contracts. Failure by the contractor to carry out these requirements is a material breach of this contract, which may result in the termination of this contract or such other remedy as the recipient deems appropriate, which may include, but is not limited to:  
 (1) Withholding monthly progress payments;  
 (2) Assessing sanctions;  
 (3) Liquidated damages; and/or  
 (4) Disqualifying the contractor from future bidding as non-responsible.

c. The Title VI and nondiscrimination provisions of U.S. DOT Order 1050.2A at Appendixes A and E are incorporated by reference. 49 CFR Part 21.

**11. Records and Reports:** The contractor shall keep such records as necessary to document compliance with the EEO requirements. Such records shall be retained for a period of three years following the date of the final payment to the contractor for all contract work and shall be available at reasonable times and places for inspection by authorized representatives of the contracting agency and the FHWA.

a. The records kept by the contractor shall document the following:

(1) The number and work hours of minority and non-minority group members and women employed in each work classification on the project;

(2) The progress and efforts being made in cooperation with unions, when applicable, to increase employment opportunities for minorities and women; and

(3) The progress and efforts being made in locating, hiring, training, qualifying, and upgrading minorities and women.

b. The contractors and subcontractors will submit an annual report to the contracting agency each July for the duration of the project indicating the number of minority, women, and non-minority group employees currently engaged in each work classification required by the contract work. This information is to be reported on [Form FHWA-1391](#). The staffing data should represent the project work force on board in all or any part of the last payroll period preceding the end of July. If on-the-job training is being required by special provision, the contractor will be required to collect and report training data. The employment data should reflect the work force on board during all or any part of the last payroll period preceding the end of July.

### III. NONSEGREGATED FACILITIES

This provision is applicable to all Federal-aid construction contracts and to all related construction subcontracts of more than \$10,000. 41 CFR 60-1.5.

As prescribed by 41 CFR 60-1.8, the contractor must ensure that facilities provided for employees are provided in such a manner that segregation on the basis of race, color, religion, sex, sexual orientation, gender identity, or national origin cannot result. The contractor may neither require such segregated use by written or oral policies nor tolerate such use by employee custom. The contractor's obligation extends further to ensure that its employees are not assigned to perform their services at any location under the contractor's control where the facilities are segregated. The term "facilities" includes waiting rooms, work areas, restaurants and other eating areas, time clocks, restrooms, washrooms, locker rooms and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation, and housing provided for employees. The contractor shall provide separate or single-user restrooms and necessary dressing or sleeping areas to assure privacy between sexes.

### IV. DAVIS-BACON AND RELATED ACT PROVISIONS

This section is applicable to all Federal-aid construction projects exceeding \$2,000 and to all related subcontracts and lower-tier subcontracts (regardless of subcontract size), in accordance with 29 CFR 5.5. The requirements apply to all projects located within the right-of-way of a roadway that is functionally classified as Federal-aid highway. 23 U.S.C. 113. This excludes roadways functionally classified as local roads or rural minor collectors, which are exempt. 23 U.S.C. 101. Where applicable law requires that projects be treated as a project on a Federal-aid highway, the provisions of this subpart will apply regardless of the location of the project. Examples include: Surface Transportation Block Grant Program projects funded under 23 U.S.C. 133 [excluding recreational trails projects], the Nationally Significant Freight and Highway

Projects funded under 23 U.S.C. 117, and National Highway Freight Program projects funded under 23 U.S.C. 167.

The following provisions are from the U.S. Department of Labor regulations in 29 CFR 5.5 "Contract provisions and related matters" with minor revisions to conform to the FHWA-1273 format and FHWA program requirements.

#### 1. Minimum wages (29 CFR 5.5)

a. *Wage rates and fringe benefits.* All laborers and mechanics employed or working upon the site of the work (or otherwise working in construction or development of the project under a development statute), will be paid unconditionally and not less often than once a week, and without subsequent deduction or rebate on any account (except such payroll deductions as are permitted by regulations issued by the Secretary of Labor under the Copeland Act ([29 CFR part 3](#))), the full amount of basic hourly wages and bona fide fringe benefits (or cash equivalents thereof) due at time of payment computed at rates not less than those contained in the wage determination of the Secretary of Labor which is attached hereto and made a part hereof, regardless of any contractual relationship which may be alleged to exist between the contractor and such laborers and mechanics. As provided in paragraphs (d) and (e) of 29 CFR 5.5, the appropriate wage determinations are effective by operation of law even if they have not been attached to the contract. Contributions made or costs reasonably anticipated for bona fide fringe benefits under the Davis-Bacon Act ([40 U.S.C. 3141\(2\)\(B\)](#)) on behalf of laborers or mechanics are considered wages paid to such laborers or mechanics, subject to the provisions of paragraph 1.e. of this section; also, regular contributions made or costs incurred for more than a weekly period (but not less often than quarterly) under plans, funds, or programs which cover the particular weekly period, are deemed to be constructively made or incurred during such weekly period. Such laborers and mechanics must be paid the appropriate wage rate and fringe benefits on the wage determination for the classification(s) of work actually performed, without regard to skill, except as provided in paragraph 4. of this section. Laborers or mechanics performing work in more than one classification may be compensated at the rate specified for each classification for the time actually worked therein: *Provided*, That the employer's payroll records accurately set forth the time spent in each classification in which work is performed. The wage determination (including any additional classifications and wage rates conformed under paragraph 1.c. of this section) and the Davis-Bacon poster (WH-1321) must be posted at all times by the contractor and its subcontractors at the site of the work in a prominent and accessible place where it can be easily seen by the workers.

b. *Frequently recurring classifications.* (1) In addition to wage and fringe benefit rates that have been determined to be prevailing under the procedures set forth in [29 CFR part 1](#), a wage determination may contain, pursuant to § 1.3(f), wage and fringe benefit rates for classifications of laborers and mechanics for which conformance requests are regularly submitted pursuant to paragraph 1.c. of this section, provided that:

(i) The work performed by the classification is not performed by a classification in the wage determination for which a prevailing wage rate has been determined;

(ii) The classification is used in the area by the construction industry; and

(iii) The wage rate for the classification bears a reasonable relationship to the prevailing wage rates contained in the wage determination.

(2) The Administrator will establish wage rates for such classifications in accordance with paragraph 1.c.(1)(iii) of this section. Work performed in such a classification must be paid at no less than the wage and fringe benefit rate listed on the wage determination for such classification.

c. *Conformance.* (1) The contracting officer must require that any class of laborers or mechanics, including helpers, which is not listed in the wage determination and which is to be employed under the contract be classified in conformance with the wage determination. Conformance of an additional classification and wage rate and fringe benefits is appropriate only when the following criteria have been met:

(i) The work to be performed by the classification requested is not performed by a classification in the wage determination; and

(ii) The classification is used in the area by the construction industry; and

(iii) The proposed wage rate, including any bona fide fringe benefits, bears a reasonable relationship to the wage rates contained in the wage determination.

(2) The conformance process may not be used to split, subdivide, or otherwise avoid application of classifications listed in the wage determination.

(3) If the contractor and the laborers and mechanics to be employed in the classification (if known), or their representatives, and the contracting officer agree on the classification and wage rate (including the amount designated for fringe benefits where appropriate), a report of the action taken will be sent by the contracting officer by email to [DBAconformance@dol.gov](mailto:DBAconformance@dol.gov). The Administrator, or an authorized representative, will approve, modify, or disapprove every additional classification action within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary.

(4) In the event the contractor, the laborers or mechanics to be employed in the classification or their representatives, and the contracting officer do not agree on the proposed classification and wage rate (including the amount designated for fringe benefits, where appropriate), the contracting officer will, by email to [DBAconformance@dol.gov](mailto:DBAconformance@dol.gov), refer the questions, including the views of all interested parties and the recommendation of the contracting officer, to the Administrator for determination. The Administrator, or an authorized representative, will issue a determination within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary.

(5) The contracting officer must promptly notify the contractor of the action taken by the Wage and Hour Division

under paragraphs 1.c.(3) and (4) of this section. The contractor must furnish a written copy of such determination to each affected worker or it must be posted as a part of the wage determination. The wage rate (including fringe benefits where appropriate) determined pursuant to paragraph 1.c.(3) or (4) of this section must be paid to all workers performing work in the classification under this contract from the first day on which work is performed in the classification.

d. *Fringe benefits not expressed as an hourly rate.* Whenever the minimum wage rate prescribed in the contract for a class of laborers or mechanics includes a fringe benefit which is not expressed as an hourly rate, the contractor may either pay the benefit as stated in the wage determination or may pay another bona fide fringe benefit or an hourly cash equivalent thereof.

e. *Unfunded plans.* If the contractor does not make payments to a trustee or other third person, the contractor may consider as part of the wages of any laborer or mechanic the amount of any costs reasonably anticipated in providing bona fide fringe benefits under a plan or program, *Provided*, That the Secretary of Labor has found, upon the written request of the contractor, in accordance with the criteria set forth in § 5.28, that the applicable standards of the Davis-Bacon Act have been met. The Secretary of Labor may require the contractor to set aside in a separate account assets for the meeting of obligations under the plan or program.

f. *Interest.* In the event of a failure to pay all or part of the wages required by the contract, the contractor will be required to pay interest on any underpayment of wages.

## 2. Withholding (29 CFR 5.5)

a. *Withholding requirements.* The contracting agency may, upon its own action, or must, upon written request of an authorized representative of the Department of Labor, withhold or cause to be withheld from the contractor so much of the accrued payments or advances as may be considered necessary to satisfy the liabilities of the prime contractor or any subcontractor for the full amount of wages and monetary relief, including interest, required by the clauses set forth in this section for violations of this contract, or to satisfy any such liabilities required by any other Federal contract, or federally assisted contract subject to Davis-Bacon labor standards, that is held by the same prime contractor (as defined in § 5.2). The necessary funds may be withheld from the contractor under this contract, any other Federal contract with the same prime contractor, or any other federally assisted contract that is subject to Davis-Bacon labor standards requirements and is held by the same prime contractor, regardless of whether the other contract was awarded or assisted by the same agency, and such funds may be used to satisfy the contractor liability for which the funds were withheld. In the event of a contractor's failure to pay any laborer or mechanic, including any apprentice or helper working on the site of the work all or part of the wages required by the contract, or upon the contractor's failure to submit the required records as discussed in paragraph 3.d. of this section, the contracting agency may on its own initiative and after written notice to the contractor, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds until such violations have ceased.

b. *Priority to withheld funds.* The Department has priority to funds withheld or to be withheld in accordance with paragraph

2.a. of this section or Section V, paragraph 3.a., or both, over claims to those funds by:

- (1) A contractor's surety(ies), including without limitation performance bond sureties and payment bond sureties;
- (2) A contracting agency for its reprourement costs;
- (3) A trustee(s) (either a court-appointed trustee or a U.S. trustee, or both) in bankruptcy of a contractor, or a contractor's bankruptcy estate;
- (4) A contractor's assignee(s);
- (5) A contractor's successor(s); or
- (6) A claim asserted under the Prompt Payment Act, [31 U.S.C. 3901-3907](#).

**3. Records and certified payrolls (29 CFR 5.5)**

a. *Basic record requirements (1) Length of record retention.* All regular payrolls and other basic records must be maintained by the contractor and any subcontractor during the course of the work and preserved for all laborers and mechanics working at the site of the work (or otherwise working in construction or development of the project under a development statute) for a period of at least 3 years after all the work on the prime contract is completed.

(2) *Information required.* Such records must contain the name; Social Security number; last known address, telephone number, and email address of each such worker; each worker's correct classification(s) of work actually performed; hourly rates of wages paid (including rates of contributions or costs anticipated for bona fide fringe benefits or cash equivalents thereof of the types described in [40 U.S.C. 3141\(2\)\(B\)](#) of the Davis-Bacon Act); daily and weekly number of hours actually worked in total and on each covered contract; deductions made; and actual wages paid.

(3) *Additional records relating to fringe benefits.* Whenever the Secretary of Labor has found under paragraph 1.e. of this section that the wages of any laborer or mechanic include the amount of any costs reasonably anticipated in providing benefits under a plan or program described in [40 U.S.C. 3141\(2\)\(B\)](#) of the Davis-Bacon Act, the contractor must maintain records which show that the commitment to provide such benefits is enforceable, that the plan or program is financially responsible, and that the plan or program has been communicated in writing to the laborers or mechanics affected, and records which show the costs anticipated or the actual cost incurred in providing such benefits.

(4) *Additional records relating to apprenticeship.* Contractors with apprentices working under approved programs must maintain written evidence of the registration of apprenticeship programs, the registration of the apprentices, and the ratios and wage rates prescribed in the applicable programs.

b. *Certified payroll requirements (1) Frequency and method of submission.* The contractor or subcontractor must submit weekly, for each week in which any DBA- or Related Acts-covered work is performed, certified payrolls to the contracting

agency. The prime contractor is responsible for the submission of all certified payrolls by all subcontractors. A contracting agency or prime contractor may permit or require contractors to submit certified payrolls through an electronic system, as long as the electronic system requires a legally valid electronic signature; the system allows the contractor, the contracting agency, and the Department of Labor to access the certified payrolls upon request for at least 3 years after the work on the prime contract has been completed; and the contracting agency or prime contractor permits other methods of submission in situations where the contractor is unable or limited in its ability to use or access the electronic system.

(2) *Information required.* The certified payrolls submitted must set out accurately and completely all of the information required to be maintained under paragraph 3.a.(2) of this section, except that full Social Security numbers and last known addresses, telephone numbers, and email addresses must not be included on weekly transmittals. Instead, the certified payrolls need only include an individually identifying number for each worker ( e.g., the last four digits of the worker's Social Security number). The required weekly certified payroll information may be submitted using Optional Form WH-347 or in any other format desired. Optional Form WH-347 is available for this purpose from the Wage and Hour Division website at <https://www.dol.gov/sites/dolgov/files/WHD/legacy/files/wh347.pdf> or its successor website. It is not a violation of this section for a prime contractor to require a subcontractor to provide full Social Security numbers and last known addresses, telephone numbers, and email addresses to the prime contractor for its own records, without weekly submission by the subcontractor to the contracting agency.

(3) *Statement of Compliance.* Each certified payroll submitted must be accompanied by a "Statement of Compliance," signed by the contractor or subcontractor, or the contractor's or subcontractor's agent who pays or supervises the payment of the persons working on the contract, and must certify the following:

(i) That the certified payroll for the payroll period contains the information required to be provided under paragraph 3.b. of this section, the appropriate information and basic records are being maintained under paragraph 3.a. of this section, and such information and records are correct and complete;

(ii) That each laborer or mechanic (including each helper and apprentice) working on the contract during the payroll period has been paid the full weekly wages earned, without rebate, either directly or indirectly, and that no deductions have been made either directly or indirectly from the full wages earned, other than permissible deductions as set forth in [29 CFR part 3](#); and

(iii) That each laborer or mechanic has been paid not less than the applicable wage rates and fringe benefits or cash equivalents for the classification(s) of work actually performed, as specified in the applicable wage determination incorporated into the contract.

(4) *Use of Optional Form WH-347.* The weekly submission of a properly executed certification set forth on the reverse side of Optional Form WH-347 will satisfy the requirement for submission of the "Statement of Compliance" required by paragraph 3.b.(3) of this section.

(5) *Signature.* The signature by the contractor, subcontractor, or the contractor's or subcontractor's agent must be an original handwritten signature or a legally valid electronic signature.

(6) *Falsification.* The falsification of any of the above certifications may subject the contractor or subcontractor to civil or criminal prosecution under [18 U.S.C. 1001](#) and [31 U.S.C. 3729](#).

(7) *Length of certified payroll retention.* The contractor or subcontractor must preserve all certified payrolls during the course of the work and for a period of 3 years after all the work on the prime contract is completed.

c. *Contracts, subcontracts, and related documents.* The contractor or subcontractor must maintain this contract or subcontract and related documents including, without limitation, bids, proposals, amendments, modifications, and extensions. The contractor or subcontractor must preserve these contracts, subcontracts, and related documents during the course of the work and for a period of 3 years after all the work on the prime contract is completed.

d. *Required disclosures and access (1) Required record disclosures and access to workers.* The contractor or subcontractor must make the records required under paragraphs 3.a. through 3.c. of this section, and any other documents that the contracting agency, the State DOT, the FHWA, or the Department of Labor deems necessary to determine compliance with the labor standards provisions of any of the applicable statutes referenced by § 5.1, available for inspection, copying, or transcription by authorized representatives of the contracting agency, the State DOT, the FHWA, or the Department of Labor, and must permit such representatives to interview workers during working hours on the job.

(2) *Sanctions for non-compliance with records and worker access requirements.* If the contractor or subcontractor fails to submit the required records or to make them available, or refuses to permit worker interviews during working hours on the job, the Federal agency may, after written notice to the contractor, sponsor, applicant, owner, or other entity, as the case may be, that maintains such records or that employs such workers, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds. Furthermore, failure to submit the required records upon request or to make such records available, or to permit worker interviews during working hours on the job, may be grounds for debarment action pursuant to § 5.12. In addition, any contractor or other person that fails to submit the required records or make those records available to WHD within the time WHD requests that the records be produced will be precluded from introducing as evidence in an administrative proceeding under [29 CFR part 6](#) any of the required records that were not provided or made available to WHD. WHD will take into consideration a reasonable request from the contractor or person for an extension of the time for submission of records. WHD will determine the reasonableness of the request and may consider, among other things, the location of the records and the volume of production.

(3) *Required information disclosures.* Contractors and subcontractors must maintain the full Social Security number and last known address, telephone number, and email address

of each covered worker, and must provide them upon request to the contracting agency, the State DOT, the FHWA, the contractor, or the Wage and Hour Division of the Department of Labor for purposes of an investigation or other compliance action.

**4. Apprentices and equal employment opportunity (29 CFR 5.5)**

a. *Apprentices (1) Rate of pay.* Apprentices will be permitted to work at less than the predetermined rate for the work they perform when they are employed pursuant to and individually registered in a bona fide apprenticeship program registered with the U.S. Department of Labor, Employment and Training Administration, Office of Apprenticeship (OA), or with a State Apprenticeship Agency recognized by the OA. A person who is not individually registered in the program, but who has been certified by the OA or a State Apprenticeship Agency (where appropriate) to be eligible for probationary employment as an apprentice, will be permitted to work at less than the predetermined rate for the work they perform in the first 90 days of probationary employment as an apprentice in such a program. In the event the OA or a State Apprenticeship Agency recognized by the OA withdraws approval of an apprenticeship program, the contractor will no longer be permitted to use apprentices at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

(2) *Fringe benefits.* Apprentices must be paid fringe benefits in accordance with the provisions of the apprenticeship program. If the apprenticeship program does not specify fringe benefits, apprentices must be paid the full amount of fringe benefits listed on the wage determination for the applicable classification. If the Administrator determines that a different practice prevails for the applicable apprentice classification, fringe benefits must be paid in accordance with that determination.

(3) *Apprenticeship ratio.* The allowable ratio of apprentices to journeymen on the job site in any craft classification must not be greater than the ratio permitted to the contractor as to the entire work force under the registered program or the ratio applicable to the locality of the project pursuant to paragraph 4.a.(4) of this section. Any worker listed on a payroll at an apprentice wage rate, who is not registered or otherwise employed as stated in paragraph 4.a.(1) of this section, must be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed. In addition, any apprentice performing work on the job site in excess of the ratio permitted under this section must be paid not less than the applicable wage rate on the wage determination for the work actually performed.

(4) *Reciprocity of ratios and wage rates.* Where a contractor is performing construction on a project in a locality other than the locality in which its program is registered, the ratios and wage rates (expressed in percentages of the journeyworker's hourly rate) applicable within the locality in which the construction is being performed must be observed. If there is no applicable ratio or wage rate for the locality of the project, the ratio and wage rate specified in the contractor's registered program must be observed.

b. *Equal employment opportunity.* The use of apprentices and journeymen under this part must be in conformity with

the equal employment opportunity requirements of Executive Order 11246, as amended, and [29 CFR part 30](#).

c. Apprentices and Trainees (programs of the U.S. DOT).

Apprentices and trainees working under apprenticeship and skill training programs which have been certified by the Secretary of Transportation as promoting EEO in connection with Federal-aid highway construction programs are not subject to the requirements of paragraph 4 of this Section IV. 23 CFR 230.111(e)(2). The straight time hourly wage rates for apprentices and trainees under such programs will be established by the particular programs. The ratio of apprentices and trainees to journeymen shall not be greater than permitted by the terms of the particular program.

**5. Compliance with Copeland Act requirements.** The contractor shall comply with the requirements of 29 CFR part 3, which are incorporated by reference in this contract as provided in 29 CFR 5.5.

**6. Subcontracts.** The contractor or subcontractor must insert FHWA-1273 in any subcontracts, along with the applicable wage determination(s) and such other clauses or contract modifications as the contracting agency may by appropriate instructions require, and a clause requiring the subcontractors to include these clauses and wage determination(s) in any lower tier subcontracts. The prime contractor is responsible for the compliance by any subcontractor or lower tier subcontractor with all the contract clauses in this section. In the event of any violations of these clauses, the prime contractor and any subcontractor(s) responsible will be liable for any unpaid wages and monetary relief, including interest from the date of the underpayment or loss, due to any workers of lower-tier subcontractors, and may be subject to debarment, as appropriate. 29 CFR 5.5.

**7. Contract termination: debarment.** A breach of the contract clauses in 29 CFR 5.5 may be grounds for termination of the contract, and for debarment as a contractor and a subcontractor as provided in 29 CFR 5.12.

**8. Compliance with Davis-Bacon and Related Act requirements.** All rulings and interpretations of the Davis-Bacon and Related Acts contained in 29 CFR parts 1, 3, and 5 are herein incorporated by reference in this contract as provided in 29 CFR 5.5.

**9. Disputes concerning labor standards.** As provided in 29 CFR 5.5, disputes arising out of the labor standards provisions of this contract shall not be subject to the general disputes clause of this contract. Such disputes shall be resolved in accordance with the procedures of the Department of Labor set forth in 29 CFR parts 5, 6, and 7. Disputes within the meaning of this clause include disputes between the contractor (or any of its subcontractors) and the contracting agency, the U.S. Department of Labor, or the employees or their representatives.

**10. Certification of eligibility.** a. By entering into this contract, the contractor certifies that neither it nor any person or firm who has an interest in the contractor's firm is a person or firm ineligible to be awarded Government contracts by virtue of [40 U.S.C. 3144\(b\)](#) or § 5.12(a).

b. No part of this contract shall be subcontracted to any person or firm ineligible for award of a Government contract by virtue of [40 U.S.C. 3144\(b\)](#) or § 5.12(a).

c. The penalty for making false statements is prescribed in the U.S. Code, Title 18 Crimes and Criminal Procedure, [18 U.S.C. 1001](#).

**11. Anti-retaliation.** It is unlawful for any person to discharge, demote, intimidate, threaten, restrain, coerce, blacklist, harass, or in any other manner discriminate against, or to cause any person to discharge, demote, intimidate, threaten, restrain, coerce, blacklist, harass, or in any other manner discriminate against, any worker or job applicant for:

a. Notifying any contractor of any conduct which the worker reasonably believes constitutes a violation of the DBA, Related Acts, this part, or [29 CFR part 1](#) or [3](#);

b. Filing any complaint, initiating or causing to be initiated any proceeding, or otherwise asserting or seeking to assert on behalf of themselves or others any right or protection under the DBA, Related Acts, this part, or [29 CFR part 1](#) or [3](#);

c. Cooperating in any investigation or other compliance action, or testifying in any proceeding under the DBA, Related Acts, this part, or [29 CFR part 1](#) or [3](#); or

d. Informing any other person about their rights under the DBA, Related Acts, this part, or [29 CFR part 1](#) or [3](#).

**V. CONTRACT WORK HOURS AND SAFETY STANDARDS ACT**

Pursuant to 29 CFR 5.5(b), the following clauses apply to any Federal-aid construction contract in an amount in excess of \$100,000 and subject to the overtime provisions of the Contract Work Hours and Safety Standards Act. These clauses shall be inserted in addition to the clauses required by 29 CFR 5.5(a) or 29 CFR 4.6. As used in this paragraph, the terms laborers and mechanics include watchpersons and guards.

**1. Overtime requirements.** No contractor or subcontractor contracting for any part of the contract work which may require or involve the employment of laborers or mechanics shall require or permit any such laborer or mechanic in any workweek in which he or she is employed on such work to work in excess of forty hours in such workweek unless such laborer or mechanic receives compensation at a rate not less than one and one-half times the basic rate of pay for all hours worked in excess of forty hours in such workweek. 29 CFR 5.5.

**2. Violation; liability for unpaid wages; liquidated damages.** In the event of any violation of the clause set forth in paragraph 1. of this section the contractor and any subcontractor responsible therefor shall be liable for the unpaid wages and interest from the date of the underpayment. In addition, such contractor and subcontractor shall be liable to the United States (in the case of work done under contract for the District of Columbia or a territory, to such District or to such territory), for liquidated damages. Such liquidated damages shall be computed with respect to each individual laborer or

mechanic, including watchpersons and guards, employed in violation of the clause set forth in paragraph 1. of this section, in the sum currently provided in 29 CFR 5.5(b)(2)\* for each calendar day on which such individual was required or permitted to work in excess of the standard workweek of forty hours without payment of the overtime wages required by the clause set forth in paragraph 1. of this section.

\* \$31 as of January 15, 2023 (See 88 FR 88 FR 2210) as may be adjusted annually by the Department of Labor, pursuant to the Federal Civil Penalties Inflation Adjustment Act of 1990.

**3. Withholding for unpaid wages and liquidated damages**

a. *Withholding process.* The FHWA or the contracting agency may, upon its own action, or must, upon written request of an authorized representative of the Department of Labor, withhold or cause to be withheld from the contractor so much of the accrued payments or advances as may be considered necessary to satisfy the liabilities of the prime contractor or any subcontractor for any unpaid wages; monetary relief, including interest; and liquidated damages required by the clauses set forth in this section on this contract, any other Federal contract with the same prime contractor, or any other federally assisted contract subject to the Contract Work Hours and Safety Standards Act that is held by the same prime contractor (as defined in § 5.2). The necessary funds may be withheld from the contractor under this contract, any other Federal contract with the same prime contractor, or any other federally assisted contract that is subject to the Contract Work Hours and Safety Standards Act and is held by the same prime contractor, regardless of whether the other contract was awarded or assisted by the same agency, and such funds may be used to satisfy the contractor liability for which the funds were withheld.

b. *Priority to withheld funds.* The Department has priority to funds withheld or to be withheld in accordance with Section IV paragraph 2.a. or paragraph 3.a. of this section, or both, over claims to those funds by:

- (1) A contractor's surety(ies), including without limitation performance bond sureties and payment bond sureties;
- (2) A contracting agency for its procurement costs;
- (3) A trustee(s) (either a court-appointed trustee or a U.S. trustee, or both) in bankruptcy of a contractor, or a contractor's bankruptcy estate;
- (4) A contractor's assignee(s);
- (5) A contractor's successor(s); or
- (6) A claim asserted under the Prompt Payment Act, [31 U.S.C. 3901–3907](#).

**4. Subcontracts.** The contractor or subcontractor must insert in any subcontracts the clauses set forth in paragraphs 1. through 5. of this section and a clause requiring the subcontractors to include these clauses in any lower tier subcontracts. The prime contractor is responsible for compliance by any subcontractor or lower tier subcontractor with the clauses set forth in paragraphs 1. through 5. In the

event of any violations of these clauses, the prime contractor and any subcontractor(s) responsible will be liable for any unpaid wages and monetary relief, including interest from the date of the underpayment or loss, due to any workers of lower-tier subcontractors, and associated liquidated damages and may be subject to debarment, as appropriate.

**5. Anti-retaliation.** It is unlawful for any person to discharge, demote, intimidate, threaten, restrain, coerce, blacklist, harass, or in any other manner discriminate against, or to cause any person to discharge, demote, intimidate, threaten, restrain, coerce, blacklist, harass, or in any other manner discriminate against, any worker or job applicant for:

- a. Notifying any contractor of any conduct which the worker reasonably believes constitutes a violation of the Contract Work Hours and Safety Standards Act (CWHSSA) or its implementing regulations in this part;
- b. Filing any complaint, initiating or causing to be initiated any proceeding, or otherwise asserting or seeking to assert on behalf of themselves or others any right or protection under CWHSSA or this part;
- c. Cooperating in any investigation or other compliance action, or testifying in any proceeding under CWHSSA or this part; or
- d. Informing any other person about their rights under CWHSSA or this part.

**VI. SUBLETTING OR ASSIGNING THE CONTRACT**

This provision is applicable to all Federal-aid construction contracts on the National Highway System pursuant to 23 CFR 635.116.

1. The contractor shall perform with its own organization contract work amounting to not less than 30 percent (or a greater percentage if specified elsewhere in the contract) of the total original contract price, excluding any specialty items designated by the contracting agency. Specialty items may be performed by subcontract and the amount of any such specialty items performed may be deducted from the total original contract price before computing the amount of work required to be performed by the contractor's own organization (23 CFR 635.116).

a. The term "perform work with its own organization" in paragraph 1 of Section VI refers to workers employed or leased by the prime contractor, and equipment owned or rented by the prime contractor, with or without operators. Such term does not include employees or equipment of a subcontractor or lower tier subcontractor, agents of the prime contractor, or any other assignees. The term may include payments for the costs of hiring leased employees from an employee leasing firm meeting all relevant Federal and State regulatory requirements. Leased employees may only be included in this term if the prime contractor meets all of the following conditions: (based on longstanding interpretation)

- (1) the prime contractor maintains control over the supervision of the day-to-day activities of the leased employees;
- (2) the prime contractor remains responsible for the quality of the work of the leased employees;

- (3) the prime contractor retains all power to accept or exclude individual employees from work on the project; and
- (4) the prime contractor remains ultimately responsible for the payment of predetermined minimum wages, the submission of payrolls, statements of compliance and all other Federal regulatory requirements.

b. "Specialty Items" shall be construed to be limited to work that requires highly specialized knowledge, abilities, or equipment not ordinarily available in the type of contracting organizations qualified and expected to bid or propose on the contract as a whole and in general are to be limited to minor components of the overall contract. 23 CFR 635.102.

2. Pursuant to 23 CFR 635.116(a), the contract amount upon which the requirements set forth in paragraph (1) of Section VI is computed includes the cost of material and manufactured products which are to be purchased or produced by the contractor under the contract provisions.

3. Pursuant to 23 CFR 635.116(c), the contractor shall furnish (a) a competent superintendent or supervisor who is employed by the firm, has full authority to direct performance of the work in accordance with the contract requirements, and is in charge of all construction operations (regardless of who performs the work) and (b) such other of its own organizational resources (supervision, management, and engineering services) as the contracting officer determines is necessary to assure the performance of the contract.

4. No portion of the contract shall be sublet, assigned or otherwise disposed of except with the written consent of the contracting officer, or authorized representative, and such consent when given shall not be construed to relieve the contractor of any responsibility for the fulfillment of the contract. Written consent will be given only after the contracting agency has assured that each subcontract is evidenced in writing and that it contains all pertinent provisions and requirements of the prime contract. (based on long-standing interpretation of 23 CFR 635.116).

5. The 30-percent self-performance requirement of paragraph (1) is not applicable to design-build contracts; however, contracting agencies may establish their own self-performance requirements. 23 CFR 635.116(d).

**VII. SAFETY: ACCIDENT PREVENTION**

This provision is applicable to all Federal-aid construction contracts and to all related subcontracts.

1. In the performance of this contract the contractor shall comply with all applicable Federal, State, and local laws governing safety, health, and sanitation (23 CFR Part 635). The contractor shall provide all safeguards, safety devices and protective equipment and take any other needed actions as it determines, or as the contracting officer may determine, to be reasonably necessary to protect the life and health of employees on the job and the safety of the public and to protect property in connection with the performance of the work covered by the contract. 23 CFR 635.108.

2. It is a condition of this contract, and shall be made a condition of each subcontract, which the contractor enters into pursuant to this contract, that the contractor and any subcontractor shall not permit any employee, in performance of the contract, to work in surroundings or under conditions which are unsanitary, hazardous or dangerous to his/her health or safety, as determined under construction safety and

health standards (29 CFR Part 1926) promulgated by the Secretary of Labor, in accordance with Section 107 of the Contract Work Hours and Safety Standards Act (40 U.S.C. 3704). 29 CFR 1926.10.

3. Pursuant to 29 CFR 1926.3, it is a condition of this contract that the Secretary of Labor or authorized representative thereof, shall have right of entry to any site of contract performance to inspect or investigate the matter of compliance with the construction safety and health standards and to carry out the duties of the Secretary under Section 107 of the Contract Work Hours and Safety Standards Act (40 U.S.C. 3704).

**VIII. FALSE STATEMENTS CONCERNING HIGHWAY PROJECTS**

This provision is applicable to all Federal-aid construction contracts and to all related subcontracts.

In order to assure high quality and durable construction in conformity with approved plans and specifications and a high degree of reliability on statements and representations made by engineers, contractors, suppliers, and workers on Federal-aid highway projects, it is essential that all persons concerned with the project perform their functions as carefully, thoroughly, and honestly as possible. Willful falsification, distortion, or misrepresentation with respect to any facts related to the project is a violation of Federal law. To prevent any misunderstanding regarding the seriousness of these and similar acts, Form FHWA-1022 shall be posted on each Federal-aid highway project (23 CFR Part 635) in one or more places where it is readily available to all persons concerned with the project:

18 U.S.C. 1020 reads as follows:

"Whoever, being an officer, agent, or employee of the United States, or of any State or Territory, or whoever, whether a person, association, firm, or corporation, knowingly makes any false statement, false representation, or false report as to the character, quality, quantity, or cost of the material used or to be used, or the quantity or quality of the work performed or to be performed, or the cost thereof in connection with the submission of plans, maps, specifications, contracts, or costs of construction on any highway or related project submitted for approval to the Secretary of Transportation; or

Whoever knowingly makes any false statement, false representation, false report or false claim with respect to the character, quality, quantity, or cost of any work performed or to be performed, or materials furnished or to be furnished, in connection with the construction of any highway or related project approved by the Secretary of Transportation; or

Whoever knowingly makes any false statement or false representation as to material fact in any statement, certificate, or report submitted pursuant to provisions of the Federal-aid Roads Act approved July 11, 1916, (39 Stat. 355), as amended and supplemented;

Shall be fined under this title or imprisoned not more than 5 years or both."

**IX. IMPLEMENTATION OF CLEAN AIR ACT AND FEDERAL WATER POLLUTION CONTROL ACT (42 U.S.C. 7606; 2 CFR 200.88; EO 11738)**

This provision is applicable to all Federal-aid construction contracts in excess of \$150,000 and to all related subcontracts. 48 CFR 2.101; 2 CFR 200.327.

By submission of this bid/proposal or the execution of this contract or subcontract, as appropriate, the bidder, proposer, Federal-aid construction contractor, subcontractor, supplier, or vendor agrees to comply with all applicable standards, orders or regulations issued pursuant to the Clean Air Act (42 U.S.C. 7401-7671q) and the Federal Water Pollution Control Act, as amended (33 U.S.C. 1251-1387). Violations must be reported to the Federal Highway Administration and the Regional Office of the Environmental Protection Agency. 2 CFR Part 200, Appendix II.

The contractor agrees to include or cause to be included the requirements of this Section in every subcontract, and further agrees to take such action as the contracting agency may direct as a means of enforcing such requirements. 2 CFR 200.327.

**X. CERTIFICATION REGARDING DEBARMENT, SUSPENSION, INELIGIBILITY AND VOLUNTARY EXCLUSION**

This provision is applicable to all Federal-aid construction contracts, design-build contracts, subcontracts, lower-tier subcontracts, purchase orders, lease agreements, consultant contracts or any other covered transaction requiring FHWA approval or that is estimated to cost \$25,000 or more – as defined in 2 CFR Parts 180 and 1200. 2 CFR 180.220 and 1200.220.

**1. Instructions for Certification – First Tier Participants:**

a. By signing and submitting this proposal, the prospective first tier participant is providing the certification set out below.

b. The inability of a person to provide the certification set out below will not necessarily result in denial of participation in this covered transaction. The prospective first tier participant shall submit an explanation of why it cannot provide the certification set out below. The certification or explanation will be considered in connection with the department or agency's determination whether to enter into this transaction. However, failure of the prospective first tier participant to furnish a certification or an explanation shall disqualify such a person from participation in this transaction. 2 CFR 180.320.

c. The certification in this clause is a material representation of fact upon which reliance was placed when the contracting agency determined to enter into this transaction. If it is later determined that the prospective participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the contracting agency may terminate this transaction for cause of default. 2 CFR 180.325.

d. The prospective first tier participant shall provide immediate written notice to the contracting agency to whom this proposal is submitted if any time the prospective first tier participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances. 2 CFR 180.345 and 180.350.

e. The terms "covered transaction," "debarred," "suspended," "ineligible," "participant," "person," "principal," and "voluntarily excluded," as used in this clause, are defined in 2 CFR Parts 180, Subpart I, 180.900-180.1020, and 1200. "First Tier Covered Transactions" refers to any covered transaction between a recipient or subrecipient of Federal funds and a participant (such as the prime or general contract). "Lower Tier Covered Transactions" refers to any covered transaction under a First Tier Covered Transaction (such as subcontracts). "First Tier Participant" refers to the participant who has entered into a covered transaction with a recipient or subrecipient of Federal funds (such as the prime or general contractor). "Lower Tier Participant" refers any participant who has entered into a covered transaction with a First Tier Participant or other Lower Tier Participants (such as subcontractors and suppliers).

f. The prospective first tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency entering into this transaction. 2 CFR 180.330.

g. The prospective first tier participant further agrees by submitting this proposal that it will include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transactions," provided by the department or contracting agency, entering into this covered transaction, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions exceeding the \$25,000 threshold. 2 CFR 180.220 and 180.300.

h. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. 2 CFR 180.300; 180.320, and 180.325. A participant is responsible for ensuring that its principals are not suspended, debarred, or otherwise ineligible to participate in covered transactions. 2 CFR 180.335. To verify the eligibility of its principals, as well as the eligibility of any lower tier prospective participants, each participant may, but is not required to, check the System for Award Management website (<https://www.sam.gov>). 2 CFR 180.300, 180.320, and 180.325.

i. Nothing contained in the foregoing shall be construed to require the establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of the prospective participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

j. Except for transactions authorized under paragraph (f) of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default. 2 CFR 180.325.

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**2. Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion – First Tier Participants:**

a. The prospective first tier participant certifies to the best of its knowledge and belief, that it and its principals:

(1) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participating in covered transactions by any Federal department or agency, 2 CFR 180.335;.

(2) Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property, 2 CFR 180.800;

(3) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (a)(2) of this certification, 2 CFR 180.700 and 180.800; and

(4) Have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State or local) terminated for cause or default. 2 CFR 180.335(d).

(5) Are not a corporation that has been convicted of a felony violation under any Federal law within the two-year period preceding this proposal (USDOT Order 4200.6 implementing appropriations act requirements); and

(6) Are not a corporation with any unpaid Federal tax liability that has been assessed, for which all judicial and administrative remedies have been exhausted, or have lapsed, and that is not being paid in a timely manner pursuant to an agreement with the authority responsible for collecting the tax liability (USDOT Order 4200.6 implementing appropriations act requirements).

b. Where the prospective participant is unable to certify to any of the statements in this certification, such prospective participant should attach an explanation to this proposal. 2 CFR 180.335 and 180.340.

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**3. Instructions for Certification - Lower Tier Participants:**

(Applicable to all subcontracts, purchase orders, and other lower tier transactions requiring prior FHWA approval or estimated to cost \$25,000 or more - 2 CFR Parts 180 and 1200). 2 CFR 180.220 and 1200.220.

a. By signing and submitting this proposal, the prospective lower tier participant is providing the certification set out below.

b. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department, or agency with which

this transaction originated may pursue available remedies, including suspension and/or debarment.

c. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous by reason of changed circumstances. 2 CFR 180.365.

d. The terms "covered transaction," "debarred," "suspended," "ineligible," "participant," "person," "principal," and "voluntarily excluded," as used in this clause, are defined in 2 CFR Parts 180, Subpart I, 180.900 – 180.1020, and 1200. You may contact the person to which this proposal is submitted for assistance in obtaining a copy of those regulations. "First Tier Covered Transactions" refers to any covered transaction between a recipient or subrecipient of Federal funds and a participant (such as the prime or general contract). "Lower Tier Covered Transactions" refers to any covered transaction under a First Tier Covered Transaction (such as subcontracts). "First Tier Participant" refers to the participant who has entered into a covered transaction with a recipient or subrecipient of Federal funds (such as the prime or general contractor). "Lower Tier Participant" refers any participant who has entered into a covered transaction with a First Tier Participant or other Lower Tier Participants (such as subcontractors and suppliers).

e. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated. 2 CFR 1200.220 and 1200.332.

f. The prospective lower tier participant further agrees by submitting this proposal that it will include this clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transaction," without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions exceeding the \$25,000 threshold. 2 CFR 180.220 and 1200.220.

g. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant is responsible for ensuring that its principals are not suspended, debarred, or otherwise ineligible to participate in covered transactions. To verify the eligibility of its principals, as well as the eligibility of any lower tier prospective participants, each participant may, but is not required to, check the System for Award Management website (<https://www.sam.gov>), which is compiled by the General Services Administration. 2 CFR 180.300, 180.320, 180.330, and 180.335.

h. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

i. Except for transactions authorized under paragraph e of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily

excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment. 2 CFR 180.325.

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**4. Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion--Lower Tier Participants:**

a. The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals:

(1) is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participating in covered transactions by any Federal department or agency, 2 CFR 180.355;

(2) is a corporation that has been convicted of a felony violation under any Federal law within the two-year period preceding this proposal (USDOT Order 4200.6 implementing appropriations act requirements); and

(3) is a corporation with any unpaid Federal tax liability that has been assessed, for which all judicial and administrative remedies have been exhausted, or have lapsed, and that is not being paid in a timely manner pursuant to an agreement with the authority responsible for collecting the tax liability. (USDOT Order 4200.6 implementing appropriations act requirements)

b. Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant should attach an explanation to this proposal.

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**XI. CERTIFICATION REGARDING USE OF CONTRACT FUNDS FOR LOBBYING**

This provision is applicable to all Federal-aid construction contracts and to all related subcontracts which exceed \$100,000. 49 CFR Part 20, App. A.

1. The prospective participant certifies, by signing and submitting this bid or proposal, to the best of his or her knowledge and belief, that:

a. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

b. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or

cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.

2. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 U.S.C. 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

3. The prospective participant also agrees by submitting its bid or proposal that the participant shall require that the language of this certification be included in all lower tier subcontracts, which exceed \$100,000 and that all such recipients shall certify and disclose accordingly.

**XII. USE OF UNITED STATES-FLAG VESSELS:**

This provision is applicable to all Federal-aid construction contracts, design-build contracts, subcontracts, lower-tier subcontracts, purchase orders, lease agreements, or any other covered transaction. 46 CFR Part 381.

This requirement applies to material or equipment that is acquired for a specific Federal-aid highway project. 46 CFR 381.7. It is not applicable to goods or materials that come into inventories independent of an FHWA funded-contract.

When oceanic shipments (or shipments across the Great Lakes) are necessary for materials or equipment acquired for a specific Federal-aid construction project, the bidder, proposer, contractor, subcontractor, or vendor agrees:

1. To utilize privately owned United States-flag commercial vessels to ship at least 50 percent of the gross tonnage (computed separately for dry bulk carriers, dry cargo liners, and tankers) involved, whenever shipping any equipment, material, or commodities pursuant to this contract, to the extent such vessels are available at fair and reasonable rates for United States-flag commercial vessels. 46 CFR 381.7.

2. To furnish within 20 days following the date of loading for shipments originating within the United States or within 30 working days following the date of loading for shipments originating outside the United States, a legible copy of a rated, 'on-board' commercial ocean bill-of-lading in English for each shipment of cargo described in paragraph (b)(1) of this section to both the Contracting Officer (through the prime contractor in the case of subcontractor bills-of-lading) and to the Office of Cargo and Commercial Sealift (MAR-620), Maritime Administration, Washington, DC 20590. (MARAD requires copies of the ocean carrier's (master) bills of lading, certified onboard, dated, with rates and charges. These bills of lading may contain business sensitive information and therefore may be submitted directly to MARAD by the Ocean Transportation Intermediary on behalf of the contractor). 46 CFR 381.7.

**ATTACHMENT A - EMPLOYMENT AND MATERIALS  
PREFERENCE FOR APPALACHIAN DEVELOPMENT  
HIGHWAY SYSTEM OR APPALACHIAN LOCAL ACCESS  
ROAD CONTRACTS (23 CFR 633, Subpart B, Appendix B)**

This provision is applicable to all Federal-aid projects funded under the Appalachian Regional Development Act of 1965.

1. During the performance of this contract, the contractor undertaking to do work which is, or reasonably may be, done as on-site work, shall give preference to qualified persons who regularly reside in the labor area as designated by the DOL wherein the contract work is situated, or the subregion, or the Appalachian counties of the State wherein the contract work is situated, except:

a. To the extent that qualified persons regularly residing in the area are not available.

b. For the reasonable needs of the contractor to employ supervisory or specially experienced personnel necessary to assure an efficient execution of the contract work.

c. For the obligation of the contractor to offer employment to present or former employees as the result of a lawful collective bargaining contract, provided that the number of nonresident persons employed under this subparagraph (1c) shall not exceed 20 percent of the total number of employees employed by the contractor on the contract work, except as provided in subparagraph (4) below.

2. The contractor shall place a job order with the State Employment Service indicating (a) the classifications of the laborers, mechanics and other employees required to perform the contract work, (b) the number of employees required in each classification, (c) the date on which the participant estimates such employees will be required, and (d) any other pertinent information required by the State Employment Service to complete the job order form. The job order may be placed with the State Employment Service in writing or by telephone. If during the course of the contract work, the information submitted by the contractor in the original job order is substantially modified, the participant shall promptly notify the State Employment Service.

3. The contractor shall give full consideration to all qualified job applicants referred to him by the State Employment Service. The contractor is not required to grant employment to any job applicants who, in his opinion, are not qualified to perform the classification of work required.

4. If, within one week following the placing of a job order by the contractor with the State Employment Service, the State Employment Service is unable to refer any qualified job applicants to the contractor, or less than the number requested, the State Employment Service will forward a certificate to the contractor indicating the unavailability of applicants. Such certificate shall be made a part of the contractor's permanent project records. Upon receipt of this certificate, the contractor may employ persons who do not normally reside in the labor area to fill positions covered by the certificate, notwithstanding the provisions of subparagraph (1c) above.

5. The provisions of 23 CFR 633.207(e) allow the contracting agency to provide a contractual preference for the use of mineral resource materials native to the Appalachian region.

6. The contractor shall include the provisions of Sections 1 through 4 of this Attachment A in every subcontract for work which is, or reasonably may be, done as on-site work.

**COLORADO**  
**DEPARTMENT OF TRANSPORTATION**  
**STANDARD SPECIAL PROVISIONS**  
**PROJECT SPECIAL PROVISIONS**  
**Flashing Yellow Arrow Signal Modifications**  
**Project No. SHO M286-056**  
**Subaccount No. 25527**  
***(Provided under separate cover)***

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1.  
2. **March 14, 2025**

**3. U.S. Dept. of Labor Davis Bacon Minimum Wages**  
**4. Colorado Highway Construction**  
**5. General Decision Number - CO20250006**

## **Notice**

The Standard Special Provision (SSP) on the following page(s) revises or modifies CDOT's Standard Specifications for Road and Bridge Construction. The Construction Engineering Services Branch has reviewed, approved, and issued it. Use as written without change. Do not use modified versions of it on CDOT construction projects. Do not use the following special provision on CDOT projects in a manner other than specified in the instructions without approval by CDOT's Standards and Specifications Unit. The instructions for use appear below.

Other agencies which use the *Standard Specifications for Road and Bridge Construction* to administer construction projects may use this special provision as appropriate and at their own risk.

### **Instructions for use on CDOT construction projects:**

Use this standard special provision on all federal-aid projects with contracts exceeding \$2000, except for non-ARRA projects on roadways classified as local roads or rural minor collectors, which are exempt. Projects on local roads, rural minor collectors, and enhancement projects funded with ARRA funds are not exempt.

<b>Decision Nos. CO20250006</b> dated January 3, 2025 supersedes <b>Decision Nos. CO20240006</b> dated January 5, 2024.		<b>Modifications</b>			<b>ID</b>
		<u>Mod Number</u> 1	<u>Date</u> 3/14/25	<u>Page Number(s)</u> 1	
When work within a project is located in two or more counties and the minimum wages and fringe benefits are different for one or more job classifications, the higher minimum wages and fringe benefits shall apply throughout the project.					
General Decision No. CO20250006 applies to the following counties: Adams, Arapahoe, Broomfield, Clear Creek, Elbert, Gilpin, Jefferson, and Park counties.					
General Decision No. CO20250006 <b>The wage and fringe benefits listed below reflect collectively bargained rates.</b>					
<b>1800 Code</b>	<b>1801 Classification</b>	<b>Basic Hourly Rate</b>	<b>Fringe Benefits</b>	<b>Last Mod</b>	
	<b>ELECTRICIAN (Traffic Signalization Only):</b>				
1000	Clear Creek	38.97	13.75%+7.80	<b>1</b>	
	<b>POWER EQUIPMENT OPERATOR:</b>				
	<b>Drill Rig Caisson</b>				
1001	Smaller than Watson 2500 and similar	35.03	15.20		
1002	Watson 2500 similar or larger	35.41	15.20		
	<b>Crane (50 tons and under)</b>				
1003	Arapahoe, Broomfield, Clear Creek, Elbert, Gilpin	35.78	15.20		
	<b>Crane (51 - 90 tons)</b>				
1004	Arapahoe, Broomfield, Clear Creek, Elbert, Gilpin	36.09	15.20		
	<b>Crane (91 - 140 tons)</b>				
1005	Arapahoe, Broomfield, Clear Creek, Elbert, Gilpin	37.54	15.20		
1006	<b>Scraper</b>				
1007	Single bowl under 40 cubic yards	35.20	15.20		
1008	40 cubic yards and over	35.41	15.20		

General Decision No. CO20250006				
<b>The wage and fringe benefits listed below do not reflect collectively bargained rates.</b>				
	<b>CARPENTER:</b>			
	<b>Excludes Form Work</b>			
1009	Adams	16.61	3.88	
1010	Arapahoe, Broomfield, Clear Creek, Elbert, Gilpin, Jefferson, Park	19.27	5.08	
	<b>Form Work Only</b>			
1011	Adams	16.78	3.57	
1012	Broomfield, Clear Creek, Elbert, Gilpin	19.11	5.46	
1013	Jefferson	16.88	3.81	
1014	Park	17.28	5.38	
	<b>CEMENT MASON/CONCRETE FINISHER:</b>			
1015	Adams	16.05	3.00	
1016	Arapahoe	18.70	3.85	
1017	Broomfield, Clear Creek, Elbert, Gilpin	18.37	3.00	
1018	Jefferson	18.02	3.42	
1019	Park	17.09	2.85	
	<b>ELECTRICIAN:</b>			
	<b>Excludes Traffic Signal Installation</b>			
1020	Adams	31.00	14.01	
1021	Arapahoe, Broomfield, Clear Creek, Elbert, Gilpin, Jefferson, Park	35.13	6.83	
	<b>Traffic Signalization Electrician</b>			
1022	Adams, Arapahoe, Broomfield, Elbert, Gilpin, Park	27.25	7.10	
1023	Jefferson	26.78	5.44	
	<b>Traffic Signalization Groundsman</b>			
1024	Adams	13.96	2.80	
1025	Arapahoe, Broomfield, Elbert, Gilpin, Park	15.24	3.81	
1026	Clear Creek	15.70	2.14	
1027	Jefferson	15.19	4.72	

General Decision No. CO20250006				
<b>The wage and fringe benefits listed below do not reflect collectively bargained rates.</b>				
<b>1802</b> <b>ode</b>	<b>1803</b> Classification	<b>Basic Hourly</b> <b>Rate</b>	<b>Fringe Benefits</b>	<b>Last</b> <b>Mod</b>
1028	<b>FENCE ERECTOR</b>	13.02	3.20	
1029	<b>FORM WORKER</b> – Arapahoe	15.30	3.90	
	<b>GUARDRAIL INSTALLER:</b>			
1030	Adams	12.89	3.45	
1031	Arapahoe, Broomfield, Clear Creek, Elbert, Gilpin, Jefferson, Park	12.89	3.20	
1804	<b>1805 HIGHWAY/PARKING LOT STRIPING:</b>			
1806	<b>1807 Painter</b>			
1032	Adams, Arapahoe, Broomfield, Clear Creek, Elbert, Gilpin, Park	12.62	3.21	
1033	Jefferson	14.21	3.21	
1808	<b>IRONWORKER:</b>			
1809	<b>Reinforcing</b>			
1034	Adams	22.14	0.77	
1035	Arapahoe, Broomfield, Clear Creek, Elbert, Gilpin, Jefferson	16.69	5.45	
1036	Park	19.98	2.89	
1037	Structural	18.22	6.01	
1810	<b>LABORER:</b>			
1811	<b>Asphalt Raker</b>			
1038	Adams, Arapahoe, Broomfield, Clear Creek, Elbert, Gilpin, Jefferson	16.29	4.25	
1039	Park	17.41	1.86	
1040	Asphalt Shoveler	21.21	4.25	
1041	Asphalt Spreader	18.58	4.65	
1812	<b>Common or General</b>			
1042	Adams	16.29	4.25	
1043	Arapahoe, Broomfield, Clear Creek, Elbert, Gilpin	16.67	4.27	
1044	Jefferson	16.51	4.27	
1045	Park	15.64	2.46	

General Decision No. CO20250006				
<b>The wage and fringe benefits listed below do not reflect collectively bargained rates.</b>				
1813 ode	1814 Classification	Basic Hourly Rate	Fringe Benefits	Last Mod
	<b>Concrete Saw (Hand Held)</b>			
1046	Adams	16.29	5.20	
1047	Arapahoe, Broomfield, Clear Creek, Elbert, Gilpin, Jefferson, Park	16.29	6.14	
	<b>Landscape and Irrigation</b>			
1048	Adams, Arapahoe, Broomfield, Elbert, Gilpin, Jefferson, Park	12.26	3.16	
1049	Clear Creek	14.98	3.16	
	<b>Mason Tender - Cement/Concrete</b>			
1050	Adams	17.71	2.83	
1051	Arapahoe, Broomfield, Clear Creek, Elbert, Gilpin	16.96	4.04	
1052	Jefferson	16.29	4.25	
1053	Park	15.08	3.10	
1054	Pipelayer	13.55	2.41	
	<b>Traffic Control (Flagger)</b>			
1055	Adams, Arapahoe, Broomfield, Clear Creek, Elbert, Gilpin	9.55	3.05	
1056	Jefferson	9.73	3.05	
1057	Park	9.42	3.21	
	<b>Traffic Control (Sets Up/Moves Barrels, Cones, Install Signs, Arrow Boards and Place Stationary Flags)</b>			
1058	Adams, Arapahoe, Broomfield, Elbert, Gilpin, Jefferson	12.43	3.22	
1059	Clear Creek	13.14	3.20	
1060	Park	12.76	3.20	
1061	<b>PAINTER (Spray Only)</b>	16.99	2.87	

General Decision No. CO20250006				
<b>The wage and fringe benefits listed below do not reflect collectively bargained rates.</b>				
1815 ode	1816 Classification	Basic Hourly Rate	Fringe Benefits	Last Mod
	<b>POWER EQUIPMENT OPERATOR:</b>			
	<b>Asphalt Laydown</b>			
1062	Adams, Arapahoe, Broomfield, Clear Creek, Elbert, Gilpin, Jefferson	22.67	8.75	
1063	Park	22.67	8.72	
1064	Asphalt Paver	24.97	6.13	
	<b>Asphalt Roller</b>			
1065	Adams	24.20	7.70	
1066	Arapahoe	22.68	8.72	
1067	Broomfield, Clear Creek, Elbert, Gilpin	23.41	7.67	
1068	Jefferson	22.84	7.69	
1069	Park	22.84	8.72	
	<b>Asphalt Spreader</b>			
1070	Adams, Arapahoe, Broomfield, Clear Creek, Elbert, Gilpin, Park	22.67	8.67	
1071	Jefferson	23.34	8.06	
	<b>Backhoe/Trackhoe</b>			
1073	Adams	20.31	4.24	
1074	Arapahoe	24.59	6.24	
1075	Broomfield, Clear Creek, Elbert, Gilpin	22.19	6.48	
1076	Jefferson	21.99	5.60	
1077	Park	20.81	6.58	

General Decision No. CO20250006				
<b>The wage and fringe benefits listed below do not reflect collectively bargained rates.</b>				
<b>1817</b> <b>ode</b>	<b>1818</b> <b>Classification</b>	<b>Basic Hourly</b> <b>Rate</b>	<b>Fringe Benefits</b>	<b>Last</b> <b>Mod</b>
	<b>POWER EQUIPMENT OPERATOR (cont.):</b>			
	<b>Bobcat/Skid Loader</b>			
1078	Adams, Broomfield, Clear Creek, Elbert, Gilpin	15.37	4.28	
1079	Arapahoe	18.23	4.28	
1080	Jefferson	16.85	4.28	
1081	Park	22.46	0.00	
1082	Boom	22.67	8.72	
	<b>Broom/Sweeper</b>			
1083	Adams, Broomfield, Clear Creek, Elbert, Gilpin, Park	22.70	8.07	
1084	Arapahoe	22.67	8.73	
1085	Jefferson	22.18	8.36	
	<b>Bulldozer</b>			
1086	Adams	25.20	6.72	
1087	Arapahoe, Broomfield, Clear Creek, Elbert, Gilpin, Jefferson, Park	26.90	5.59	
1088	1819    Concrete Pump	21.60	5.21	
	<b>1820    Crane</b>			
1089	Adams, Park	22.82	8.72	
1090	Jefferson	23.55	6.68	
	<b>1821    Drill</b>			
1091	Adams, Arapahoe, Broomfield, Clear Creek, Elbert, Gilpin, Park	20.48	4.71	
1092	Jefferson	20.65	5.74	
1093	Forklift	15.91	4.68	

General Decision No. CO20250006				
<b>The wage and fringe benefits listed below do not reflect collectively bargained rates.</b>				
<b>1822</b> <b>ode</b>	<b>1823</b> <b>Classification</b>	<b>Basic Hourly</b> <b>Rate</b>	<b>Fringe Benefits</b>	<b>Last</b> <b>Mod</b>
	<b>POWER EQUIPMENT OPERATOR (cont.):</b>			
1824	<b>Grader/Blade</b>			
1094	Adams	23.94	8.23	
1095	Arapahoe	22.67	8.72	
1096	Broomfield, Clear Creek, Elbert, Gilpin, Park	23.90	7.93	
1097	Jefferson	23.28	7.73	
1098	1825    Guardrail/Post Driver	16.07	4.41	
1826	<b>1827    Loader (Front End)</b>			
1099	Adams	23.09	8.72	
1100	Arapahoe	26.80	4.84	
1101	Broomfield, Clear Creek, Elbert, Gilpin	23.20	8.33	
1102	Jefferson	23.06	7.76	
1103	Park	22.67	8.72	
1828	<b>Mechanic</b>			
1104	Adams	22.82	8.72	
1105	Arapahoe, Broomfield, Clear Creek, Elbert, Gilpin, Park	24.04	7.35	
1106	Jefferson	23.56	8.72	
1829	<b>Oiler</b>			
1107	Adams, Jefferson	21.97	8.72	
1108	Arapahoe, Broomfield, Clear Creek, Elbert, Gilpin, Park	23.73	8.41	
1830	<b>Roller/Compactor (Dirt and Grade Compaction)</b>			
1109	Adams	16.70	3.30	
1110	Arapahoe, Broomfield, Clear Creek, Elbert, Gilpin, Jefferson	20.30	5.51	
1111	Park	16.52	3.13	
1112	Rotomill	16.22	4.41	

General Decision No. CO20250006				
<b>The wage and fringe benefits listed below do not reflect collectively bargained rates.</b>				
<b>1831 ode</b>	<b>1832 Classification</b>	<b>Basic Hourly Rate</b>	<b>Fringe Benefits</b>	<b>Last Mod</b>
1833	<b>POWER EQUIPMENT OPERATOR (cont.):</b>			
1834	<b>Screed</b>			
1113	Adams	27.89	3.50	
1114	Arapahoe	22.67	8.72	
1115	Broomfield, Clear Creek, Elbert, Gilpin	24.67	6.02	
1116	Jefferson	22.64	8.43	
1117	Park	20.36	3.04	
1118	Tractor	13.13	2.95	
	<b>TRUCK DRIVER:</b>			
1835	<b>1836 Distributor</b>			
1119	Adams	15.80	5.27	
1120	Arapahoe	19.62	5.27	
1812	Broomfield, Clear Creek, Elbert, Gilpin, Park	18.19	5.27	
1121	Jefferson	19.46	6.04	
	<b>Dump Truck</b>			
1122	Adams	16.68	5.27	
1123	Arapahoe	18.94	5.27	
1124	Broomfield, Clear Creek, Elbert, Gilpin	16.47	5.27	
1125	Jefferson	16.97	4.78	
1126	Park	15.40	3.21	
	<b>Lowboy Truck</b>			
1127	Adams, Arapahoe, Broomfield, Clear Creek, Elbert, Gilpin, Park	17.25	5.27	
1128	Jefferson	19.80	6.42	
1129	Mechanic	26.48	3.50	
	<b>Multi-Purpose Specialty and Hoisting Truck</b>			
1130	Adams, Broomfield, Clear Creek, Elbert, Gilpin, Park	17.49	3.17	
1131	Arapahoe	15.79	2.48	
1132	Jefferson	15.13	3.89	

General Decision No. CO20250006				
<b>The wage and fringe benefits listed below do not reflect collectively bargained rates.</b>				
<b>1837 ode</b>	<b>1838 Classification</b>	<b>Basic Hourly Rate</b>	<b>Fringe Benefits</b>	<b>Last Mod</b>
1839	<b>TRUCK DRIVER (cont.):</b>			
1840	<b>Semi/Trailer Truck</b> (Includes Pickup and Pilot Car)			
1133	Adams, Broomfield, Clear Creek, Elbert, Gilpin, Jefferson, Park	18.39	4.13	
1134	Arapahoe	16.00	2.60	
184	<b>Single Axle</b> (Includes Pickup and Pilot Car)			
1135	Adams, Jefferson	13.93	3.68	
1136	Arapahoe	15.10	3.77	
1137	Broomfield, Clear Creek, Elbert, Gilpin, Park	14.74	3.68	
1138	Truck Mounted Attenuator	12.43	3.22	
1842	<b>Water Truck</b>			
1139	Adams	17.50	5.19	
1140	Arapahoe, Broomfield, Clear Creek, Elbert, Gilpin, Park	19.36	4.07	
1141	Jefferson	17.57	5.27	

**WELDERS** - Receive rate prescribed for craft performing operation to which welding is incidental.

Unlisted classifications needed for work not included within the scope of the classifications listed may be added after award only as provided in the labor standards contract clauses (29 CFR 5.5(a)(1)(ii)).

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In the listing above, the "SU" designation means that rates listed under the identifier do not reflect collectively bargained wage and fringe benefit rates. Other designations indicate unions whose rates have been determined to be prevailing.  
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### Wage Determination Appeals Process

1) Has there been an initial decision in the matter? This can be:

- a) a survey underlying a wage determination
- b) an existing published wage determination
- c) an initial WHD letter setting forth a position on a wage determination matter
- d) an initial conformance (additional classification and rate) determination

On survey related matters, initial contact, including requests for summaries of surveys, should be directed to the WHD Branch of Wage Surveys. Requests can be submitted via email to: [davisbaconinfo@dol.gov](mailto:davisbaconinfo@dol.gov), or by mail to:

Branch of Wage Surveys  
Wage and Hour Division  
U.S. Department of Labor  
200 Constitution Avenue, N.W.  
Washington, DC 20210

Regarding any other wage determination matter such as conformance decisions, requests for initial decisions should be directed to the WHD Branch of Construction Wage Determinations. Requests can be submitted via email to: [BCWD-Office@dol.gov](mailto:BCWD-Office@dol.gov), or by mail to:

Branch of Construction Wage Determinations  
Wage and Hour Division  
U.S. Department of Labor  
200 Constitution Avenue, N.W.  
Washington, DC 20210

2) If an initial decision has been issued, then any interested party (those affected by the action) that disagrees with the decision can request review and reconsideration from the Wage and Hour Administrator (See 29 CFR Part 1.8 and 29 CFR Part 7). Requests for review and reconsideration can be submitted via email to: [dba.reconsideration@dol.gov](mailto:dba.reconsideration@dol.gov), or by mail to:

Wage and Hour Administrator  
U.S. Department of Labor  
200 Constitution Avenue, N.W.  
Washington, DC 20210

The request should be accompanied by a full statement of the interested party's position and any information (wage payment data, project description, area practice material, etc.) that the requestor considers relevant to the issue.

3) If the decision of the Administrator is not favorable, an interested party may appeal directly to the Administrative Review Board (formerly the Wage Appeals Board). Write to:

Administrative Review Board  
U.S. Department of Labor  
200 Constitution Avenue, N.W.  
Washington, DC 20210.

**End of General Decision No. CO20250006**

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## APPENDIX

- CDOT Form #606, Anti-Collusion Affidavit
- CDOT Form #1413, Bidders List
- CDOT Form #605, Contractor's Performance Capability Statement
- CDOT Form #621, Assignment of Anti-trust Claims

\*ALL CDOT FORMS CAN BE FOUND AT THE UNDERLYING LINK  
[CDOTRM LA Manuals and Bulletins - Manual - 2024 - Local Agency Manual App A](#)

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## CDOT Form #606 ANTI-COLLUSION AFFIDAVIT

<b>COLORADO DEPARTMENT OF TRANSPORTATION ANTI-COLLUSION AFFIDAVIT</b>	PROJECT NO.
	LOCATION

I hereby attest that I am the person responsible within my firm for the final decision as to the price(s) and amount of this bid or, if not, that I have written authorization, enclosed herewith, from that person to make the statements set out below on his or her behalf and on behalf of my firm.

I further attest that:

1. The price(s) and amount of this bid have been arrived at independently, without consultation, communication or agreement for the purpose or with the effect of restricting competition with any other firm or person who is a bidder or potential prime bidder.
- 2A. Neither the price(s) nor the amount of this bid have been disclosed to any other firm or person who is a bidder or potential prime bidder on this project, and will not be so disclosed prior to bid opening.
- 2B. Neither the prices nor the amount of the bid of any other firm or person who is a bidder or potential prime bidder on this project have been disclosed to me or my firm.
- 3A. No attempt has been made to solicit, cause or induce any firm or person who is a bidder or potential prime bidder to refrain from bidding on this project, or to submit a bid higher than the bid of this firm, or any intentionally high or non-competitive bid or other form of complementary bid.
- 3B. No agreement has been promised or solicited for any other firm or person who is a bidder or potential prime bidder on this project to submit an intentionally high, noncompetitive or other form of complementary bid on this project.
4. The bid of my firm is made in good faith and not pursuant to any consultation, communication, agreement or discussion with, or inducement or solicitation by or from any firm or person to submit any intentionally high, noncompetitive or other form of complementary bid.
5. My firm has not offered or entered into a subcontract or agreement regarding the purchase or sale of materials or services from any firm or person, or offered, promised or paid cash or anything of value to any firm or person, whether in connection with this or any other project, in consideration for an agreement or promise by any firm or person to refrain from bidding or to submit any intentionally high, noncompetitive or other form of complementary bid or agreeing or promising to do so on this project.
6. My firm has not accepted or been promised any subcontract or agreement regarding the sale of materials or services to any firm or person, and has not been promised or paid cash or anything of value by any firm or person, whether in connection with this or any other project, in consideration for my firm's submitting any intentionally high, noncompetitive or other form of complementary bid, or agreeing or promising to do so, on this project.
7. I have made a diligent inquiry of all members, officers, employees, and agents of my firm with responsibilities relating to the preparation, approval or submission of my firm's bid on this project and have been advised by each of them that he or she has not participated in any communication, consultation, discussion, agreement, collusion, or other conduct inconsistent with any of the statements and representations made in this affidavit.
8. I understand and my firm understands that any misstatement in this affidavit is and shall be treated as a fraudulent concealment from the Colorado Department of Transportation, of the true facts relating to submission of bids for this contract.

I DECLARE UNDER PENALTY OF PERJURY IN THE SECOND DEGREE, AND ANY OTHER APPLICABLE STATE OR FEDERAL LAWS, THAT THE STATEMENTS MADE ON THIS DOCUMENT ARE TRUE AND COMPLETE TO THE BEST OF MY KNOWLEDGE.

Contractor's firm or company name	By	Date
	Title	
2nd contractor's firm or company name. (if joint venture.)	By	Date
	Title	

Sworn to before me this \_\_\_\_\_ day of, \_\_\_\_\_ 20\_\_\_\_

Notary Public	
My commission expires	
<b>NOTE: This document must be signed in ink.</b>	

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## CDOT Form #605 CONTRACTOR'S PERFORMANCE CAPABILITY STATEMENT

COLORADO DEPARTMENT OF TRANSPORTATION <b>CONTRACTORS PERFORMANCE CAPABILITY STATEMENT</b>	Project #				
1. List names of partnerships or joint ventures <input type="checkbox"/> none <hr/> <hr/>					
2. List decreases in the contractors fiscal or workmanship qualifications compared to the last prequalification statement submitted to CDOT. (Attach additional sheets if necessary.) a. Key personnel changes <input type="checkbox"/> none <hr/> <hr/> b. Key equipment changes <input type="checkbox"/> none <hr/> <hr/> c. Fiscal capability changes (legal actions, etc.) <input type="checkbox"/> none <hr/> <hr/> d. Other changes that may effect the contractors ability to perform work. <input type="checkbox"/> none <hr/> <hr/> <hr/>					
I DECLARE UNDER PENALTY OF PERJURY IN THE SECOND DEGREE, AND ANY OTHER APPLICABLE STATE OR FEDERAL LAWS, THAT THE STATEMENTS MADE ON THIS DOCUMENT ARE TRUE AND CORRECT TO THE BEST OF MY KNOWLEDGE					
Contractor's firm or company name	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%; padding: 2px;">By</td> <td style="width: 40%; padding: 2px;">Date</td> </tr> <tr> <td colspan="2" style="padding: 2px;">Title</td> </tr> </table>	By	Date	Title	
By	Date				
Title					
2nd Contractor's firm or company name (if joint venture)	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%; padding: 2px;">By</td> <td style="width: 40%; padding: 2px;">Date</td> </tr> <tr> <td colspan="2" style="padding: 2px;">Title</td> </tr> </table>	By	Date	Title	
By	Date				
Title					

CDOT Form #605 1/92

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## CDOT Form #621 ASSIGNMENT OF ANTI-TRUST CLAIMS

<b>COLORADO DEPARTMENT OF TRANSPORTATION ASSIGNMENT OF ANTITRUST CLAIMS</b>	PROJECT NO.	
<p>Contractor and Colorado Department of Transportation (CDOT) recognize that in actual economic practice antitrust violations ultimately impact on CDOT. Therefore, for good cause and as consideration for executing this contract and for receiving payments hereunder:</p> <ol style="list-style-type: none"> <li>1. Contractor hereby irrevocably assigns to CDOT any and all claims it may now have or which may hereafter accrue to it under federal or state antitrust laws in connection with the particular project, goods or services purchased or acquired by CDOT pursuant to this contract.</li> <li>2. Contractor hereby expressly agrees:                     <ol style="list-style-type: none"> <li>a. That, upon becoming aware that a third party has commenced a civil action asserting on Contractor's behalf an antitrust claim which has been assigned to CDOT hereunder, Contractor shall immediately advise in writing:                             <ol style="list-style-type: none"> <li>(1) Such third party that the antitrust claim has been assigned to CDOT, and</li> <li>(2) CDOT that such civil action is pending and of the date on which, in accordance with subparagraph a. (1) above, Contractor notified such third party that the antitrust claim had been assigned to CDOT;</li> </ol> </li> <li>b. To take no action which will in any way diminish the value of the claims or rights assigned or dedicated to CDOT hereunder; and</li> <li>c. Promptly to pay over to CDOT its proper share of any payment under an antitrust claim brought on Contractor's behalf by any third party and which claim has been assigned to CDOT hereunder.</li> </ol> </li> <li>3. Further, Contractor agrees that in the event it hires one or more subcontractors to perform any of its duties under the contract, Contractor shall require that each such subcontractor:                     <ol style="list-style-type: none"> <li>a. Irrevocably assign to CDOT (as a third party beneficiary) any and all claims that such subcontractor may have or which may thereafter accrue to the subcontractor under federal or state antitrust laws in connection with any goods or services provided by the subcontractor in carrying out the subcontractor's obligations to Contractor;</li> <li>b. Upon becoming aware that a third party has commenced a civil action on the subcontractor's behalf asserting an antitrust claim which has been assigned to CDOT hereunder, shall immediately advise in writing:                             <ol style="list-style-type: none"> <li>(1) Such third party that the antitrust claim has been assigned to CDOT, and</li> <li>(2) Contractor and CDOT that such civil action is pending and of the date on which, in accordance with subparagraph b. (1) above, the subcontractor notified such third party that the antitrust claim had been assigned to CDOT;</li> </ol> </li> <li>c. Take no action which will in any way diminish the value of the claims or rights assigned or dedicated to CDOT hereunder; and</li> <li>d. Promptly pay over to CDOT its proper share of any payment under an antitrust claim brought on the subcontractor's behalf by any third party and which claim has been assigned or dedicated to CDOT pursuant hereto.</li> </ol> </li> </ol> <p>I, acting in my capacity as officer of a bidder (bidders if a joint venture) do agree to the above assignment of antitrust claims.</p>		
Contractor's firm or company name	By	Date
	Title	
2nd contractor's firm or company name. (if joint venture.)	By	Date
	Title	

CDOT Form #621 12/91

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# ***ADDENDA***